Additive Manufacturing Processes

Subjects: Engineering, Mechanical | Dentistry, Oral Surgery & Medicine | Others

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Additive manufacturing (AM, 3D printing) is used in many fields and different industries. In the medical and dental field, every patient is unique and, therefore, AM has significant potential in personalized and customized solutions. This text explores what additive manufacturing processes and materials are utilized in medical and dental applications, especially focusing on processes that are less commonly used. The processes are categorized in ISO/ASTM process classes: powder bed fusion, material extrusion, VAT photopolymerization, material jetting, binder jetting, sheet lamination and directed energy deposition combined with classification of medical applications of AM. Based on the findings, it seems that directed energy deposition is utilized rarely only in implants and sheet lamination rarely for medical models or phantoms. Powder bed fusion, material extrusion and VAT photopolymerization are utilized in all categories. Material jetting is not used for implants and biomanufacturing, and binder jetting is not utilized for tools, instruments and parts for medical devices. The most common materials are thermoplastics, photopolymers and metals such as titanium alloys. If standard terminology of AM would be followed, this would allow a more systematic review of the utilization of different AM processes.

Keywords: additive manufacturing; rapid manufacturing; medical; 3d printing; surgery; medical modelling

1. Introduction

Additive manufacturing (AM), or, in a non-technical context, 3D printing, is a process where physical parts are manufactured using computer-aided design and objects are built on a layer-by-layer basis [1]. Usually, these procedures are called toolless processes. There are other processes, such as incremental sheet forming or laser forming, that build objects on a layer-by-layer basis as well but do so by adding the form, not the material [2][3]. These processes are not counted as an additive manufacturing process even though they have been similarly used in making, for example, customized medical products [4][5]. Currently, additive manufacturing is utilized and being investigated for use in areas such as the medical, automotive, aerospace and marine industries, as well as industrial spare parts [6][7][8][9][10][11][12][13]. It has also started to have an important role in engineering education $\frac{[14]}{}$. Additive manufacturing is referred to as a manufacturing method where complexity or customization is free [15]. However, this requires marking and tracing of the different parts compared to mass production of the same kind of parts and building orientation affects parts cost and building times [16]. Nevertheless, when comparing AM against conventional manufacturing, it has a much higher potential for customization and complex geometries. However, when comparing cost, additive manufacturing is usually not cheaper if the geometry is designed for mass production and only the manufacturing cost is calculated $\frac{[17]}{}$. It would suffice to reiterate the whole product design and look at the economics over the entire product lifecycle[18]. AM is currently developing fast, and new players are entering the market all the time. There have been substantial investments in new companies, such as Carbon, Desktop Metal and Formlabs, as well as internal development in large companies in other areas, such as HP and GE. Even though the basic principles of the different AM processes have stayed the same, there are now more development resources to take the next step forward for these technologies, and this will also open up new possibilities in medical applications [19][20][21][22].

In the medical field, every patient is unique, and therefore, AM has a high potential to be utilized for personalized and customized medical applications. The most common medical clinical uses are personalized implants, medical models and saw guides $^{[23]}$. In the dental field, AM is utilized on splints, orthodontic appliances, dental models and drill guides. However, AM has also been explored for making artificial tissues and organs $^{[24]}$. In medicine, there is a background in digitalization of medical imaging, and that digitalization allows for reconstructing 3D models from patients' anatomy. A typical workflow for personalized medical devices starts with imaging or capturing the patient's geometry using computed tomography or other 3D scanning methods $^{[25]}$. Then, these data are manipulated to obtain a 3D model of the patient's anatomy, and this can be an example already of additive manufacturing such as a medical model. Moreover, the geometry

can be utilized to design patient-specific implants, and this design can be additively manufactured. After manufacturing, there is quite often a need for post-processing, such as polishing^[26]. When the medical device is ready, the final step is the clinical application and follow-up.

