

# Estimation of Genetic Ancestry

Subjects: **Genetics & Heredity**

Contributor: Eva Suarez-Pajes , Ana Díaz-de Usera , Itahisa Marcelino-Rodríguez , Beatriz Guillen-Guio , Carlos Flores

Admixed populations arise when two or more ancestral populations interbreed. As a result of this admixture, the genome of admixed populations is defined by tracts of variable size inherited from these parental groups and has particular genetic features that provide valuable information about their demographic history. Diverse methods can be used to derive the ancestry apportionment of admixed individuals, and such inferences can be leveraged for the discovery of genetic loci associated with diseases and traits, therefore having important biomedical implications.

admixture mapping

genetic ancestry

ancestry informative markers

next-generation sequencing

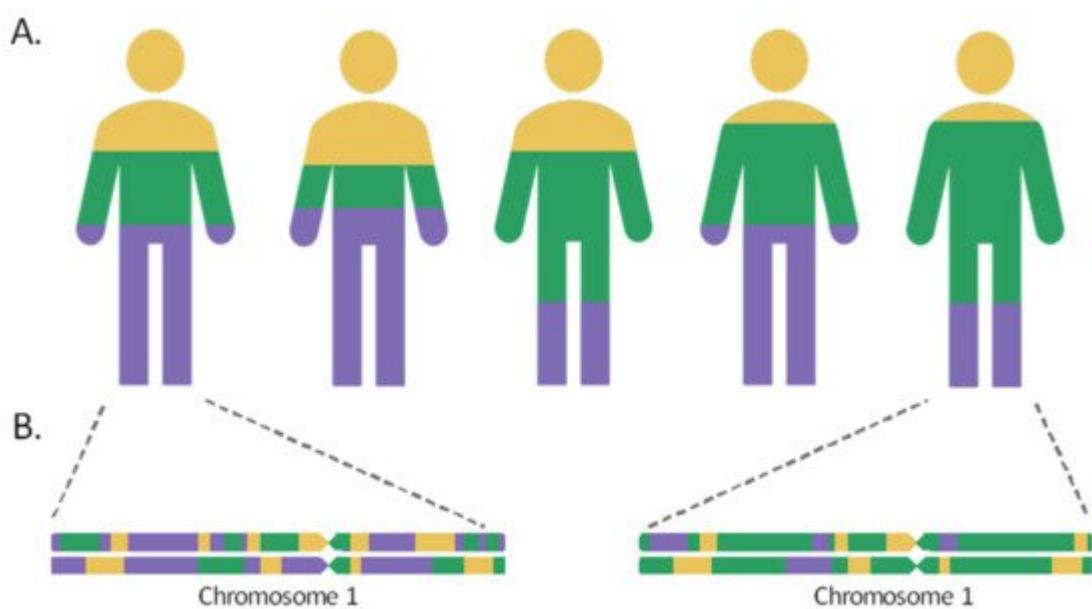
## 1. Genetic Admixture

Admixed populations are the result of gene flow between reproductively isolated groups, owing to events that have occurred throughout human history, including migratory events, the discovery of new territories, or the slave trade. As a result of the intermixture and recombination, over time, the genomes of individuals in the hybrid population will contain a mosaic of ancestries from different population sources in their chromosomes. The length of the chromosome segments inherited from the different ancestral populations will be proportional to the time elapsed since the admixture event. These tracts shorten over the generations by the meiotic recombination process, so that the most recently admixed populations, such as the Canary Islanders in Spain or the Latino populations, would retain longer ancestral tracts, while the populations that mixed more distantly in time, such as the Uyghur in China, would harbor shorter ancestry segments in their chromosomes [1][2].

As such, the admixture proportions and the elapsed time since the admixture event can be inferred based on linkage disequilibrium (LD) [3][4]. When two distant populations interbreed, the admixture linkage disequilibrium (ALD) can be generated among loci with different allelic frequencies in the ancestral populations, leading to a linkage between markers that were previously unlinked. During the first generations since the admixture, ALD is expected to experience a rapid decay between distant loci, while it would be maintained between closer positions and can be detected after generations [5]. Additionally, the ALD dynamics of decay are also influenced by the admixture model. For example, a greater drop of ALD and a faster length decrease in the ancestral chromosomal segments are expected for those populations that have been formed by a single mixing event, compared with admixtures maintained throughout generations [1][6].

