

Ship Autonomous Collision-Avoidance Strategies

Subjects: Transportation

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Autonomous decision-making for ships to avoid collision is core to the autonomous navigation of intelligent ships. Related research has shown explosive growth. However, owing to the complex constraints of navigation environments, the Convention of the International Regulations for Preventing Collisions at Sea, 1972 (COLREGs), and the underactuated characteristics of ships, it is extremely challenging to design a decision-making algorithm for autonomous collision avoidance (CA) that is practically useful. Based on the investigation of many studies, decision-making algorithms can be attributed to three strategies: alteration of course alone, alteration of speed alone, and alteration of both course and speed.

Keywords: collision-avoidance strategy ; navigation ; path planning

1. Introduction

Owing to the advantages of large volume and low cost, waterway transportation accounts for approximately 90% of the global trade transportation volume. With the rapid development of the shipping industry and an increase in the number of ships, ships are being developed rapidly and intelligently. Compared with traditional manned ships, the decision-making and control technology of intelligent ships or Maritime Autonomous Surface Ships (MASS) has significant advantages in terms of economy, automation, and efficiency [1]. However, its decision-making mechanism is much more complicated than the former one since it needs to imitate a competent officer whose functionalities are experiential and intuitive [2] to perform CA decisions under all sorts of constraints [3][4], rather than to just provide instructions to the autopilot. These constraints include, but are not limited to, static obstacles, dynamic obstacles/ships [5], the COLREGs [6][7][8], limited ship's maneuverability [2][9], accuracy of environment information [10], natural conditions, etc. [3][5][9][11][12]. Therefore, autonomous ship collision-avoidance (CA) decisions are challenging and worth studying in the field of marine navigation [9].

Autonomous ship CA decision is made from the perspective of safety, navigation practice, and regulations, using appropriate mathematical methods to determine CA measures, with the most dominant form being an optimal combination of altering course and changing speed simultaneously. In order to do this, the information of the navigation environment, the own ship, target ships, and other dynamic obstacles should firstly be collected, processed, and modeled to conduct a mathematical model of ship motion [5][13][14][15]. Additionally, according to the indicators of ship domain [16] and collision risk [17][18][19][20][21], and the identified complex ship encounter situations constrained by the COLREGs [22][23][24][25], sequential and proper CA actions are made to maintain a safe distance [26][27] between the own ship and target ships/obstacles to avoid collision.

The CA strategies chosen by an officer varies with the person's condition, the ship's maneuverability, the navigation environment, and the application of the COLREGs. Due to the flexibility of the officer's thinking, the underactuated characteristics of the ship, the complexity of the navigation environment, and the ambiguity of the COLREGs [28], a perfect CA decision is actually difficult to be completely simulated by mathematical or intelligent methods. Additionally, an autonomous CA decision-making mechanism and strategy selection principle followed by a machine should be framed and based on long-term accumulated human experience, maritime cases, and the requirements of the COLREGs [25][29][30][31].

At all times, ship collision avoidance at sea is typically achieved by altering the course, changing the speed, or a combination of both. In open waters, considering the response time of a vessel and the sailing habits of a crew, altering the course is the primary method of collision avoidance. In restricted waters, collision avoidance is often combined with speed changes [7]. Regardless of the type of CA action, according to the COLREGs, following the "early, large, wide, clear" principle is recommended. "Early" means taking large evasive action early and making such an action in ample time. "Large" means substantial action, that is, the action taken to avoid collision needs to be large enough to be easily observed visually by other vessels or by radar. If vessels are in sight of one another, it is common to alter by more than 30° at a time. In poor visibility, it is often necessary to alter by more than 30° and determine the timing of the turn.

Regarding speed, it is usually advisable to slacken to half of the original speed or stop [25]. “Wide” means that a CA action should avoid the emergence of a close-quarters situation. Altering courses in open waters is the most effective way to avoid a close-quarters situation. In restricted waters, such as narrow waterways, ship CA actions should be conducted in a timely and substantial manner [2]. “Clear” means that a CA action should be effective and ensure that the other vessel is finally past and clear [30]. The original route should be resumed after complete collision avoidance is successful [32].

