Gut Microbiota and NAFLD

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The pathogenesis of NAFLD is complex and not fully understood, but there is increasing evidence that the gut microbiota is strongly implicated in the development of NAFLD.

Keywords: liver steatosis; cirrhosis; hepatocellular carcinoma; intestinal permeability; gut microbiota; dysbiosis

1. Introduction

Non-alcoholic fatty liver disease (NAFLD) is characterised by an excessive intrahepatic fat accumulation, i.e., steatosis, without significant alcohol consumption. Liver steatosis is defined as fat accumulation, in >5% of hepatocytes [1]. NAFLD may be present in several forms ranging from simple steatosis to non-alcoholic steatohepatitis (NASH), which is a progressive form, characterised by steatosis, hepatocytes swelling, and inflammation. Unlike simple steatosis, NASH is not reversible and can eventually progress into fibrosis, cirrhosis, or even hepatocellular carcinoma (HCC).

The first stage of alcoholic liver disease (ALD) is also characterised by hepatic steatosis. However, unlike NAFLD, the primary trigger of ALD, i.e., excessive alcohol consumption, is known and the disease is preventable. Ethanol probably does not play a prominent role in NAFLD pathogenesis but is discussed as one of the possible contributing factors. A detailed discussion of the role of the gut microbiota in ALD pathogenesis is beyond the scope of this review and has been discussed elsewhere [2].

NAFLD is closely associated with many features of metabolic syndrome, including obesity, insulin resistance, hyperlipidaemia, and hypertension $^{[3][4]}$ and increases the risk of cardiovascular disease (CVD) and type 2 diabetes mellitus (T2DM) $^{[5]}$. Therefore, not surprisingly, the leading cause of death in NAFLD patients is not liver failure, but cardiovascular disease $^{[6]}$.

NAFLD is the most common chronic liver condition in the USA and Europe. Its global prevalence is rapidly increasing and is currently estimated at 24%. The highest rates are reported from the Middle East (32%) and South America (31%) and the lowest from Africa (14%) $^{[Z]}$. The estimated 10-year economic burden of NAFLD alone could increase to an estimated USD 1.005 trillion in the USA and EUR 334 billion in Europe $^{[B]}$.

NAFLD pathogenesis is complex and not fully understood. The current understanding is that NAFLD is caused by a complex interplay of environmental factors mostly dietary, gut microbiota disturbances, and host factors.

2. Gut Microbiota Dysbiosis

2.1. Introduction to Dysbiosis

Liver diseases, including non-alcoholic fatty liver disease (NAFLD), alcoholic liver disease (ALD), cirrhosis, and hepatocellular carcinoma ^[9] are associated with compositional and functional alterations of gut microbiota, known as dysbiosis. Dysbiosis is usually characterised by these two major features: (1) the reduction or complete loss of some commensals. The total loss of certain microbial species leads to decreased microbiota diversity, which is associated with many immune-mediated and metabolic disorders ^[10]. (2) The overgrowth of potentially pathogenic commensals (pathobionts). In a healthy gut ecosystem, pathobionts represent a relatively low percentage of gut microbiota. However, in many diseases, the pathobionts outgrow other commensals. For example, the outgrowth of Gram-negative bacteria from the *Enterobacteriaceae* family, a subgroup of Proteobacteria phylum, is frequently observed in many immune-mediated and metabolic diseases including NAFLD ^{[11][12]}. The bloom of Proteobacteria is often considered as a potential diagnostic marker of dysbiosis and risk of disease ^[13].

Diverse gut microbiota of each individual may endow the host with unique metabolic apparatus and the ability to adapt to changing environment and substrate availability. With decreasing microbial diversity during urbanisation/industrialisation this adaptability was partially lost as human gut microbiota gained new abilities aimed at sugar and xenobiotics processing [14][15]

2.2. Triggers and Drivers of Dysbiosis

Dysbiosis might be caused by host-derived factors such as genetic background, health status (infection, inflammation), and lifestyle habits or, even more importantly, by environmental factors such as diet (high in sugars, low in fibre), xenobiotics (antibiotics, medication, food additives, chlorinated water), or hygienic environment.

Profound shifts in gut bacterial and fungal microbiota can be quickly achieved with shifts in macronutrients. These changes have significant physiological consequences, as diets rich in animal protein or simple sugars worsen the intestinal inflammation induced by dextran sulphate sodium. However, while the former increases proinflammatory tuning in gut monocytes the latter worsens the gut barrier function. In both cases, however, interactions between diet and microbiota are necessary for this deleterious effects as they fail to appear in germ-free condition or after transfer of the microbiota to naive mice $\frac{[16][17]}{}$.