## 2. Estimation of Genetic Ancestry: Global and Local Ancestry

Global ancestry (GA) is the fraction of genomic ancestry from each admixed individual that can be ascribed to each of the ancestral populations contributing to the recently admixed population (Figure 1A). The estimate of GA can be obtained using different approaches. Some of the most popular methods are based on probabilistic models using genotype data, assuming that populations are in Hardy–Weinberg equilibrium and considering complete linkage equilibrium for all loci considered for the estimation, such as STRUCTURE [7][8] and ADMIXTURE [9][10]. Alternative approaches that allow the estimation of the ancestry proportions are based on principal component decompositions, such as ipPCA [11], and on the study of LD decay curves, such as ALDER [3].



**Figure 1.** Global (A) and local (B) genetic ancestries in a recently admixed population with three ancestral populations. The proportion of each of the ancestral populations is represented by the colors yellow, blue, and purple.

Local ancestry (LA) is a term commonly used to refer to the ancestry in each of the chromosome blocks, also known as ancestral tracks, in recently admixed individuals (Figure 1B). For this, the number of copies derived of each ancestral population, in each genomic position, could be inferred per individual (from zero to two copies). Thus, GA can also be obtained by summarizing LA across the individual genomes. Multiple estimators have been developed to infer LA (Table 1).

**Table 1.** Most common methods to estimate local genetic ancestry.

SOFTWARE	Algorithm	Background LD	Phasing Requirement	Genetic Map	Physical Map	Number of Ancestral Reference Populations
CHROMOPAINTER	HMM	Yes	Phased	Optional	No	≥2

Software	Algorithm	Background LD	Phasing Requirement	Genetic Map	Physical Map	Number of Ancestral Reference Populations	
EILA	k-means	No	Unphased	No	Yes	2 or 3	[13]
ELAI	Two layers HMM	Yes	Phased/Unphased <sup>a</sup>	No	No	≥2	[14]
HAPMIX	HMM	Yes	Phased/Unphased <sup>b</sup>	Yes	No	2	[15]
LAMP-LD	HMM	Yes	Phased/Unphased <sup>b</sup>	No	Yes	2, 3 or 5	[16]
Loter	Single layer HMM	No	Phased	No	No	≥2	[17]
PCAdmix	HMM and local PCA	No	Phased	Optional	Optional	≥2	[18]
RFMIX	CRF	No	Phased	Yes	No	≥2	[19]
SABER +	HMM	Yes	Phased	No	No	2–4	[20][21]
SEQMIX	HMM	No	Unphased	Yes	No	2	[22]
SupportMix	SVM	No	Phased	Yes	No	≥2	[23]

1. Jin, W.; Li, R.; Zhou, Y.; Xu, S. Distribution of ancestral chromosomal segments in admixed genomes and its implications for inferring population history and admixture mapping. *Eur. J. Hum. Genet.* 2014, 22, 930–937.

<sup>a</sup> Phased and unphased data are allowed for ancestral and admixed populations. <sup>b</sup> Phased data are needed for the ancestral populations and unphased data for the admixed population. CRF (Conditional Random Field), HMM (Hidden Markov Model), LD (linkage disequilibrium), PCA (Principal Component Analysis), SVM (Support Vector Machines).

2. Guillen-Guio, B.; Lorenzo-Salazar, J.M.; Gonzalez-Montelongo, R.; Diaz-de Usera, A.; Marcelino-Rodriguez, I.; Corrales, A.; de Leon, A.C.; Alonso, S.; Flores, C. Genomic analyses of human European diversity at the southwestern edge: Isolation, African influence and disease associations in the Canary Islands. *Mol. Biol. Evol.* 2018, 35, 3010–3026.

The Local Reference Locus (LRL) [16] and the LD-based methods [17] such as LAMP-LD [16], RFMix [19] and HAPMIX [15] among others (Table 1) are designed to deal with AIMs, these other algorithms rely on denser sets of genetic markers (retaining LD) that allow one to obtain a higher resolution in estimating LA, most of them based on hidden Markov models [14][16].

4. Zhou, Y.; Qiu, H.; Xu, S. Modeling Continuous Admixture Using Admixture-Induced Linkage Disequilibrium. *Sci. Rep.* 2017, 7, 43054.