The usage of AM is usually related to the question of what the benefits are compared to existing processes and technologies. Most often, the questions are related to whether it is cheaper to manufacture, but the whole lifecycle of the product and process should be investigated. The actual manufacturing prices cannot be the only performance indicator. Table 1 summarizes some of the benefits of AM in the medical and dental fields. Quite often, similar benefits can be found in other subject areas than medical and dental fields, for example, the industrial side, such as digital storage for industrial spare parts, which reflects heavily to digital storage of dental data.

Table 1. Some of the benefits of additive manufacturing (AM) in medical and dental fields.

Reference	Findings	Area		
Ballard et al. ^[27]	cost and time savings	Orthopedic and maxillofacial surgery		
Choonora et al. [28]	personalization	Transplants		
Mahmoud et al.	cost savings	Pathology specimens for students		
Tack et al. [30]	time savings, improved medical outcome, decreased radiation exposure	Surgery		
Ballard et al. [31]	incorporation of antibiotics	Implants		
Lin et al. [32]	personalization, cost savings	Dental		
Javaid et al. [33]	cost and time savings, personalization, digital storage	Dental		
Aho et al. ^[34]	personalization	Pharmacy		
Salmi et al. [35]	reduction of manual work	Dental appliances		
Aquino et al. ^[36]	personalization, on-demand manufacturing	Pharmacy		
Javaid et al. ^[37]	accuracy, cost and time savings, personalization, fully automated and digitized manufacturing	Orthopedics		
Emelogu et al. [38]	supply chain possibilities	Implants		
Gibson et al. ^[10]	surgeon as a designer, innovation potential	Surgery		
Haleem et al. ^[39]	ability to use different materials	Medical		
Murr et al. [40]	ability to make complex geometries	Implants		
Peltola et al. [41]	template for forming implants	Implants		
Ramakrishnaiah et al. ^[42]	rough and porous surface texture, better stabilization and osseointegration	Dental implants		
Nazir et al. [43]	design iterations, supply chain possibilities, complex geometries	Medical devices		
Yang et al. [44]	improved understanding of anatomy and accuracy of surgery	Surgery		

Since AM is a class of manufacturing processes, it is important to understand what the bases of these processes are, how those differ from each other and to describe how the process works.

2. Additive Manufacturing Processes

The ASTM and ISO standardization organization categorizes the AM process into seven different categories: powder bed fusion (PBF), material extrusion (ME), VAT photopolymerization (VP), material jetting (MJ), binder jetting (BJ), sheet lamination (SL) and directed energy deposition (DED)^[1]. Each category includes many different vendors, solutions and material options. In this article, ASTM/ISO categories were followed. This was problematic, since the standard terminology is still not utilized in most studies, and often trade names are used for processes. To clarify different processes and principles, <u>Table 2</u> lists the names of the process classes and a short description, common starting material form, trade

names and how well the process is used to manufacture the plastic type of materials, metals or ceramics. Some of the processes for certain materials are in the development and research phase, such as directed energy deposition VAT photopolymerization and material jetting for metals, and some seem not to exist at all, such as sheet lamination of ceramics or directed energy deposition of plastics and ceramics. It is possible that there are scientific studies and trials of these, but no commercial providers exist. Commonly, new process and material combinations are developed based on demand, which highlights large industries and a substantial need. Usually, this leads to the selection of a commonly used material since that can be utilized in many areas.

Table 2. Characteristics of different AM processes.

AM Process	Short Description	Material Form	Plastics	Metals	Ceramics	Trade/Other Names	
Powder bed fusion (PBF)	thermal energy fuses regions of a powder bed	powder	+++	+++	+	selective laser sintering (SLS), direct metal laser sintering (DMLS), selective laser melting (SLM)	
Material extrusion (MEX)	material dispensed through a nozzle	filament, pellets, paste	+++	++	++	fused deposition modeling (FDM), (fused filament fabrication) FFF	
VAT photo- polymerization (VP)	liquid photopolymer in a vat is cured by light	liquid	+++	+	++	SLA, digital light projection (DLP)	
Material jetting (MJ)	droplets of material are selectively deposited	liquid	+++	+	+	PolyJet, NJP	
Binder jetting (BJ)	a liquid bonding agent is selectively deposited	powder	+++	++	+	3D printing (3DP), ColorJet printing (CJP)	
Sheet lamination (SL)	sheets of material are bonded	sheets	++	++	-	laminated object manufacturing (LOM), ultrasonic additive manufacturing (UAM)	
Directed energy deposition (DED)	focused thermal energy used to fuse materials by melting when depositing	powder, wire	-	+++	+	laser-engineered net shaping (LENS), EBAM	