The COLREGs also require that vessels always sail at a safe speed at all times so that they can take proper and effective evasive actions to avoid collision and be stopped within a distance appropriate to the prevailing circumstances and conditions. Therefore, when the environment and the conditions of a ship change, it should be adjusted to sail at a safe speed [33].

2. Bibliometric Analysis and Thesis Research Factor

2.1. Development Trends and Source Analysis

The keyword used in the collection is “ship autonomous CA decisions”, which originates from the core collection of the Web of Science and is available exclusively in English. A brief bibliometric analysis of the literature was conducted to summarize the distribution and the scope of the literature from multiple perspectives. **Figure 1** shows the publication dates of related papers over time. The number of papers published on autonomous CA decisions of ships is increasing annually, which is an important research issue in the current maritime field.

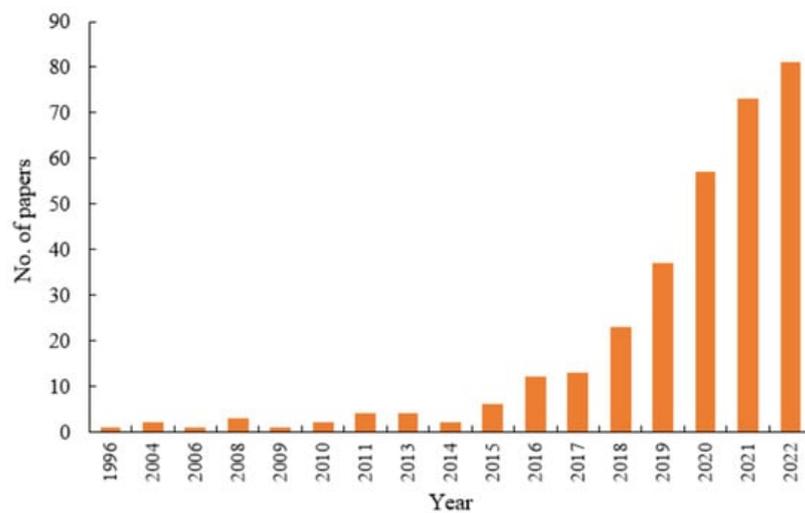


Figure 1. Annual publication analysis of relevant papers.

The sources of publications for the collection of the literature are shown in **Figure 2**: papers related to ship CA research are published in “Ocean Engineering”, “Journal of Navigation”, “Journal of Marine Science and Engineering”, and other nautical journals, and, in recent years, research on autonomous ship CA has rapidly increased. Research on autonomous CA is not limited to open waters, but includes more complex waters, such as narrow waterways. For illustrative purposes, a journal title’s size indicates the relative number of papers published in that particular journal.



Figure 2. Cloud map of publication sources.

improvement in the accuracy and reliability of CA, an increasing number of factors related to CA are considered, such as “ship maneuverability” and “real-time performance.”

2.3. Research Factors

The first two sections were used to analyze and collect the titles and abstracts from the selected papers and combine them with the hot-cloud vocabulary. To further analyze the characteristics of research on CA decision-making in different waters, based on the literature analysis of Vagale et al. [32], ten performance factors were chosen for a comparative study. The choice of these ten factors was based on the most commonly available and relevant information on algorithm descriptions.

P1. Classification of research waters: open waters and congested waters. Generally, methods that can only avoid collision with dynamic target ships are suitable for open waters, and the others that can avoid collision with more than three dynamic ships and static obstacles simultaneously are suitable for congested waters.

P2. Depending on the complexity of the encounter situation, CA decision studies can be divided into two-ship and multi-ship encounters.

P3. Types of obstacles: static, dynamic, and mixed obstacles.

P4. Compliance with the COLREGs: consideration of compliance with the provisions of the COLREGs.

P5. Environmental disturbances: consideration of whether a ship is disturbed by wind, waves, and currents during a voyage.

P6. Real-time performance: the ability of an algorithm to react within a specified time. There are three levels: high (millisecond level, within 1 s), medium (second level, several seconds within 1 min), and low (more than 1 min).

P7. Ship maneuverability: this refers to the ability of a ship to maintain or change its motion state under control, that is, the ability of a ship to maintain or alter its course, speed, and position.

P8. The basis for judging the risk of collision is the consideration of the risk of collision, which is divided into distance to the closest point of approach (DCPA), time to the closest point of approach (TCPA), and ship domains (SDs).