In order to identify the optimal approach for each scenario, benchmarking the different algorithms and reference panels is necessary. Previous reviews have compared the different methods for estimating local ancestry [24][25][26][27][28], suggesting the main points to consider: (1) the target population: Aborigines USA [1988], each [1989–1990], and (2) the inherent features of the target population itself. Table 1 shows the main characteristics of the most common methods to estimate local ancestry.

Reich, D.E.; Patterson, N.; Price, A.L.; Reich, D. Principal components methods for the study of genetic ancestry. *Am. J. Hum. Genet.* 2002, 69, 912–924. <https://doi.org/10.1089/ajhg.2002.0111>

Reich, D.E.; Patterson, N.; Price, A.L.; Reich, D. Population structure in admixed populations: Effect of closely mixed ancestry on the pattern of linkage disequilibrium. *Am. J. Hum. Genet.* 2001, 68, 198–207. <https://doi.org/10.1089/ajhg.2001.0011>

## 3 Admixture Mapping Studies

7. Falush, D.; Stephens, M.; Donnelly, P. Inference of population structure using multilocus genotype data: Dominant markers and null alleles. *Mol. Ecol. Notes* 2007, 7, 574–578. <https://doi.org/10.1111/j.1471-2954.2007.01970.x>

### 3.1. Definition

8. Pritchard, J.K.; Stephens, M.; Donnelly, P. Inference of Population Structure Using Multilocus Genotype Data. *Genetics* 2000, 155, 945–959. <https://doi.org/10.1093/genetics/155.3.945>

The frequency of alleles in a population is closely related to those frequencies found in their ancestral populations [30][31]. When these ancestral populations have marked differences in the susceptibility to a disease, admixture mapping studies, also known as mapping by admixture linkage disequilibrium (MALD) studies, can be performed to reveal genetic loci harboring variants underlying such differences between population groups [32]. Admixture mapping studies aim to correlate LA with a trait of interest in recently admixed populations in which AED is still detectable, under the hypothesis that variants associated with increased disease risk will be found in chromosomal fragments inherited from one of the parental populations [33][34]. Thus, an increment (or decrease) in the proportion of the ancestry associated with the trait of interest will be expected in these chromosomal regions (Figure 2, Table 2).

9. Alexander, D.H.; Novembre, J.; Lange, K. Fast model-based estimation of ancestry in unrelated individuals. *Genome Res.* 2009, 19, 1655–1664. <https://doi.org/10.1101/031210>

10. Alexander, D.H.; Lange, K. Enhancements to the ADMIXTURE algorithm for individual ancestry estimation. *BMC Bioinform.* 2011, 12, 246. <https://doi.org/10.1186/1471-2148-12-246>

11. Limpij, T.; Intarapamich, A.; Assawamakin, A.; Shaw, P.J.; Wangkumhang, P.; Pinyapongsa, J.; Ngamphiw, C.; Tongsim, S. Study of large and highly stratified population datasets by combining iterative pruning principal component analysis and structure. *BMC Bioinform.* 2011, 12, 255. <https://doi.org/10.1186/1471-2148-12-255>

12. Lawson, D.J.; Hellenthal, G.; Myers, S.; Falush, D. Inference of population structure using dense haplotype data. *PLoS Genet.* 2012, 8, e1002453. <https://doi.org/10.1371/journal.pgen.1002453>

13. Yang, J.J.; Li, J.; Buu, A.; Williams, L.K. Efficient inference of local ancestry. *Bioinformatics* 2013, 29, 2750–2756. <https://doi.org/10.1093/bioinformatics/btt470>

14. Guan, Y. Detecting structure of haplotypes and local ancestry. *Genetics* 2014, 196, 625–642. <https://doi.org/10.1534/genetics.113.160700>

15. Price, A.L.; Tandon, A.; Patterson, N.; Barnes, K.C.; Rafaels, N.; Ruczinski, I.; Beaty, T.H.; Mathias, R.; Reich, D.; Myers, S. Sensitive detection of chromosomal segments of distinct ancestry in admixed populations. *PLoS Genet.* 2009, 5, e1000519. <https://doi.org/10.1371/journal.pgen.1000519>

16. Baran, Y.; Pasaniuc, B.; Sankararaman, S.; Torgerson, D.G.; Gignoux, C.; Eng, C.; Rodriguez-Cintron, W.; Chapela, R.; Ford, J.G.; Avila, P.C.; et al. Fast and accurate inference of local ancestry in Latino populations. *Bioinformatics* 2012, 28, 1359–1367. <https://doi.org/10.1093/bioinformatics/btr700>

