

# Equol Production Status and Nonalcoholic Steatohepatitis

Subjects: [Gastroenterology & Hepatology](#)

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Equol is a metabolite of daidzein, a major soybean isoflavone with estrogenic and antioxidant activities. As the production of equol depends on the presence of certain members of the intestinal microflora, not all individuals can produce equol. Treatment with equol-rich soy product, SE5-OH markedly attenuated the development of liver fibrosis and the expression of alpha-smooth muscle actin in obese OLETF rats, and in the clinical study, the degree of fibrosis and ballooning in equol nonproducers was significantly higher than that of producers in women with NASH. In addition, the percentage of nonproducers with NAS  $\geq 5$  was significantly higher than that of producers in women with NASH.

equol

nonalcoholic steatohepatitis

estrogen

## 1. Introduction

Nonalcoholic fatty liver disease (NAFLD) is the most common chronic liver disease globally, representing a significant health burden worldwide. Briefly, NAFLD includes a broad range of conditions, including simple steatosis and nonalcoholic steatohepatitis (NASH) <sup>[1]</sup>. The pathophysiology of NASH is multifactorial, involving genetic and epigenetic factors, insulin resistance, adipose-derived hormones, and nutritional factors <sup>[2]</sup>. The most potent driver of NASH is lipotoxicity-induced hepatocyte death, which triggers inflammation and fibrosis, leading to cirrhosis or liver cancer.

After menopause, women have an increased risk of insulin resistance, hyperlipidemia, and visceral fat accumulation, all of which are known risk factors of NAFLD <sup>[3]</sup>. A higher incidence of NAFLD is found in postmenopausal women than in premenopausal women, as estrogen inhibits stellate cell activation and fibrogenesis, suggesting a correlation with the progression of NAFLD/NASH <sup>[4]</sup>.

Soy-based foods, which are largely consumed in Asian countries, have become popular in non-Asian countries because of their beneficial effects on health. Equol, which is produced by the gut microbiota in the gastrointestinal tract, is a metabolite of daidzein, a major isoflavone found in soybean <sup>[5]</sup>. Equol has been reported to exert estrogenic activity, with an affinity for both ER alpha and ER beta estrogen receptors. Equol is superior to all other isoflavones in terms of its antioxidant activity <sup>[6]</sup>. As the production of equol depends on the presence of certain intestinal microflora, not all individuals have the ability to produce equol from the metabolism of isoflavones <sup>[7]</sup>. Only 25–30% of the adult population in Western countries can produce equol after consuming soy-based foods containing isoflavones <sup>[8][9][10]</sup>. This percentage is significantly lower than the reported 50–60% frequency of equol-

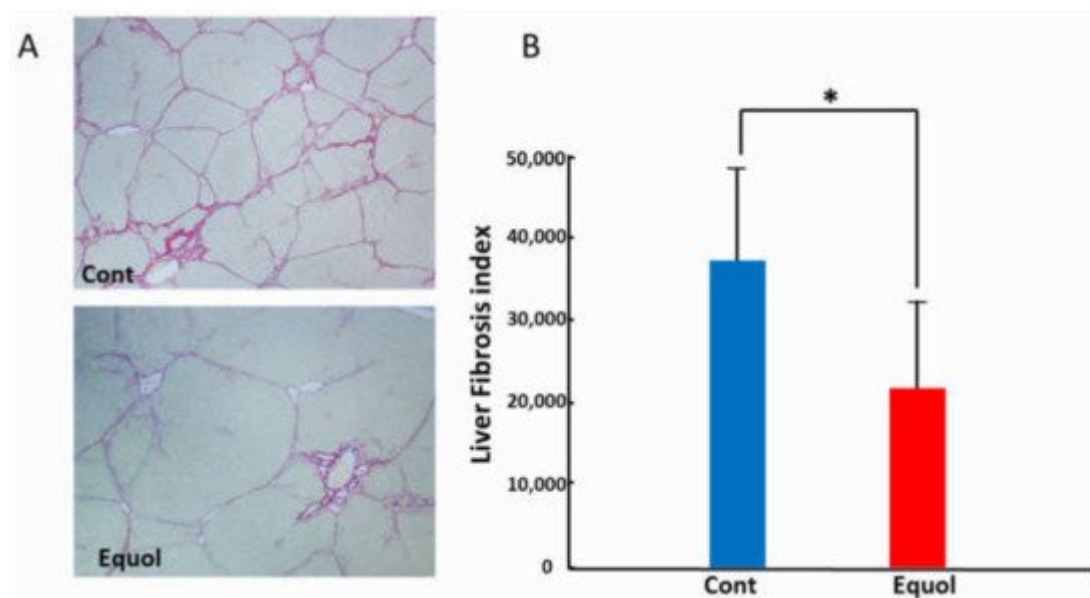
producers in adults from Japan, Korea, and China [11][12][13], or Western adult vegetarians [14]. Usui et al. reported that the ratio of equol nonproducers in overweight or obese Japanese women was lower than the generally reported range [15]. Yoshikawa et al. reported that equol production was significantly associated with lower arterial stiffness and uric acid levels, and a high ratio of eicosapentaenoic acid to arachidonic acid in women in their 50s [16]. As NAFLD/NASH has been associated with both the visceral fat area and metabolic syndrome, and the incidence of NAFLD/NASH is known to increase after menopause in women, the ability to produce equol is expected to be related to the pathogenesis of NAFLD/NASH; however, to date, no relationship has been reported between them.