P9. Altering the course/speed range: limited range of changing courses or speeds.

P10. Altering the course/speed laws: These are divided into objective function guide, heading/speed function, discrete variation, and gradual left/right-turn changes.

3. Automatic CA Strategy Based on Alteration of Course Alone

With the development of science and technology, the methods of these strategies have evolved from the earliest traditional mathematical methods to a stage where artificial intelligence techniques and various algorithms are integrated to solve problems, while considering the COLREGs and actual navigation situations. By summarizing the literature on ship autonomous CA algorithms, these research methods can be mainly classified into algorithms based on mathematical models, artificial intelligence, and soft computing.

3.1. Algorithms Based on Mathematical Models

Mathematical model-based algorithms represent environmental disturbances and ship-motion models more accurately and use quantifiable methods to solve CA decision-making problems, including geometric analysis, velocity obstacles (VO), and model predictive control (MPC).

(1) Geometric analysis

Since the 1950s, the geometric analysis method has been used to solve the problem of automatic CA by establishing a geometric model of ship encounter to analyze the movement pattern in CA [37][38][39], including providing the DCPA, TCPA, and other CA parameters [21][40]. Lazarowska et al. [41] proposed a CA decision-making algorithm based on the path library method that considers the COLREGs, multi-ship encounters, and a few polygonal static obstacles. However, in this method, all target ships keep their course and speed so that the generated path is difficult to use in practice. Xu et al. [42] adopted a ship power-domain model based on the DCPA and TCPA to control ship course alteration and achieve ship CA.

Tang et al. [43] proposed a CA algorithm by using a heading window, a set of unseaworthy heading angles as constraints, and a heading deviation angle as the optimization objective to determine the optimal navigation angle. This algorithm is less complex as only static obstacles are considered, and no dynamic obstacles are involved.

As the earliest research method for CA [44], the geometric analysis method mainly aims at the encounter between two ships, usually assuming that the ships keep their course and speed without considering each ship's scale or maneuvering performance, resulting in deviations between the calculation results and reality.

(2)VO

The velocity obstacle method is used to calculate the required heading or speed for CA by analyzing the spatial geometry between a ship and dynamic obstacles [17][22][23][24][27][45][46][47][48][49][50]. Tian et al. [47] proposed a method to avoid collisions by navigating a ship downstream to avoid static and dynamic obstacles based on the speed obstacle method. However, this method does not consider the requirements of the COLREGs. Zhang et al. [45] improved the speed obstacle method based on the dynamic vessel domain to avoid different obstacles according to an inland water environment, while considering the shallow-water effect. However, the resumption angle and the time were not calculated. Mou et al. [23] analyzed the relationship between the change in a ship's velocity vector and the CA result after a nonlinear motion based on the ship's domain and the speed-barrier method. They provided a collision-free-course-alteration range of the operating system, which can improve the efficiency of CA to a certain extent and is more in line with the requirements of the COLREGs and marine navigation habits. However, this method does not consider the maneuverability of a vessel and does not satisfy actual navigation conditions.

The VO method can avoid static and dynamic obstacles and can also consider the COLREGs, but it requires a good trajectory prediction of other vessels.

(3)MPC

MPC solves the finite-time, open-loop optimization problem online at each sampling time, based on the current measurement information obtained, and applies the first element of the resulting control sequence to the controlled object. The process is repeated at the next sampling time, and the optimization problem is refreshed and solved again using new measurements as the initial conditions for predicting the future trajectories of the system at that point. The MPC algorithm consists of three steps: first, predicting the future output of the system based on historical information and future inputs from the ship, acting as a control via the course and speed; secondly, detecting the actual course and speed of the ship; and, thirdly, using this state to correct model-based prediction results before performing a new optimization. Abdelaal et al. [51] adopted a nonlinear MPC method combined with a ship-motion control model to achieve more accurate and fast ship CA decision-making and track control. However, their algorithm only considers CA between a single vessel and a point, such as a static obstacle, and only considers the alteration of the course to starboard. Based on the concept of optimal control, Chen et al. [52] established an optimal control model for multi-objective ship CA in open water, in which the ship's heading is controlled by setting a rudder angle constraint. This can achieve multi-ship CA; however, the model takes a long time to compute, requires accurate initial conditions and constraints, and cannot handle environmental disturbances.