The effect of food additives on gut microbiota has been long overlooked, but recently, several groups, including ours published data demonstrating that some human gut microbes are highly susceptible to food preservatives [18] and that the exposure to common preservatives promotes Proteobacteria overgrowth [19]. Chassaing et al. found that dietary emulsifiers directly alter human gut microbiota composition, and that the emulsifier-modified microbiota can induce intestinal inflammation when transplanted to germ-free mice [20]. Additionally, Rodriguez-Palacios et al. [21] showed that the artificial sweetener Splenda promotes Proteobacteria dysbiosis and increases myeloperoxidase reactivity in ileitisprone SAMP mice. In addition, stevioside, another noncaloric sweetener, has been shown to cause structural and functional changes in the gut microbiota [22]. Non-caloric artificial sweeteners have been widely used as sugar substitutes, and their main purpose was to decrease energy intake and prevent the development of obesity and metabolic syndrome. Unfortunately, they often induce dysbiosis and drive glucose intolerance in a microbiota-dependent manner, thus inducing deleterious metabolic effects they were aimed to prevent [23].

Host-derived factors modifying gut microbiota load and composition are bactericidal fluids produced by gastric glands and the liver, i.e., gastric acid and bile, and antimicrobial molecules, such as defensins, lysozymes, and antibacterial lectins (Reg3y) produced by Paneth cells or SIgA produced by plasma cells [24].

2.3. Consequences of Dysbiosis

Dysbiotic microbiota can influence the host immune and metabolic systems and mucosal integrity via various mechanisms. Immune system-modulating mechanisms include the modulation of inflammasome signalling through microbial metabolites, the modulation of Toll-like receptor (TLR) and NOD-like receptor (NLR) signalling, the degradation of secretory IgA (SIgA), the shifting of the balance between regulatory and proinflammatory T cell subsets, direct mucolytic activity and others [25]. Metabolic system-modulating mechanisms include various effects on glucose and lipid metabolism mediated by changes in bile acid composition, the production of short-chain fatty acids (SCFAs) from dietary fibre, the conversion of choline to TMA, and many others [26]. The integrity of the intestinal wall could be disrupted by acetaldehyde produced by microbiota from exogeneous or endogenous ethanol [27], by direct mucolytic activity [28] and other mechanisms.

Whether dysbiosis is a direct cause of NAFLD or merely reflects disease-associated changes in the host's immune and metabolic systems remains unclear. However, there is accumulating evidence from both preclinical and clinical studies suggesting that gut microbiota dysbiosis plays a key role in the initiation of the disease and its maintenance.

3. NAFLD-Associated Microbiota Signatures

3.1. Gut Microbiota Signatures

The gut microbiota alterations associated with NAFLD are dependent on the clinical stages of the disease $\frac{[29]}{2}$. The most typical general characteristics of the disease's progression include decreasing microbiota diversity, an increasing abundance of Gram-negative bacteria, mostly Proteobacteria (phylum), and a decreasing abundance of Gram-positive bacteria, mostly Firmicutes (phylum) $\frac{[30]}{2}$. Functionally, there is also a shift from beneficial to harmful microbes leading to the development of a proinflammatory and metabolically toxic intestinal environment resulting in gut barrier dysfunction, the exposure of the liver to dietary and microbiota-derived factors, and NAFLD progression $\frac{[29]}{2}$.

The most consistent gut microbiota signatures associated with NAFLD are increased Proteobacteria (phylum), *Enterobacteriaceae* (family), *Escherichia*, *Bacteroides*, *Dorea*, and *Peptoniphilus* (genus) and decreased *Rikenellaceae*, *Ruminococcaceae* (family), *Faecalibacterium*, *Coprococcus*, *Anaerosporobacter*, and *Eubacterium* (genera) (<u>Table 1</u>). The NAFLD-associated microbiota signatures partially overlap with other metabolic diseases. For example, the levels of *Faecalibacterium prausnitzii* are reduced in cirrhosis [31] as well as in obesity [32], T2DM [33], or in intestinal disorders, such as IBD [34] or IBS [35]. *F. prausnitzii* is considered a beneficial microbe for its anti-inflammatory properties [32]. Another strain, *Bacteroides vulgatus*, which is increased in advanced fibrosis [30], is associated with severe obesity, insulin resistance, and increased haemoglobin A1c levels [36]. Interestingly, cirrhosis patients often have a higher abundance of oral cavity microbial strains, such as *Prevotella*, *Veillonella*, and *Streptococcus* [31], in the gut microbiome, which are generally not present in healthy individuals.

Unlike patients with ALD who have fungal dysbiosis characterised by decreased diversity and *Candida* overgrowth [37], patients with NAFLD have no alterations in gut mycobiome.

Table 1. NAFLD-associated gut microbiota signatures.