Otsuka Long-Evans Tokushima fatty (OLETF) rats develop multiple metabolic and hormonal abnormalities that have similar features as obesity in humans [17][18]. OLETF rats spontaneously develop obesity, hypertriglyceridemia, hyperglycemia, hyperinsulinemia, and insulin resistance.

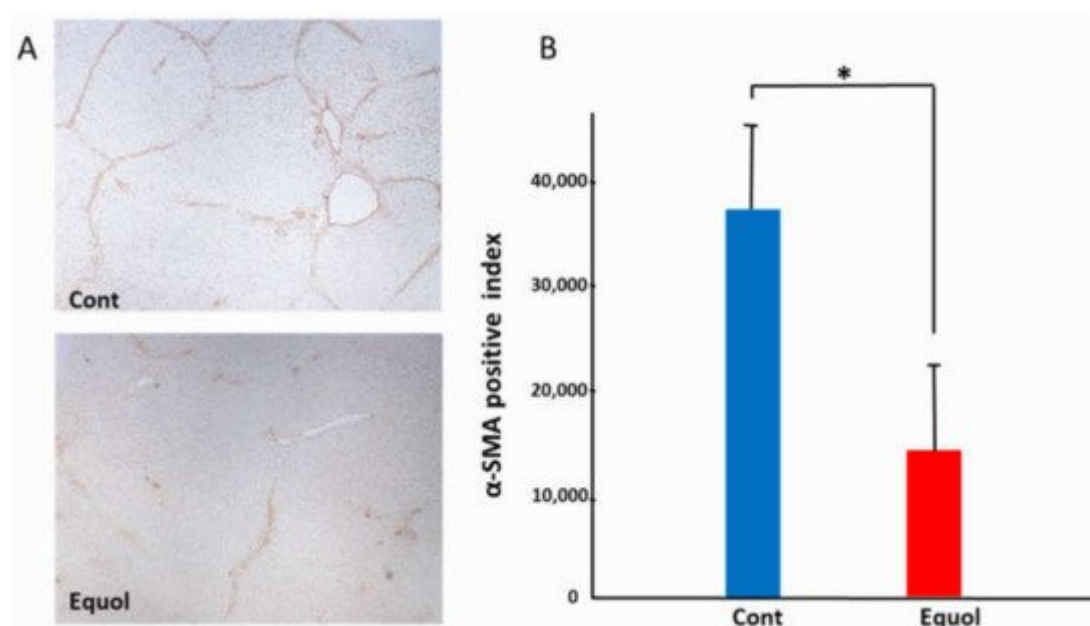
## 2. Animal Study

### SE5-OH Ameliorated Porcine-Serum-Induced Liver Fibrosis in OLETF Rats

Histological findings were evaluated after a 6-week treatment with porcine serum and SE5-OH. Porcine serum-induced hepatic fibrosis was ameliorated by SE5-OH treatment (**Figure 1**). Treatment with SE5-OH decreased  $\alpha$ SMA-positive activated hepatic stellate cells (HSCs) (**Figure 2**).



**Figure 1.** Effect of equol on the liver fibrosis development in the OLETF rats. (A) Representative sirius red staining photomicrographs of the liver in OLETF rats (original magnification,  $\times 40$ ). (B) Semi-quantitative analysis of the development of liver fibrosis by an image analyzer. Equol-rich soy product (SE5-OH) significantly suppressed liver fibrosis development. Data represent the mean  $\pm$  SD values. \*  $p < 0.01$ , indicating a significant difference between groups.