Note: +++, widely available/many studies exist; ++, available/several studies exist; +, R&D phase/studies exist; -, no studies exist.

Each AM process and piece of equipment require a 3D model of the object that they will manufacture, and the most used format for that is stereolithography, standard triangle language, standard tessellation language (STL). The STL model is then sliced into layers and further processed to commands for the specific AM machine. To additively manufacture the part, a raw material is required, such as power, filament, liquid, paste sheet or pellets. The raw material can then be, for example, melted, dispensed, cured or fused to make parts on a layer-by-layer basis. Terminology in AM varies and, as an example, the powder bed fusion process can be called selective laser melting (SLM), selective laser sintering (SLS) or direct metal laser sintering (DMLS). For material extrusion, the most used terms are fused deposition modeling (FDM) or fused filament fabrication (FFF). As a first invented AM process, stereolithography (SLA) has been very commonly used for processes in the VAT photopolymerization class, but digital light projection (DLP) is also used if the light source is a DPL projector. Trade names in material jetting are PolyJet and NanoParticle Jetting. Binder jetting is often called 3D printing (3DP) or ColorJet printing (CJP). Sheet lamination processes are laminated object manufacturing (LOM) and ultrasonic additive manufacturing (UAM). Directed energy deposition processes are laser-engineered net shaping (LENS) and electron beam additive manufacturing. In addition, many others exist on the market.

3. Medical Applications of Additive Manufacturing

Medical applications of additive manufacturing can be classified in several ways $\frac{[45][46]}{}$, but this article follows application classes-based classification. AM applications can be classified into the following classes: "models for preoperative planning, education and training", "inert implants", "tools, instruments and parts for medical devices", "medical aids, supportive guides, splints and prostheses" and "biomanufacturing" $\frac{[47]}{}$. For a more general classification, this can be modified so that implants do not need to be inert, and models for preoperative planning, education and training could also include postoperative and operative models using the term "medical models". Figure 1 shows an example of an

application in each category including a (a) preoperative model of a skull and heart, (b) craniomaxillofacial implants, (c) a dental drilling guide, reduction forceps, nasal and throat swabs, (d) personalized and mobilizing external support and (e) a scaffold for zygomatic bone replacement and resorbable orbital implants.

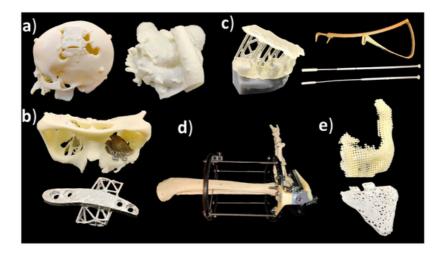


Figure 1. (a) Medical models; (b) implants; (c) tools, instruments and parts for medical devices; (d) medical aids, supportive guides, splints and prostheses; (e) biomanufacturing.

Classification of medical applications of additive manufacturing [48]:

- · Medical models;
- · Implants;
- Tools, instruments and parts for medical devices;
- · Medical aids, supportive guides, splints and prostheses;
- · Biomanufacturing.

