# Tumor-Infiltrating Lymphocytes and PD-L1-Positive Cells

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Contributor: Makito Miyake

This review discusses two topics: (i) the prognostic impact of tumor-infiltrating lymphocytes and (ii) predictive biomarkers for immune checkpoint inhibitors, to shed light on lymphocyte migration in four solid tumors, the urothelial carcinoma, renal cell carcinoma, prostate cancer, and retroperitoneal sarcoma.

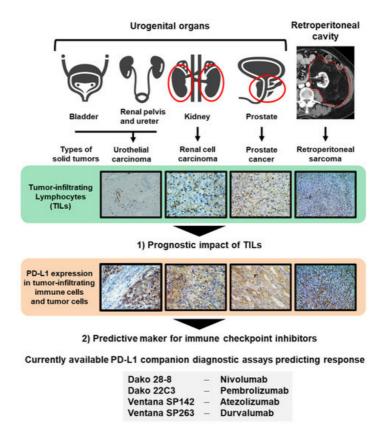
Keywords: tumor-infiltrating lymphocyte; immune cells; tumor microenvironment; prognosis; immune checkpoint inhibitor; treatment response; urothelial carcinoma; renal cell carcinoma; prostate cancer; retroperitoneal sarcoma

#### 1. Introduction

The interaction and cross-talk among tumor cells and several immune cells in a tumor microenvironment are dynamic and complex processes  $^{[1][2]}$ . In 2011, Hanahan and Weinberg defined "avoiding immune destruction" and "tumor-promoting inflammation" as emerging cancer hallmarks $^{[3]}$ , which are host-dependent biological characteristics play crucial roles in the immune cell-mediated orchestration of tumor proliferation, progression, angiogenesis, epithelial-to-mesenchymal transition (EMT), invasion, and metastasis $^{[4][5]}$ . Although inflammation caused by the innate immune system was originally designed to fight infections and heal wounds, "tumor-promoting inflammation" can inadvertently contribute to multiple cancer hallmark capabilities by supplying active molecules to the tumor microenvironment $^{[3][6][7][8]}$ . "Avoiding immune destruction" allows tumor cells to escape immunosurveillance; the main role of T lymphocytes, B lymphocytes, macrophages, natural killer (NK) cells, neutrophils, and dendritic cells $^{[3][9][10]}$ .

An increasing body of oncological research has provided evidence to suggest that tumor-infiltrating lymphocytes (TILs), including T lymphocytes such as CD8+ T cells and regulatory T cells, and NK cells such as tumor-associated NK cells and tumor-infiltrating NK cells, are double-edged swords in cancer [9][11][12]. The density, composition, and types of TILs vary greatly across tumor stage<sup>[10]</sup> and tumor entity<sup>[13]</sup>. Moreover, these features display significant heterogeneity between patients with the same type of tumors [14][15]. Lymphocyte migration to neoplastic lesions is mainly controlled by chemotactic factors, including chemokines and small cytokines, which are secreted from immune cells and tumor cells[16]. There are three types of immunological profiles: (1) immunologically "tumors" present with a high degree of T cell infiltration (e.g., melanoma, non-small-cell lung carcinoma, and renal cell carcinoma (RCC))[17][18]; (2) immunologically "cold tumors" present with scarce immune infiltrates (e.g., prostate cancer (PCa) and pancreatic cancer)[19][20]; (3) immunologically "altered tumors" in which peri-tumoral sites are densely inflamed with immune cells which lack the capability to infiltrate the tumor [21]. Classification of tumors according to their immune phenotype can help predict responses to immune checkpoint inhibitors (ICIs), such as anti-programmed cell death 1 (PD-1) inhibitors, pembrolizumab, and nivolumab. Higher levels of immune cell infiltration and interferon signature (a T-cell-inflamed phenotype) are associated with a positive response to ICIs  $\frac{[19]}{}$ . However, many other solid tumors fail to respond to ICIs due to limited immunogenicity, unfavorable tumor microenvironments with scarce immune infiltrates, and excessive accumulation of regulatory T cells $\frac{[17]}{}$ . Therefore, the potential to develop new therapeutic approaches that can convert immunologically 'cold' or 'altered' environments to 'hot' environments has recently attracted increasing attention. [22][23].