**Figure 2.** Effect of equol on the activated hepatic stellate cells (HSCs) in the OLETF rats. **(A)** Representative  $\alpha$ SMA immunohistochemistry in OLETF rats (original magnification,  $\times 40$ ). **(B)** Semi-quantitative analysis of the  $\alpha$ SMA-positive HSCs. The  $\alpha$ SMA-positive cells were significantly suppressed by treatment with SE5-OH. Data represent the mean  $\pm$  SD values. \*  $p < 0.01$ , indicating a significant difference between groups.

## 3. Clinical Research

### 3.1. Subject Baseline Characteristics

Although the exact amount of soy products consumed is unknown, all NAFLD participants were in the habit of consuming soy products. We found that the number of equol nonproducers was 23 out of 38. The urine equol of all nonproducers was below the limit of quantitation. The prevalence of diabetes was shown to be significantly lower in nonproducers than in producers. We did not detect any significant differences in any of the other parameters tested between the two groups (**Table 1**). When subjects were stratified by sex, seven male (53%) and eight female (32%) patients were identified as equol producers. No significant differences were detected in any of the tested parameters between nonproducers and producers in men. We observed, however, that in women, the prevalence of diabetes was significantly lower, whereas the prevalence of dyslipidemia was higher, although not significantly different ( $p = 0.054$ ) in nonproducers compared to producers (**Table 2**). Among nonproducers, fourteen patients (82.4%) were menopausal, two had irregular menstruation and one had amenorrhea. Among the producers, seven patients (87.5%) were menopausal, and the other was 46 years old and had undergone a total hysterectomy. There was no significant difference in the frequency of menopause between producers and non-producers. The mean age at menopause was  $47.6 \pm 6.2$  years for nonproducers and  $51.4 \pm 1.0$  years for producers, with no significant difference between them.

**Table 1.** Clinical characteristics of equol nonproducers and producers.

Variable	Nonproducer ( <i>n</i> = 23)	Producer ( <i>n</i> = 15)	<i>p</i> -Value
Age (y)	54.6 ± 15.0	60.4 ± 9.3	0.697
Sex (Male/Female)	(6/17)	(7/8)	0.191
Equol (nmol/mL)	BLQ	23.0 ± 34.2	
Daidzein (nmol/mL)	50.2 ± 46.1	12.0 ± 7.4	0.01
Log (Equol/Daidzein)	-	0.0 ± 0.75	
Hypertension (%)	11 (47.8)	7 (46.7)	0.944
Dyslipidemia (%)	11 (47.8)	5 (33.3)	0.376
Diabetes Mellitus (%)	11 (47.8)	12 (80.0)	0.047
BMI (kg/m <sup>2</sup> )	28.6 ± 4.2	28.5 ± 4.1	0.945
Platelet count (×10 <sup>4</sup> /μL)	20.3 ± 6.4	16.4 ± 5.5	0.062
AST (IU/L)	62.0 ± 26.7	62.3 ± 35.4	0.97
ALT (IU/L)	78.8 ± 45.4	73.0 ± 41.2	0.696
Fasting glucose (mg/dL)	103.6 ± 24.7	115.7 ± 30.0	0.205
HOMA-IR	5.8 ± 5.8	11.6 ± 11.1	0.129
Ferritin	173.5 ± 192.9	238.0 ± 128.0	0.278
Type 4 collagen 7S (ng/mL)	6.2 ± 3.1	6.0 ± 2.2	0.822
P-III-P (U/mL)	0.7 ± 0.3	0.7 ± 0.2	0.895
FIB-4 index	2.5 ± 1.6	3.3 ± 2.7	0.258
APRI	1.1 ± 0.7	1.5 ± 1.3	0.214

Values are *n* (%) or mean ± standard deviation. BMI, body mass index; AST, aspartate aminotransferase; ALT, alanine aminotransferase; HOMA-IR, homeostasis model assessment-insulin resistance, type III procollagen peptide; P-III-P, the aspartate aminotransferase to platelet ratio index; APRI, below the limit of quantitation; BLQ.