MPC has the advantages of a good control effect and strong robustness [9]. It can effectively overcome the uncertainty, nonlinearity, and correlation in the process, and it can easily deal with various constraints in the controlled and manipulated variables in the process. The influence of environmental factors on a ship can also be considered. However, the calculation time of the algorithm is affected by the complexity of the model. The more complex the model and the constraints, the calculation time of the algorithm will be longer.

(4)Game Theory (GT)

Game theory describes the interaction of multiple decision-makers' strategies, where the behavior of each decision-maker has an impact on other decision-makers in the game process. Game theory focuses on how the behavior of a decision-maker affects the behavior of other decision-makers. Wang et al. [53] developed a probabilistic model to solve the ship CA problem and designed a greedy algorithm to search for possible movement paths using a cost function to determine the evasive strategy of an unmanned surface vessel. However, this method does not consider the effects of the COLREGs or environmental disturbances.

Game theory can consider the requirements of the COLREGs and the parameters of vessel movement, and can avoid collisions with multiple vessels [10]; however, it cannot deal with static obstacles and has medium calculation time [54].

(5)Artificial Potential Field (APF)

The APF method establishes a virtual force field containing both gravitational and repulsive fields and controls the direction of ship movement under the combined effect of these two forces [55]. Liu et al. [56] improves the APF functions for dynamic ships considering the COLREGs. However, the algorithm fails to adopt the speed change strategy and cannot deal with static lake obstacles. Xue et al. [57][58] proposed automatic trajectory planning based on the APF method and velocity vector by applying the alteration of heading angle as the main method to avoid collisions and considering the method of deceleration for emergencies. In the simulation experiment, only the course was changed to avoid collisions, whereas the speed was not altered, and there was a lack of experiments during emergencies. Zhang et al. [59] designed an APF-based intelligent navigation approach for a USV in a complex environment with a randomly moving target and multiple static or moving obstacles, yet the COLREG rules were not considered. Huang et al. [60] introduced a ship-maneuvering motion control model by combining a synergistic ship domain model with the APF method, and considering the effect of ship length on ship CA. In addition, the method investigates the situation when two vessels cross paths and the give-way vessel does not comply with the COLREGs. Lee et al. [61] used the velocity-potential field as the field function in the APF method. The algorithm is divided into course-alteration and track-keeping modes. This algorithm is simple, easy to implement, and suitable for real-time distributed CA systems. However, this method is only suitable for CA operations in open water and requires appropriate action by the crew on board. Lyu et al. [61][62] proposed a path-guided hybrid APF (PGHAPF) approach and the improved versions for restricted waters even in a practical environment [5][13]. The approach assumes that course alteration is the only strategy to avoid collisions and integrates the potential field and gradient methods, including potential field-based path planning for arbitrary static obstacles, gradient-based decision-making for dynamic obstacles, and optimization considering an a priori path and waypoint selection.

Although the APF method has fast calculation speed and strong adaptability, it has the disadvantage of a local minimum [63][64], which must be combined with other optimization algorithms to be solved [65].

3.2. Artificial Intelligence and Soft Computing-Based Algorithms

Neural networks, evolutionary algorithms, and swarm intelligence algorithms are the primary algorithms based on artificial intelligence and soft computing.

(1)Knowledge-based systems

Knowledge-based systems can generate and utilize knowledge from various sources, data, and information for problem-solving procedures and support human learning, decision-making, and action [66]. He et al. [29] proposed a method for timing and selecting options for steering CA actions under three postures, first time-point of collision risk (FTCR), first time-point of the close situation (FTCS), and first time-point of immediate danger (FTID), to determine the ship avoidance action based on the COLREGs and ship maneuvering information.

The problems of knowledge-based systems are that they are difficult to develop a complete, accurate, and concise knowledge base, and they cannot deal with issues outside the knowledge base.

(2)Neural Network (NN)

Neural networks, also known as artificial neural networks, are inspired by the human brain and mimic the way biological neurons transmit signals to each other [67]. Zhai et al. [68] used a multi-vessel automatic CA method based on deep neural networks using a double-depth Q-network and an empirical first-replay approach, which allows the model to converge faster, but the method cannot be used in actual navigation.