17. Dias-Alves, T.; Mairal, J.; Blum, M.G.B. Loter: A software package to infer local ancestry for a wide range of species. *Mol. Biol. Evol.* 2018, 35, 2318–2326. <https://doi.org/10.1093/molbev/msy150>

18. Brisbin, A.; Bryc, K.; Byrnes, J.; Zakharia, F.; Omberg, L.; Degenhardt, J.; Reynolds, A.; Ostrer, H.; Mezey, J.G.; Bustamante, C.D. Pcadmix: Principal components-based assignment of ancestry along each chromosome in individuals with admixed ancestry from two or more populations. *Hum. Biol.* 2012, 84, 343–364. <https://doi.org/10.1080/09631721.2012.670700>

19. Maples, B.K.; Gravel, S.; Kenny, E.E.; Bustamante, C.D. RFMix: A discriminative modeling approach for rapid and robust local-ancestry inference. *Am. J. Hum. Genet.* 2013, 93, 278–288. <https://doi.org/10.1016/j.ajhg.2013.07.005>

20. Tang, H.; Coram, M.; Wang, P.; Zhu, X.; Risch, N. Reconstructing genetic ancestry blocks in admixed individuals. *Am. J. Hum. Genet.* 2006, **79**, 1–12.

21. Johnson, N.A.; Coram, M.A.; Shriver, M.D.; Romieu, I.; Barsh, G.S.; London, S.J.; Tang, H. Ancestral Components of Admixed Genomes in a Mexican Cohort. *PLoS Genet.* 2011, **7**, e1002410.

22. Hu, Y.; Willer, C.; Zhan, X.; Kang, H.M.; Abecasis, G.R. Accurate Local-Ancestry Inference in Exome-Sequenced Admixed Individuals via Off-Target Sequence Reads. *Am. J. Hum. Genet.* 2013, **93**, 891–899.

23. Omberg, L.; Salit, J.; Hackett, N.; Fuller, J.; Matthew, R.; Chouchane, L.; Rodriguez-Flores, J.L.; Bustamante, C.; Crystal, R.G.; Mezey, J.G. Inferring genome-wide patterns of admixture in Qatars using fifty-five ancestral populations. *BMC Genet.* 2012, **13**, 49.

24. Geza, E.; Mugo, J.; Mulder, N.J.; Wonkam, A.; Chimusa, E.R.; Mazandu, G.K. A comprehensive survey of models for dissecting local ancestry deconvolution in human genome. *Brief. Bioinform.* 2019, **20**, 1709–1724.

25. Schubert, R.; Andaleon, A.; Wheeler, H.E. Comparing local ancestry inference models in populations of two- And three-way admixture. *PeerJ* 2020, **8**, 1–19.

26. Hui, D.; Fang, Z.; Lin, J.; Duan, Q.; Li, Y.; Hu, M.; Chen, W. LAIT: A local ancestry inference toolkit. *BMC Genet.* 2017, **18**, 83.

27. Yuan, K.; Zhou, Y.; Ni, X.; Wang, Y.; Liu, C.; Xu, S. Models, methods and tools for ancestry inference and admixture analysis. *Quant. Biol.* 2017, **5**, 236–250.

28. Thornton, T.A.; Bermejo, J.L. Local and global ancestry inference and applications to genetic association analysis for admixed populations. *Genet. Epidemiol.* 2014, **38**, S5–S12.

**Figure 2.** Scheme of an admixture mapping study. (A) LA estimates in cases and controls individuals from a association analysis for admixed Populations. *Genet. Epidemiol.* 2014, **38**, S5–S12. (B) Comparison of local ancestry scores of all chromosomal regions between cases and controls. (C) The Bravington et al. study. (D) Haplotype phasing: Existing methods and new developments. *Nat. Rev. Genet.* 2011, **12**, 703–714.