**Table 2.** Comparison of clinical characteristics between equol nonproducers and producers stratified by sex.

Variable	Male			Female		
	Nonproducer ( <i>n</i> = 6)	Producer ( <i>n</i> = 7)	<i>p</i> -Value	Nonproducer ( <i>n</i> = 17)	Producer ( <i>n</i> = 8)	<i>p</i> -Value
Age, y	53.4 ± 17.9	56.0 ± 8.4	0.734	60.5 ± 14.0	64.1 ± 8.8	0.512
Equol (nmol/mL)	BLQ	34.3 ± 46.5		BLQ	11.7 ± 8.9	
Daidzein (nmol/mL)	52.1 ± 41.7	10.7 ± 7.9	0.059	49.5 ± 48.9	13.4 ± 7.1	0.011

Variable	Male			Female		
	Nonproducer (n = 6)	Producer (n = 7)	p- Value	Nonproducer (n = 17)	Producer (n = 8)	p- Value
Log (Equol/Daidzein)	-	0.17 ± 0.73		-	-0.2 ± 0.8	
Hypertension (%)	3 (50.0)	4 (57.1)	0.797	8 (47.1)	3 (37.5)	0.653
Dyslipidemia (%)	2 (33.3)	4 (57.1)	0.391	9 (52.9)	1 (12.5)	0.054
Diabetes Mellitus (%)	4 (66.7)	5 (71.4)	0.853	7 (41.2)	7 (87.5)	0.03
Menopause	-	-	-	14 (82.4)	7 (87.5)	0.743
BMI (kg/m <sup>2</sup> )	28.9 ± 2.6	28.5 ± 3.7	0.852	28.5 ± 4.7	28.5 ± 4.7	0.997
Platelet count (×10 <sup>4</sup> /μL)	19.3 ± 4.9	17.6 ± 5.0	0.552	20.6 ± 6.9	15.4 ± 6.0	0.078
AST (IU/L)	60.2 ± 16.3	60.0 ± 24.3	0.989	62.6 ± 30.1	64.4 ± 44.7	0.907
ALT (IU/L)	94.5 ± 45.7	78.4 ± 45.6	0.54	73.2 ± 45.3	68.3 ± 39.4	0.797
Fasting glucose (mg/dL)	113.3 ± 40.7	129.9 ± 27.8	0.405	100.1 ± 16.5	103.3 ± 27.6	0.725
HOMA-IR	9.4 ± 9.8	16.7 ± 14.7	0.397	4.4 ± 2.9	8.6 ± 8.2	0.101
Ferritin	273.8 ± 352.4	242.6 ± 140.0	0.834	144.0 ± 117.2	233.4 ± 126.5	0.111
Type 4 collagen 7S (ng/mL)	4.8 ± 0.9	5.6 ± 2.9	0.634	6.5 ± 3.3	6.3 ± 1.7	0.853
P-III-P (U/mL)	0.8 ± 0.3	0.7 ± 0.2	0.831	0.7 ± 0.3	0.7 ± 0.2	0.803
FIB-4 index	1.9 ± 1.0	2.5 ± 1.1	0.414	2.7 ± 1.8	4.1 ± 3.5	0.317
APRI	1.1 ± 0.3	1.3 ± 0.6	0.495	1.1 ± 0.7	1.7 ± 1.7	0.358

aminotransferase; ALT, alanine aminotransferase; HOMA-IR, homeostasis model assessment-insulin resistance, type III procollagen peptide; P-III-P, the aspartate aminotransferase to platelet ratio index; APRI, below the limit of quantitation; BLQ.