3.1. Medical Models

Medical models are based on patient anatomy, and they can be used for pre- and postoperative operative planning and training; training medical students; and informing patients and patients' families [30][49]. The geometry can be transformed, for example, by taking only interesting sections or scaling it up or down. If models are used for training, such as bone drilling, haptic response might be desirable to be close to the bone. Medical models are widely used in the craniomaxillofacial area, but there are also cases, for example, from different limbs and other bone structures such as the spine and pelvis [30][50]. If these are utilized in the operating theater, it might be recommended that the models be sterilized, but usually, the material option can be quite freely selected which highlights also that these are one of the most common applications. Figure 2 shows a typical process workflow for manufacturing medical models starting from patient anatomy captured via medical imaging, such as computed tomography (CT), magnetic resonance imaging (MRI) or ultrasound, followed by constructing a 3D model geometry for AM using segmentation algorithms [51][52]. After AM, there is often a need for postprocessing such as removing the support structures.

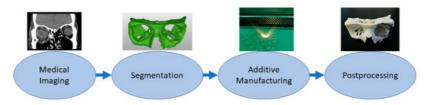


Figure 2. Typical process flow for medical models.

3.2. Implants

Implants are directly or indirectly additively manufactured to replace defective or missing tissue $^{[53][54]}$. This class also includes dental applications such as crowns and bridges $^{[55]}$. The material needs to be tissue-compatible and requirements are strict, and approval processes take a long time. Surface properties might affect cell adhesion. Some of the latest studies have explored how to embed materials inside implants, for example, as a type of drug delivery system $^{[56][57]}$. In personalized implants, AM is a favorable solution, and a typical process requires the capture of a patient's anatomy similar

to medical models. Then, this digital 3D model of the patient anatomy is used as a design reference to enable patient-specific fitting [58][59]. Most typical implants are made from metals using the powder bed fusion process, and this requires different postprocessing steps such as machining the supports, polishing and heat treatments. Before clinical operation, implants need to be sterilized. Figure 3 shows the typical process flow for implants made by additive manufacturing starting from medical imaging and segmentation followed by 3D modeling of the implant proceeding to AM, postprocessing and sterilization.

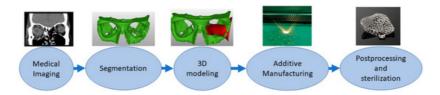


Figure 3. Typical process flow for implants.

3.3. Tools, Instruments and Parts for Medical Devices

Tools, instruments and parts for medical devices allow or enhance a clinical operation. They might utilize patient-specific dimensions and shapes, for example, in drilling guides $^{[60]}$, and can be invasive and need a sterilization process, since they can be in contact with body fluids, membranes, tissues and organs for a limited time. This class includes surgical instruments and orthodontic appliances $^{[61][62][63]}$. One of the largest and most successful businesses in this class is using the VAT photopolymerization process to create molds for vacuum forming clear orthodontic aligners $^{[64]}$. When patient-specific dimensions are utilized, the process is similar to that of implants and preoperative models from medical imaging or 3D scanning. 3D modeling can be conducted by referring to the 3D model of the patient's anatomy or from scratch if a patient-specific geometry or fitting is not needed. Postprocessing might include support removal, heat treatments, machining and sterilization. Tools, instruments and parts for medical devices are typically made with the process flow shown in Figure 4. For example, the process starts by taking an impression of the patient's teeth, 3D scanning it, followed by 3D modeling, VAT photopolymerization AM, postprocessing and using the part made as a mold for soft orthodontic aligners.

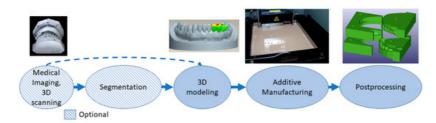


Figure 4. Typical process flow for tools, instruments and parts for medical devices.

3.4. Medical Aids, Supportive Guides, Splints and Prostheses

In this class, parts made with additive manufacturing are external to the body, and these can be combined with standard appliances to allow customization. Long-term and postoperative supports, motion guides, fixators, external prostheses, prosthesis sockets, personalized splints and orthopedic applications are examples of applications in this class [65][66][67][68]. The process can start from medical imaging followed by segmentation, 3D scanning or 3D measurements that can provide data directly for use in the 3D modeling phase. Alternative manufacturing methods for additive manufacturing are quite often computer numerical control (CNC) technologies[69]. Parts may require different kinds of postprocessing depending on the application such as support removal, heat treatments and painting or coating. The typical process flow for medical aids, supportive guides, splints and prostheses using AM is presented in Figure 5. The example case is a personalized and mobilizing external support for a pilon fracture, where 3D modeling is based on measuring the patient's ankle movement and adjusting the additive manufacturing pieces to locate the hinge so that it controls the movement under force close to the free movement of the ankle.