Neural networks can control ship movements without knowing the exact parameters of the ship; however, they must make full use of their methods and knowledge [69].

(3)Evolutionary algorithms

Evolutionary algorithms are based on the Darwinian evolutionary theory, which consist of genetic algorithms (GA), genetic programming (GP), evolution strategies (ES), and evolutionary programming (EP). In the CA process, an optimal path is determined by selection, crossover, variation, and population control [70][71]. Tsou et al. [72] used a GA in conjunction with the COLREGs and the field of ship safety to find the optimal path from an economic point of view and to provide the best steering angle, resumption timing, and resumption angle. However, this optimal route produces an avoidance route that is not in line with navigation experience, and it may obfuscate target ships.

Evolutionary algorithms can be adapted to a wide range of problems in various environments and achieve satisfactory results. Evolutionary algorithms are widely applicable, highly nonlinear, easily modifiable, and parallelizable. However, the crossover and variation rates in these algorithms are difficult to determine, and most of these parameters have been chosen empirically [73].

(4) Swarm intelligence algorithm (SIA)

An SIA is an optimization algorithm that imitates social biological groups, including bacterial foraging optimization (BFO), particle swarm optimization (PSO), and ant colony optimization (ACO). Liu et al. [74] used an optimization algorithm combining improved bacterial foraging and particle swarm algorithms, which has a strong global search capability and optimizes CA parameters, including CA angle and redirect angle, to generate CA routes for ships. Zheng et al. [75] proposed a hybrid path-planning algorithm that combines a simulated annealing algorithm and PSO, which can automatically give way and alter the course to avoid collisions. All of the above can only solve two-ship encounter situations or CA in a static environment [76] and cannot handle multi-ship encounter situations.

Lazarowska [77] used the ant colony algorithm to design an automatic CA decision algorithm considering the COLREGs, static obstacles, and multi-ship encounters. The algorithm assumes that all target ships keep their speed and course, and the calculation time of the algorithm is too long; therefore, real-time decision-making cannot be guaranteed. Zheng et al. [78] proposed an improved cultural particle swarm algorithm using the Kalman filter to smooth and predict a ship's trajectory; this algorithm is based on the fuzzy distribution method, combined with the COLREGs, to determine the ship's steering angle to achieve ship CA decisions. Zeng et al. [79] proposed a particle swarm genetic optimization CA decision algorithm that complies with the COLREGs, established a CA objective function based on the steering amplitude and sailing time, and obtained the optimal steering amplitude and required sailing time after steering. This method assumes that the evasive action information of the surrounding ships is obtained in real time between ships by using radar and AIS.

A swarm intelligence algorithm has the advantages of simple operation, fast convergence speed, and good global convergence, but the selection of parameters is important, and it is easy to fall into the local optimal solution.

(5) Fuzzy logic (FL)

Fuzzy logic describes a system in a fuzzy language and is used to describe both the quantitative and qualitative models of the system. Hu et al. [80] proposed an automatic CA algorithm considering steering system, which searched for a new course from a knowledge base containing basic experts and used T-S fuzzy logic to solve uncertainties in heading control systems.

Fuzzy logic can be used for the control of complex objects. However, in practice, it is easier to implement a simple application control. The greater the number of input and output variables, the more difficult the reasoning of the fuzzy logic is [81].

(6) Reinforcement learning (RL)

Reinforcement learning (RL) [82][83], deep reinforcement learning (DRL) [84][85][86], and other deep learning (DL) methods have been increasingly applied in the field of ship automatic CA [4][87]. For these methods, they consume significant time for training and their ability to deal with complex environments needs to be strengthened [88]. For example, target ships are set to keep their course and speed; otherwise, it is difficult to plan a feasible path [82]. Even the same input conditions may produce different CA decisions, and this uncertainty of the solution also limits their application in practice [89]. The reward function is mostly about the course and safety constraints. Even if multi-ship encounters can be handled, the interference of the environment still needs to be considered in the training of a CA decision-making model [83], and a cooperative multi-ship collision-avoidance scheme is also needed to be studied [86].