### 3.2. Comparison of Pathological Features between Equol Nonproducers and Producers

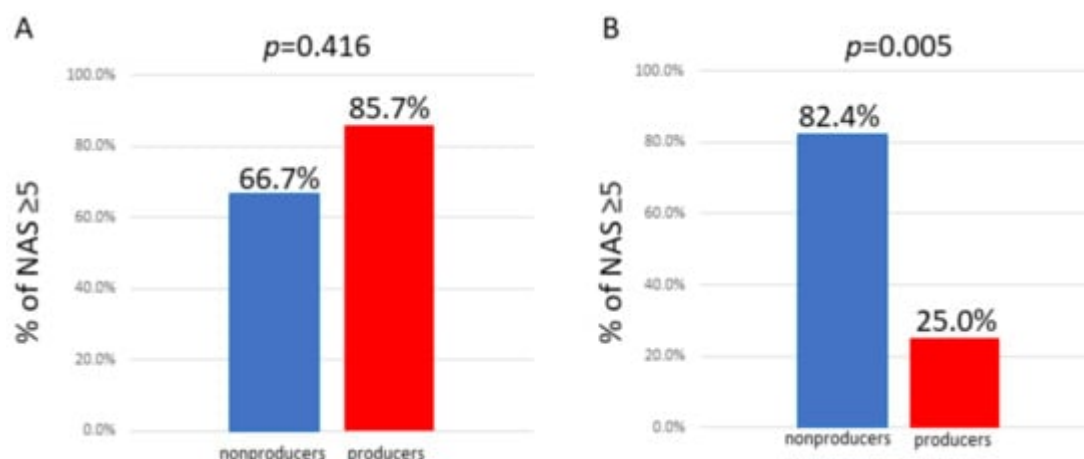
It compared the four histological features of NAS (steatosis, lobular inflammation, hepatocellular ballooning, and fibrosis) between nonproducers and producers stratified by sex (**Table 3**), noticed that in men, none of the histological features were significantly different between nonproducers and producers. In contrast, we found that in women, the degree of fibrosis in nonproducers was significantly higher than that in producers. Although we did not observe any stage 4 fibrosis in producers, the percentage of stage 4 fibrosis in nonproducers was found to be 23.5%. Likewise, the degree of ballooning in nonproducers was shown to be significantly higher than that in producers, with the percentage of ballooning score 2 in nonproducers being 58.8%, whereas in producers the percentage was 12.5%.

**Table 3.** Comparison of pathological features between equol nonproducers and producers stratified by sex.

	Male			Female		
	Nonproducer <i>n</i> , (%)	Producer <i>n</i> , (%)	<i>p</i> -Value	Nonproducer <i>n</i> , (%)	Producer <i>n</i> , (%)	<i>p</i> -Value
Fibrosis stage			0.292			0.047
0	1, (16.7)	0, (0)		2, (11.8)	0, (0)	
1	1, (16.7)	0, (0)		3, (17.6)	0, (0)	
2	1, (16.7)	1, (14.3)		4, (23.5)	7, (87.5)	
3	3, (50.0)	3, (42.9)		4, (23.5)	1, (12.5)	
4	0, (0)	3, (42.9)		4, (23.5)	0, (0)	
Steatosis			0.629			0.44
1	0, (0)	1, (14.3)		6, (35.3)	5, (62.5)	
2	5, (83.3)	5, (71.4)		7, (41.2)	2, (25.0)	
3	1, (16.7)	1, (14.3)		4, (23.5)	1, (12.5)	
Lobular inflammation			0.489			0.262
0	0, (0)	0, (0)		1, (5.9)	0, (0)	
1	2, (33.3)	2, (28.6)		5, (29.4)	5, (62.5)	
2	3, (50.0)	5, (71.4)		11, (64.7)	3, (37.5)	
3	1, (16.7)	0, (0)		0, (0)	0, (0)	
Ballooning			0.612			0.03
1	5, (83.3)	5, (71.4)		7, (41.2)	7, (87.5)	
2	1, (16.7)	2, (28.6)		10, (58.8)	1, (12.5)	
NAS score			0.292			0.084
3	0, (0)	1, (14.3)		2, (11.8)	3, (37.5)	
4	2, (33.3)	0, (0)		1, (5.9)	3, (37.5)	
5	2, (33.3)	4, (57.1)		9, (52.9)	1, (12.5)	
6	1, (16.7)	2, (28.6)		4, (23.5)	1, (12.5)	

	Male			Female		
	Nonproducer <i>n</i> , (%)	Producer <i>n</i> , (%)	<i>p</i> -Value	Nonproducer <i>n</i> , (%)	Producer <i>n</i> , (%)	<i>p</i> -Value
7	1, (16.7)	0, (0)		1, (5.9)	0, (0)	