Phylum	Class	Family	Genus
Proteobacteria† [11][12][30][38] [39]	Gammaproteobacteria↑ [40]	Enterobacteriaceae† [11][12]	Shigella↑ ^[11]
			Escherichia† [12][30][38]
		Pasteurellaceae† [39]	Haemophilus↓ ^[38]
		Succinivibrionaceae† [41]	
	Epsilonproteobacteria†		
	Alphaproteobacteria	Kiloniellaceae† [39]	
		Bradyrhizobiaceae	Bradyrhizobium↑ ^[42]
Verrucomicrobia [†] [38]	Verrucomicrobiae	Akkermansiaceae	Akkermansia↑ ^[38]
Fusobacteria↑ [11]			
Bacteroidetes↑↓ [11][12][40][42] [43][44]	Bacteroidia† ^[43]	Rikenellaceae↓ [12][42]	Alistipes↓ ^[12]
		Bacteroidaceae	Bacteroides† [45]
	Bacteroidetes	Prevotellaceae↑↓ [11][12]	Prevotella↑↓ [11][12][40][45]
		Porphyromonadaceae†↓ [39][43]	Porphyromonas† [12]
			Parabacteroides ↑ [41]
			Coprobacter↓ [38]

Phylum	Class	Family	Genus
Firmicutes † 12 30 38 39 40 41 42 43	Clostridia (43)	Streptococcaceae† [11]	
		Clostridiaceae ↓ [43]	Anaerotruncus↓ [43]
		Ruminococcaceae l [11][12][39][43]	Ruminococcus↑↓ [42][43][45][46] [47]
			Flavonifractor † [38]
			Subdoligranulum↓ [38]
			Faecalibacterium [12][46][48]
			Oscillospira 1 [42]
		Peptostreptococcaceae [43]	
		Lachnospiraceae↑↓ [11][12][38][39] [42][43]	Lachnospiraceae incertae sedis† ^[11]
			Robinsoniella↑ ^[39]
			Dorea↑ [39][42]
			Coprococcus [12][38][43][46][47]
			Moryella↓ ^[43]
			Pseudobutyrivibrio [43]
			Anaerosporobacter↓ [43]
			Roseburia↑↓ [12][39][43]
			Blautia↑↓ [11][12][42][45]
		Peptoniphilaceae	Peptoniphilus† [42][43]
		Clostridiales family XI. incertae sedis	Anaerococcus† [42]
		Eubacteriaceae	Eubacterium [12][38]
		Oscillospiraceae	<i>Oscillibacter</i> ↑↓ [38][39][42]
	Negativicutes	Veillonellaceae↑ [39]	Allisonella↑ ^[41]
	Erysipelotrichia	Erysipelotrichaceae† [11]	Holdemania↓ ^[38]
	Bacilli	Lactobacillaceae↑↓ [43][46]	Lactobacillus†↓ [39][43][46]
		Acidaminococcaceae	Acidaminococcus↑ [38]
Actinobacteria↑↓ [12][38][42]	Actinobacteria	Bifidobacteriaceae 1 [12]	Bifidobacterium↑↓ [12][38]
		Coriobacteriaceae	Eggerthella↑ [38]

 $[\]uparrow$ = increased, \downarrow = decreased, $\uparrow\downarrow$ = contradictory, unassigned = data not available.

3.2. Liver and Circulatory Microbiome

Evidence of viable liver or circulating microbiota in NAFLD patients is limited. Nevertheless, bacteria of genera *Staphylococcus* and *Acinetobacter* can be cultured from venous blood of cirrhosis patients [49]. These cirrhosis patients also underwent an implantation of intrahepatic portosystemic shunt (TIPS). During the procedure, portal, hepatic, central, and peripheral venous blood samples were collected and the 16S rRNA analysis showed that the most abundant phylum in all four compartments is Proteobacteria (about 90%) [49].

4. Gut-Liver Axis—Bidirectional Link

4.1. Definition

The gut–liver axis is a bidirectional communication through the biliary tract, portal vein, and systemic circulation. Liver-derived factors, such as bile acids, influence gut microbiota composition and function, and gut-derived products, either dietary or microbial, regulate bile acid synthesis and as well as glucose and lipid metabolism in the liver. The disruption of the gut–liver axis by, for example, environmental factors inducing gut dysbiosis and/or increased intestinal permeability leads to proinflammatory changes in the liver, and its failure to regulate gut microbiota results in further disease progression (Figure 1). A comprehensive understanding of gut–liver communication is a key to developing efficient preventative, diagnostic, and therapeutic approaches.