**Table 2.** Definition of the main concepts.

Concept	Definition
30. Shriner, D.; Adeyemo, A.; Ramos, E.; Chen, G.; Rotimi, C.N. Mapping of disease-associated variants in admixed populations. <i>Genome Biol.</i> 2011, <b>12</b> , 223.	Ancestry: Genetic variants, usually SNPs, that show large differences between the informative marker (AIM) and that are, thus, highly informative for ancestry estimation in admixed populations.
31. Auton, A.; Abecasis, G.R.; Visscher, P.M.; Bentley, D.R.; Chakravarti, A.; Donnelly, P.; Elphick, P.; Flicek, P.; et al. A global reference for human genetic variation. <i>Nature</i> 2015, <b>526</b> , 68–74.	Genetic variants, usually SNPs, that show large differences between the parental populations and that are, thus, highly informative for ancestry estimation in admixed populations.
32. Claiborne Stephens, J.; Briscoe, D.; O'Brien, S.J. Mapping by admixture linkage disequilibrium in human populations. <i>Am. J. Hum. Genet.</i> 2004, <b>75</b> , 809–824.	A simple model to describe how gene flow between ancestral populations could have occurred. Admixed populations can be the result of a mixture between individuals from two human populations (multiple admixture) or be a result of a single event (hybrid isolation).
33. McKeigue, P.M. Mapping genes underlying ethnic differences in disease risk by linkage disequilibrium in recently admixed populations. <i>Am. J. Hum. Genet.</i> 1997, <b>60</b> , 188–196.	Ancestry: In admixed populations, this allows the determination of the proportion of each of the ancestries for a given admixture model.

34. Motsinger, P.M. Mapping genes that underlie ethnic differences in disease risk: Methods for detecting linkage in admixed populations by conditioning on parental admixture. *Am. J. Hum. Genet.* 1998, 63, 241–251.

35. Smiha, D. Overview of Admixture Mapping. *Orth. Photo. Hum. Genet.* 2017, 94, 1261–1268.

36. Smith, M.W.; O'Brien, S.J. Mapping by admixture linkage disequilibrium: Advances, limitations and guidelines. *Nat. Rev. Genet.* 2005, 6, 623–632.

37. Reiner, A.P.; Ziv, E.; Lind, D.L.; Nievergelt, C.M.; Schork, N.J.; Cummings, S.R.; Phong, A.; Buchard, E.C.; Harris, T.B.; Post, B.M.; et al. Population structure, admixture, and aging-related phenotypes in African American adults: The cardiovascular health study. *Am. J. Hum. Genet.* 2005, 76, 463–477.

3.2. Advantages and Disadvantages of Admixture Mapping Studies

As 2005, 76, 463–477, given that LA tracks are usually large, often measured in the megabase-scale, the significance penalty of these studies is much lower than for GWAS, therefore increasing the statistical power for a given sample size 30. Furthermore, these studies are less affected by allelic heterogeneity than GWAS, because they are based on LA and not on SNP alleles directly.

38. Bonilla, C.; Párra, E.J.; Pfaff, C.L.; Dios, S.; Marshall, J.A.; Hamman, R.F.; Ferrell, R.E.; Hoggart, C.L.; McKeigue, P.M.; Shriver, M.D. Admixture in the Hispanics of the San Luis Valley, Colorado, and its implications for complex trait gene mapping. *Ann. Hum. Genet.* 2004, 68, 139–153.

39. Bryc, K.; Durand, E.Y.; Macpherson, G.M.; Freedman, D.; Mountain, J.L. The genetic ancestry of African Americans, Latinos, and European Americans across the United States. *Am. J. Hum. Genet.* 2015, 96, 87–93.

40. Freedman, M.L.; Haiman, C.A.; Patterson, N.; McDonald, G.J.; Tandon, A.; Waliszewska, A.; Penney, K.; Steen, R.G.; Ardlie, K.; John, E.M.; et al. Admixture mapping identifies 8q24 as a prostate cancer risk locus in African-American men. *Proc. Natl. Acad. Sci. USA* 2006, 103, 14068–14073.

41. Bock, C.H.; Schwartz, A.G.; Ruterbusch, J.J.; Levin, A.M.; Neslund-Dudas, C.; Land, S.J.; Verpalen, B.; Reich, D.; McKeigue, P.; John, E.M.; et al. Results from a prostate cancer admixture mapping study in African-American men. *Hum. Genet.* 2009, 126, 637–642.

42. Ruiz-Narváez, E.A.; Sucheston-Campbell, L.; Bensen, J.T.; Yao, S.; Haddad, S.; Haiman, C.A.; Bandera, E.V.; John, E.M.; Bernstein, L.; Hu, L.J.; et al. Admixture mapping of African-American women in the AMBER Consortium identifies new loci for breast cancer and estrogen-receptor subtypes. *Front. Genet.* 2016, 7, 1–10.