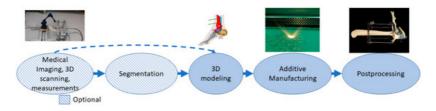


Figure 5. The typical process flow for medical aids, supportive guides, splints and prostheses.

3.5. Biomanufacturing

Biomanufacturing is a combination of additive manufacturing and tissue engineering $^{[70]}$. Materials need to be biologically compatible and often active with the body so many different polymers, ceramics and composite materials are used $^{[71]}$. Porous structures with cultivation and a 3D matrix can affect cell specialization. The materials can be osteoinductive, osteoconductive or resorbable $^{[72]}$. Shapes can be personalized to correspond to defects $^{[73]}$. For personalized shapes, the patient's geometry needs to be captured using medical imaging or 3D scanning. In the 3D modeling phase, micro- and macrostructures are modeled, and porous structures are often used for attracting cells and cell growth. The process often needs to be sterile or parts made with the ability to be sterilized after printing. Before final application, there might also be the need for cell growth in vitro or in vivo. Figure 6 shows an example of an orbital floor resorbable implant stating patient geometry with CT and segmentation followed by 3D modeling and AM of the implant. After manufacturing, the implant is sterilized.

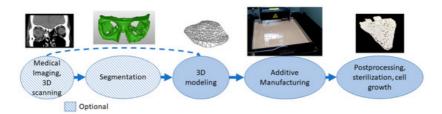


Figure 6. Typical process flow for biomanufacturing.

There are previous studies regarding certain processes and/or application areas of medical applications of AM such as powder bed fusion of metal implants $^{[74]}$, additive manufacturing of medical instruments $^{[61]}$, biomaterials in medical additive manufacturing $^{[75]}$ and medical phantoms and regenerated tissue and organ applications with additive manufacturing $^{[76]}$. Previous studies have not usually classified the AM processes or reviewed only a single process. Some studies focused only on utilized material $^{[77]}$ s and some only on applications without any information about the AM processes or materials. Based on findings from the literature, $^{[76]}$ shows the different AM processes and materials used or explored in the medical application classes formed.

Application Area	PBF	MEX	VP	MJ	BJ	SL	DED
Medical models [78][79][80][81][82][83][84][85][86][87][50][56]	PA, PP	ABS+, PLA	Photocurable resin	VeroWhite, VeroClear, TangoPlus, Multi- material	ZP150, ZP151, PMMA	Paper	
Implants <u> </u>	Ti6Al4VTi64, Co-Cr-Mo, Al2O3-ZrO2	PEEK	Clear resin V4, ATZ, NextDent C&B		ZP150, TCP, nickel- based alloy 625, Titanium		Ti6Al4V
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 The fating diese are easily found in powder, resin, pellet or paste forms. In addition, mixing new materials with existing ones is achievable. For implants, the most common materials are metals, especially titanium alloys and for other applications, polymers with existing of additive materials. Professions Material existing in the existing of additive materials are new as for prostness, and there are new are singular existentials existential application and time materials with a materials are required. Such as for prostness, and there are new are singular existentials existential applications of additive materials are materials.

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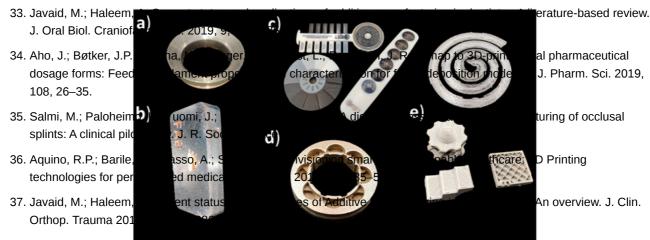
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