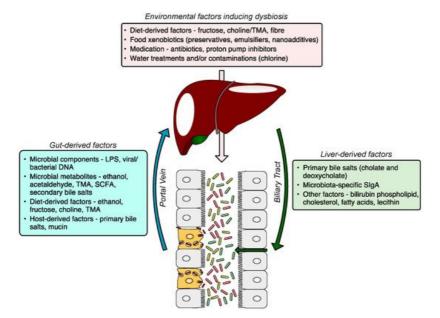


Figure 1. The gut–liver axis and the role of the gut microbiome in the pathogenesis of NAFLD. The gut microbiome altered by environmental factors induces hepatic steatosis. The dysfunctional liver fails to restore gut eubiosis, which results in a vicious self-perpetuating loop, promoting NAFLD progression. The communication via systemic mediators is not shown. NAFLD, non-alcoholic fatty liver disease; MAMP, microbe-associated molecular pattern; LPS, lipopolysaccharide; TMA, trimethylamine; SCFA, short-chain fatty acid; DCA, deoxycholic acid; CDCA, chenodeoxycholic acid.

4.2. Intestinal Barrier Dysfunction

The key function of the barrier is to protect tissues and organs from harmful luminal contents, such as parasites, microorganisms, MAMPs, microbial metabolites, dietary antigens, or toxins while preserving nutrient absorption. The intestinal barrier consists of several functional elements. The physical barrier consists of commensal bacteria, mucins secreted by goblet cells, and the intestinal epithelium sealed with tight junction proteins. The immunological barrier includes components of cellular and humoral immunity. Humoral factors, such as antimicrobial peptides and SIgA, control the load and composition of the microbiota in the lumen. The major antimicrobial peptides are defensins, cathelicidins, resistin-like molecules, and lectins produced by specialised epithelial cells and Paneth cells. SIgA is secreted by plasma cells of the lamina propria, activated by antigen-presenting cells, and transported into the intestinal lumen by epithelial cells after binding to the polymeric Ig receptor (pIgR) [50]. SIgA binds to microbial antigens and toxins, protecting the intestinal mucosa from damage, a process known as immune exclusion [51]. The other immunological components that protect the integrity of the mucosa include macrophages and dendritic cells that transport the infiltrated bacteria and antigens to the mesenteric lymph nodes (MLN), allowing the priming and maturation of B and T cells that form the adaptive immune response in the gut-associated lymphoid tissue [52].

There is no consensus as to which factors are major contributors to increased intestinal permeability, however, there is accumulating evidence that environmental factors, especially an unhealthy diet characterised by low fibre, high sugar and HFCS content, and some food additives play a significant role. For example, chronic fructose consumption is associated with tight junction disruption [53] and increased intestinal permeability [54][55]. The excess unabsorbed fructose is metabolised by gut microbiota which results in lactic acidosis. Other factors compromising the intestinal barrier include excessive alcohol consumption, high exposure to medications including antibiotics, stress, and a lack of physical activity. These factors affect gut permeability either directly or via an induction of gut microbiota dysbiosis.

4.3. Liver and Immune System

The liver is evolutionarily programmed to tolerate low-level exposure to innocuous dietary and microbial antigens delivered via the portal vein. Liver tolerance is maintained by hepatic antigen-presenting cells (HAPCs), which include dendritic cells, liver sinusoidal endothelial cells (LSECs), Kupffer cells, and hepatic stellate cells [56][57]. Antigen presentation by HAPCs to T cells results in suppression of T cell responses [58]. HAPCs also secrete anti-inflammatory cytokines, such as transforming growth factor-beta (TGF-β) and interleukin 10 (IL-10), in response to low levels of microbiota-derived antigens in portal blood. Both TGF-β and IL-10 promote the differentiation of regulatory T cells (Tregs), which suppress the proliferation and effector functions of CD4+ cells and CD8+ T cells [59][60]. These two immunological mechanisms lead to the induction of liver tolerance and have been shown to protect the liver from immune-mediated liver injury [61][62]. However, when the intestinal barrier is compromised, the liver becomes overloaded with antigens from the gut, leading to a loss of liver tolerance and the development of a proinflammatory milieu. Antigens derived from the microbiota induce inflammation by binding to pattern recognition receptors (PRRs) on liver macrophages, including Kupffer cells and stellate cells [63][64]. Signalling via PRRs, mostly TLRs, leads to increased production of inflammatory (TNFα, IL-1, IL-6) and fibrogenic cytokines/chemokines (TGFβ, MCP-1) as well as oxidative and endoplasmic reticulum (ER) stress [65]. Microbial antigens can also induce type I interferon responses in the liver, leading to proliferation and activation of CD8+ cytotoxic T cells [66]. Other immunological mechanisms, such as various effects of short-chain fatty acids on adaptive immune responses, are discussed separately. All of these immunological mechanisms may contribute to the development of inflammation-mediated liver injury, which may progress to fibrosis, cirrhosis, or even HCC.

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