3.3. Summary and Comparison of Methods

Table 1 lists the considering factors in the automatic CA decision-making methods based on altering course. Because a CA action by changing course is easier to operate than a CA action by changing speed, and the former is easier to be found by target ships through vision, so ships often use steering avoidance in general navigation, especially in open waters. However, in restricted waters, it is difficult to ensure the safety of the ship only by steering avoidance due to the serious limitation of the steering range and operating space of the ship.

Table 1. Comparison of strategies based on altering course.

Method	Refs.	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10	Limitations
Geometric analysis	[41]	CW	TE/ME	MO	Y	N	H	N	SD	Discrete solution space	Trajectory base	The target ships keep course and speed.
	[42]	OW	TE	DO	Y	N	/	Y	CPA	+0.1°/step	Course alteration function	Only one target ship, without wind, waves, currents, and consideration of other disturbances.
	[43]	CW	/	SO	N	N	H	Y	/	/	Course alteration function	Wind and current are not considered; only static obstacle avoidance.
	[47]	OW	ME	MO	N	N	/	Y	CPA	/	Goal-directed behavior	It does not consider the weather, sea conditions, and COLREGs constraints.
VO	[45]	OW	TE/ME	MO	Y	Y	H	Y	CPA	/	Goal-directed behavior	It does not consider the actual map, steering return angle, and time.
	[23]	OW	ME	DO	Y	N	/	N	/	-90°~90°	Goal-directed behavior	It can only be used in open waters, and studies in restricted waters and more complex environments are required.
MPC	[51]	OW	TE	MO	Y	N	H	Y	SD	/	Discrete variation	The algorithm only considers the CA between a single ship and point-like static obstacles.
Optimal control	[52]	OW	ME	DO	N	Y	L	Y	CPA and SD	-30°~30°	Continuous change	The calculation time is long, and precise initial conditions and constraints are required.
GT	[53]	OW	TE/ME	DO	N	N	H	Y	/	/	Discrete variation	It does not consider the impact of the COLREGs and environmental disturbances.
APF	[56]	OW	TE/ME	MO	Y	N	H	Y	SD	/	Objective function guide	Natural conditions and static obstacles are not considered.
	[60]	OW	TE	DO	Y	N	M	Y	/	-35°~35°	Objective function guide	The impact of wind, waves, and currents on the ship is not considered.

Method	Refs.	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10	Limitations
APF	[61]	OW	TE/ME	MO	N	Y	/	Y	SD and CRI	-90°~90°	Objective function guide	The influence of the shallow-water effect and shore-wall effect on ships is not considered.
	[14]	CW	ME	MO	Y	N	H	Y	CPA and SD	-180°~180°	Objective function guide	It does not re-plan to the original route, and route optimization is not considered.
	[57] [58]	CW	TE/ME	MO	Y	Y	/	Y	CPA	Cubic spline smoothing	Goal-guided behavior	It does not consider weather conditions, extreme encounter cases, change of speed, or reversing in emergencies.
Knowledge-based system	[29]	OW	TE	DO	Y	N	/	Y	FSCR, FTCS, and FTID	/	Course alteration function	Only the avoidance actions of stand-on ships are studied, and further research is needed on give-way ships.
NN	[68]	OW	ME	MO	Y	N	H	N	CPA	-12°~12°	Discrete variation	It cannot be used for actual CA and does not consider restricted waters.
GA	[72]	CW	TE/ME	MO	Y	N	M	N	CPA	-30°~90°	Course alteration function	It will create an avoidance route that is not in line with nautical experience.
SIA	[74]	OW	TE	DO	Y	N	/	N	CRI	30°~80°	Objective function guide	The impact of wind, waves, and currents on the ship is not considered.
	[75]	CW	TE	MO	Y	N	/	N	CRI	/	Right turn change	The impact of wind, waves, and currents on the ship is not considered.
	[77]	CW	TE/ME	MO	Y	N	H	N	CRI	/	Objective function guide	The shallow-water effect and the shore effect on ships are not considered.
	[78]	OW	TE	DO	Y	N	/	N	CRI	-35°~35°	Objective function guide	It plans path to the next waypoint after turning, without optimization.
SIA and EA	[79]	OW	ME	DO	Y	N	H	N	CRI	30°~60°	Right-turn change	It assumes that all target ships (TSs) obey the COLREGs, and CA action information of TSs is obtained in real time.
FL	[80]	OW	ME	DO	Y	Y	/	Y	CPA	-35°~35°	Course alteration function	The accuracy of the results needs to be improved.