43. Wang, E.P.; Zhang, C.W.; Su, S.C.; Chen, H.H.; Chiu, Y.C.; Lai, Z.; Bouamar, H.; Ramírez, A.G.; Cigarral, I.S.; Sun, L.Z.; et al. An ancestry informative marker panel design for individual ancestry estimation of Hispanic population using whole exome sequencing data. *BMC Genom.* 2019, 20, 1007.

44. Brown, R.; Pasaniuc, B. Enhanced Methods for Local Ancestry Assignment in Sequenced Admixed Individuals. *PLoS Comput. Biol.* 2014, 10, e1003555.

4. NGS and Genetic Ancestry Estimation

45. Maróti, Z.; Boldogkői, Z.; Tombácz, D.; Snyder, M.; Kalmár, T. Evaluation of whole exome sequencing as an alternative to BeadChip and whole genome sequencing in human population

The genotyping analysis of [BMC Genetics 2018, 19:78](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC5201811/), together with the reduction in the sequencing costs, offers a great opportunity for genetic ancestry studies to develop further. Among the major advantages of this technology 46. Lachance, J., Tishkoff, S.A. SNP ascertainment bias in population genetic analyses: Why it is compared to microarrays (**Table 3**) is its high-throughput capacity, resulting in thousands of DNA fragments being important, and how to correct it. *BioEssays* 2013, 35, 780–786. sequenced simultaneously, offering the possibility of covering a larger fraction of the genome. Therefore, the use of Retrieved from <https://encyclopedia.pub/entry/history/show/27949> NGS, especially of whole-genome sequencing (WGS), allows an increase in the number of markers tested to infer LA, and the possibility to find optimal ancestry-specific genetic markers. This permits one to obtain reference panels of ancestral populations and then design panels of more restricted AIMs, as done by Li-Ju Wang and colleagues, who proposed a specific panel of AIMs to infer three-way genetic admixture (European, East Asian, and African) by using whole-exome sequencing (WES) data [43]. Furthermore, the NGS technology allows the detection of information from the entire spectrum of allelic frequencies, from common variants to low-frequency and rare variants, which, by definition, are expected to be more structured among populations compared to the common variation that is typically covered by most SNP genotyping microarrays. This leads to better detection of population-specific variants and, therefore, improved LA estimation [44]. Additionally, another advantage offered by the NGS is that detected SNPs are not affected by ascertainment bias, which is induced by an incorrect or nonrepresentative selection of markers. In this sense, Maróti and colleagues assessed the use of WGS, WES, and SNP genotyping microarray data in population genetic analyses [45]. Their results suggested that SNP genotyping data may be more prone to biasing the results, as they are related to significantly higher cross-validation error values and an overestimation of the admixture proportions than are WES or WGS data. Accordingly, Lachance and Tishkoff suggested that the use of biased markers from genotyping arrays may misestimate LD and overestimate population differences [46]. Since these aspects are important for LA inference and, consequently, for the proper performance of an admixture mapping study, we anticipate that the use of NGS will lead to more accurate estimates.

**Table 3.** Advantages and disadvantages of using NGS for LA estimation.

Advantages	Disadvantages
<ul style="list-style-type: none"> <li>• Larger fraction of the genome covered.</li> <li>• Detection of low-frequency and population-specific variants.</li> <li>• More accurate LA estimate.</li> <li>• SNPs not affected by ascertainment bias.</li> </ul>	<ul style="list-style-type: none"> <li>• Lack of specific algorithms and software.</li> <li>• Accuracy depends on sequencing coverage.</li> <li>• WES covers a small portion of the genome.</li> <li>• Higher computational and economic costs.</li> </ul>

LA (Local ancestry), WES (Whole-Exome Sequence), SNP (Single Nucleotide Polymorphism).

## 5. Concluding Remarks

Genetic ancestry studies and admixture mapping approaches have expanded genetic knowledge in biomedical research, revealing new loci associated with traits and diseases that could not have been detected by conventional association studies. Despite this, the available genomic resources need to be improved to obtain more accurate ancestry inferences.

In summary, promoting genetic studies in admixed populations, and the use of admixture mapping studies, combined with the alternative approaches described, promise the identification of novel disease associations and a better understanding of complex trait genetics. Eventually, these results will translate into a more equitable representation of the catalogs of genetic variation across populations.