As immune contexture can vary widely across types of tumor and tumor microenvironment, there exists a significant lack of clinically available definitive biomarkers that provide accurate predictions for treatment responses, especially in urogenital malignancies. Additionally, in this review, we will discuss the functional heterogeneity in the tumor-infiltrating immune system to explore its prognostic impact after surgery and other treatments. This review focuses on two main topics: (i) the prognostic impact of TILs and (ii) predictive biomarker for ICIs, to shed light on lymphocyte migration in four solid tumors which are urothelial carcinoma (UC), RCC, PCa, and retroperitoneal sarcoma (RSar) (Figure 1).



**Figure 1.** Two topics discussed in this review. Urologists should handle several malignancies arising from different organs, including the bladder, renal pelvis, ureter, kidney, prostate, and tissues of the retroperitoneal cavity. In this review, we discuss two topics: **(1)** the prognostic impact of tumor-infiltrating leukocytes (TILs) and **(2)** predictive markers for immune checkpoint inhibitors to shed light on lymphocyte migration in four solid tumors, the urothelial carcinoma, renal cell carcinoma, PCa, and retroperitoneal sarcoma. Currently available PD-L1 companion diagnostic assays predicting response to immune checkpoint inhibitors are shown.

## 2. Limitations and Current Perspective Regarding the Assessment of TILs

Researchers focused exclusively on the potential of TILs as a prognostic or predictive marker. Relevant studies have been performed in a retrospective manner and in relatively small cohorts. The definitions of TILs, such as inclusion of intratumoral TILs and/or stromal TILs, and the scoring methodology varied among studies. These inconsistencies hinder comparisons across studies and extrapolation of findings to clinical practice. Large studies investigating the potential prognostic value of TILs as assessed on HE staining are lacking. International Immuno-Oncology Biomarker Working Group on Breast Cancer has developed the international guidelines regarding the assessment of TILs on HE-stained slides without any specific staining [24]. The purpose of this group is to develop standards on the assessment of immuno-oncology biomarkers to aid pathologists, clinicians and researchers in their research and daily clinical practice. International Guidelines on TIL-assessment in solid tumors Part 2 provided the recommendation in assessment of genitourinary carcinomas. According to the guidelines[24], separate reporting of intratumoral TILs and stromal TILs is recommended—this is based on the context of atezolizumab treatment in mUC, where the PD-L1 "immune cell" score is derived from the stromal TILs score [25]. In addition, special care should be taken to avoid areas of tumor zones with necrosis, coagulation artifact, and previous biopsy sites, which is a common finding in resected specimens of bladder tumor. However, detailed tutorial for RCC, PCa, and sarcoma is not available because of insufficient data to make specific recommendations.

Early data showed the presence of TILs in UC was associated with a favorable prognosis [26]. As to early data of RCC, increased TILs, both CD4-positive and CD8-positive T cells, appear to related with high risk of post-nephrectomy recurrence and poor prognosis [27][28][29][30][31]. However, accumulating evidence, largely based on IHC quantification of different TIL subsets, have somehow turned conflicting results on the prognostic relevance of TILs (Table 1). Majority of reports on TILs in PCa have focused on the prognostic value of TILs, while few studies investigating the potential to predicting response to drug therapies. Most reports have shown the evidence for a relationship between the high TILs and increased risk of recurrence [32][33][34], metastasis [35], and poor cancer specific survival [36]. The result on the composition of TILs in are heterogeneous and sometimes conflicting, and the relationship between TILs and survival is still unclear in PCa[24]. Overall, we emphasis on the importance of uniform assessment of TILs and uniform comparison of study results in research practices.

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### 3. Conclusions

As, an "immunotherapy tsunami", in particular ICIs, has overtaken the oncological field in this decade, it is mandatory for Physicians to deepen knowledge about cancer immunity and tumor immune microenvironment. This review highlights comprehensively the following two topics: (i) prognostic impact of TILs and (ii) predictive maker for ICIs in four urological solid tumors: UC, RCC, PCa, and Sar. Although there is accumulating evidence that the density of TILs can serve as a prognostic biomarker and/or predictive biomarker for immunotherapies, inconsistency of TIL evaluation and interpretation for the results seems to hinder its clinical application. Unfortunately, across different solid malignancies, the response rate and predictive markers for ICIs may vary significantly. Multiple biomarkers including tumor-infiltrating immune cells, PD-L1 expression, other immune checkpoint protein expression, mRNA gene expression analysis, mismatch-repair deficiency, and tumor mutational burden may need to overcome disease heterogeneity and complex tumor immunity. Both identification of positive or negative predictive biomarkers of ICIs and development promising combination are required urgently to refine the clinical management of advanced urological malignancies. Further studies with large-scale cohorts and long follow-up periods to prove the clinical impact of novel prognostic/predictive biomarkers, followed by their adoption in clinical practice.

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