Method	Refs.	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10	Limitations
DRL	[87]	CW	ME	MO	Y	N	/	Y	SD	Action $a_t \in \{-\Delta\psi, 0, \Delta\psi\}$ $\Delta\psi > 0$	States, actions, and a reward function	It considers simple restricted waters with an isolated square obstacle and 3 TSSs' reactive collision avoidance, rather than a smart action in the far range.

Autonomous CA algorithms by ship steering can be applied to all types of water. Early steering maneuvers are required in restricted and complex waters. Many studies have focused on single or multiple dynamic obstacles and have considered three main scenarios: ship maneuverability is considered in most cases, including ship dynamics, maneuvering models, dynamic models, and target ship motion constraints and limitations. However, certain problems remain:

- (1) Most verification algorithms assume that target ships keep their speed and comply with the COLREGs and do not consider the violations of the COLREGs by target ships.
- (2) A part of these algorithms does not consider the maneuverability of the ship, which makes them unsuitable for actual ship navigation.
- (3) Environmental disturbances, such as wind, waves, and currents, are not considered.

4. Automatic CA Strategy Based on Alteration of Speed Alone

In addition to the strategy based on altering course, an avoidance strategy based on altering speed is also an important optional strategy for ships to autonomously avoid collisions, particularly in restricted waters. Considering the maneuvering characteristics of ships and the habits of sailors, there are few studies on autonomous CA strategies based only on the alteration of speed. However, research on the optimization and strategy selection for avoidance based on altering speed should not be ignored.

4.1. Optimization of Strategy Selection for Changing Speed

For the CA strategy based on changing speed, the timing, amplitude, and rate of the speed alteration, and the maneuvering characteristics of a ship have a significant impact on the final CA result. Bi et al. [90] proposed a method to determine the best avoidance timing and action for a ship. Ma et al. [91] used a bacterial foraging algorithm in combination with the COLREGs and the field of ship safety to find CA strategies for altering speed from an economical perspective, including optimal shifting time, amplitude, and navigation recovery time. This assumed that a vessel's speed can be immediately altered to a set value; however, in practice, speed alteration is gradual. Yu et al. [92] proposed a CA decision-making method for ship speed in narrow waters based on a mimic physics optimization algorithm. According to the requirements of the COLREGs on the speed-alteration action range and considering the influence of the deceleration stroke and the time required for deceleration on the CA effect, the collision risk and speed-alteration energy loss are used to evaluate the advantages and disadvantages of the CA decision, and the objective function of the ship's speed-alteration CA is established.

According to different sailing situations, there are also some studies comparing the effects of different avoidance strategies through course and speed alterations and choosing the best one. Su et al. [93] studied a CA method for large ships in open waters. Considering the influence of difficult maneuvering ability and the large motion inertia of large ships, the slackening speed was prioritized, and geometric methods were used to calculate the speed. If the slackening speed cannot achieve the avoidance effect, collisions are avoided by ship steering.

Zhao et al. [46] improved the speed obstacle algorithm while considering ship maneuverability and the COLREGs and made decisions in two ways: altering course and altering speed. Hu et al. [94] established a multi-ship real-time collision risk analysis system with alteration course or speed based on the COLREGs and good ship skills, and they analyzed five factors affecting the collision risk of ships in real time.

4.2. Research on CA Law of Altering Speed

Altering speed avoidance includes increasing speed and slackening speed. In theory, increasing speed can also avoid collisions and conform to the safe speed requirement in the COLREGs. However, when sailing at sea, the feasibility of a ship to increase speed is weak. The acceleration of a ship causes the TCPA between two ships to decrease sharply, and the short reaction time for CA can easily appear as an illusion of target ships—altering speed only is difficult for target ships to visually see and not easily recognizable as course alteration—and increase the risk of collision [95]. However, there is sufficient reaction time for slackening speed [25]. When immediate danger occurs, it can also stop to avoid collision, which increases the safety of ship navigation [96]. In most cases, the CA strategy of a ship based on alteration of speed alone is slackening speed.