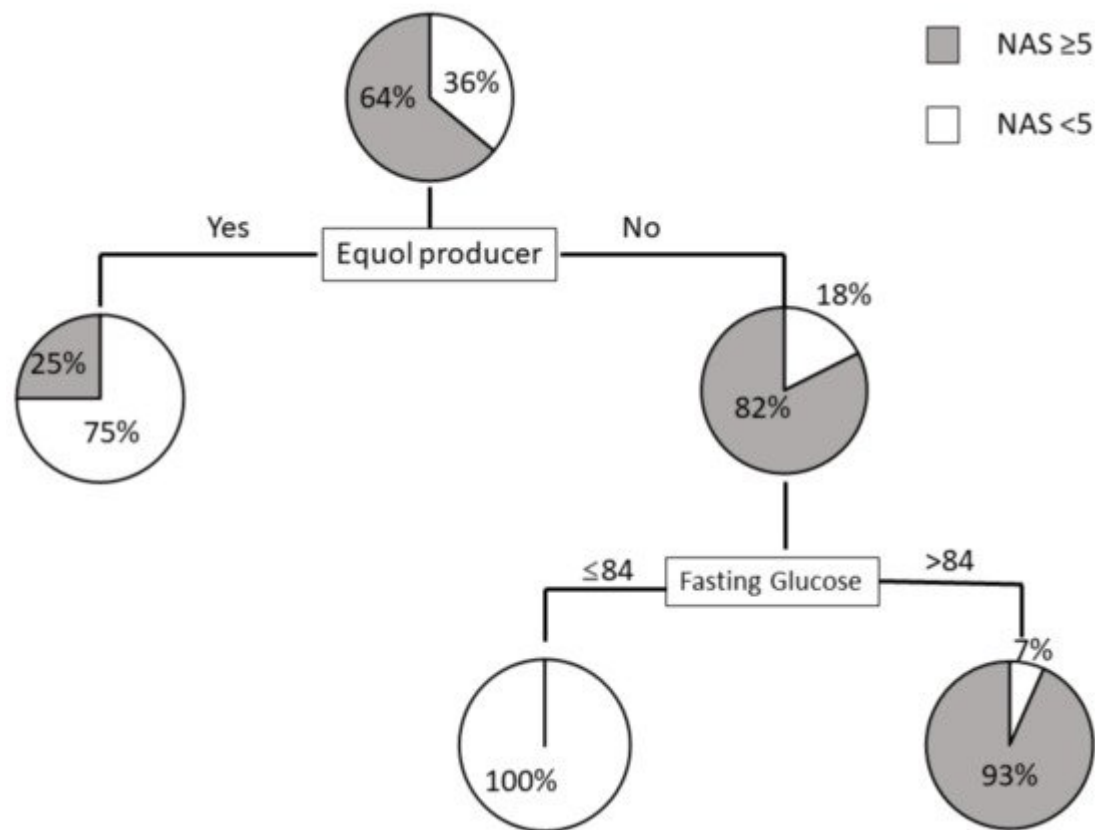
producers (Figure 3).



**Figure 3.** Comparison of the percentage of individuals with NAS  $\geq 5$  between equol nonproducers and producers. **(A)** Male; the percentage of nonproducers with NAS  $\geq 5$  was not significantly different from that in producers. **(B)** Female; the percentage of nonproducers with NAS  $\geq 5$  was significantly higher than that in producers.

### 3.3. Prediction Model for NAS $\geq 5$ Using Decision Trees Analysis

To clarify the profiles associated with NAS  $\geq 5$ , created a decision-tree algorithm, setting the following factors as independent variables: age, menopause, hypertension, dyslipidemia, diabetes mellitus, BMI, platelet count, fasting glucose, HOMA-IR, ferritin, type 4 collagen 7S, P-III-P, FIB-4, APRI, and equol producers. Among the factors expected to predict NAS  $\geq 5$ , we selected equol producers as the initial distinguishable factor for NAS  $\geq 5$  in women. It observed a NAS  $\geq 5$  in 25% of equol producers. In contrast, a NAS  $\geq 5$  was identified in 82% of equol nonproducers. Fasting glucose was demonstrated to be the second most distinguishable factor among equol nonproducers, observed a NAS  $\geq 5$  in 93% of nonproducers with fasting glucose levels  $>84$  mg/dL. In contrast, none of the nonproducer patients with fasting glucose  $\leq 84$  mg/dL was found to have a NAS  $\geq 5$  (**Figure 4**). The mean fasting glucose levels were not significantly different between equol nonproducers with NAS  $\geq 5$  ( $103.1 \pm 15.4$  mg/dL) and those with NAS  $< 5$  ( $88.0 \pm 16.6$  mg/dL) ( $p = 0.143$ ).



**Figure 4.** Decision tree analysis of factors predicting NAS  $\geq 5$  in women. Pie graphs indicate the proportions of patients with NAS  $\geq 5$  and NAS  $< 5$ .

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