Automatic CA decision-making based on speed alteration also requires the right timing. An action that is too early does not conform to the CA psychology of a captain and officers, whereas too late an action will cause uncoordinated actions between ships and increase the risk of collision. In addition, under the influence of wind and waves [35][36], deceleration may lead to course changing of a ship. Therefore, to slacken speed or reverse it to avoid a collision, it is necessary to consider the ship's course-keeping performance, the deflection effect of the bow when reversing, the forward stroke, the speed of the ship during the deceleration process, and the time required for the main engine to restart during an emergency. When a ship slackens speed, it should be noted that the speed cannot be less than the minimum value to maintain the rudder effect and avoid losing control of the ship. A CA action that only alters speed, whether for a conventional ship or an intelligent ship, is difficult for target ships to see visually and apparently, or it is not as easy to recognize as a course alteration. Therefore, it may appear as an obfuscate action to target ships, resulting in a collision.

5. Automatic CA Strategy Based on Altering Course and Speed

The autonomous CA strategy of a ship should be flexible and available at any time for course and speed alterations. Either one can be used alone or the two can be combined. However, the combination solution is technically difficult to implement because the CA effect of steering and the effect of speed alteration are not necessarily superimposed on each other, but may also be mutually offset [97].

5.1. Establishing Objective Function through Course and Speed

At present, research on a ship's autonomous CA strategy combining altering course and speed is typically to construct the objective functions of speed and course, or their variation, to judge and select the optimal strategy [98].

According to the general requirements of the COLREGs, Zhang et al. [99] used a graphical method to analyze the CA performance of give-way and straight-way ships in typical encounter situations, calculated the collision probability, and used a linear expansion algorithm to alter the course and slacken speed. Szlapczynski [100] proposed a ship CA strategy based on an evolutionary algorithm by introducing a turning penalty mechanism and speed-reduction dynamic model that can minimize route detours while avoiding obstacles. Szlapczynski et al. [97][101] also used the ship domain to assess the collision risk of own ship using a ship dynamic model to estimate the moment and distance required to maneuver. A more applicable CA decision-making method is obtained by the improved modified Dijkstra's algorithm to find the solution in a graph representing discrete solution space, which is tested in laboratory conditions and quasi-real conditions [9]. However, the CA maneuvers are limited to course alteration and those combining turns with speed reduction, and speed reduction is only conducted in the condition of keeping the course and natural deceleration owing to turns.

Huang et al. proposed a generalized velocity obstacle (GVO) algorithm, considering the COLREGs and ship dynamics in a certain extent. The feasible velocity is found by the UO set, i.e., a set collecting all the controls of the OS resulting in collisions to support the OOWs in decision-making. It should be noted that when the error between the predicted trajectory and the actual trajectory of the OS is large, the solution may be a failure [102].

Based on the idea of MPC, Johansen et al. [103][104][105] considered a ship-motion model with wind and flow interference, used a limited control sequence to divide the course and speed, chose different CA actions to predict ship-motion trajectories during a defined period, and subsequently made selections from these trajectories for an optimal CA maneuvering strategy. However, in this method, the accuracy of the mathematical model of ship motion determines the effectiveness of CA decision-making, and the simulation of the experimental method based on scene generation cannot predict the abnormal maneuvering of target ships. Eriksen et al. [106][107] proposed a branching-course MPC (BC-MPC) algorithm, which is included in a three-level integrated COLAV (CA) system and used for path planning, regular CA of dynamic ships, and emergency situations according to different scenarios. A full-trajectory generation mechanism consists of the numbers and duration of a series of course and speed maneuvers, at each level or trajectory of the system.

Hu et al. [108] proposed a multi-objective PSO algorithm that expresses the COLREGs as inequality constraints, integrates them into the algorithm, and sets an objective function that prioritizes the course/speed alteration preference over other objectives. Hirayama et al. [95] proposed a distributed stochastic search algorithm+ (DSSA+) to alter course and speed, considering the latest advances in ship maneuvering technology and the need to avoid collisions more effectively. However, there has been no analysis based on an actual situation for altering the course, speed, or a combination of course and speed alteration.

Tan et al. [109] proposed a fast-marching method (FMM) based on the path planning method for ship swarms, and a priority-based speed and heading-angle control algorithm considering the COLREGs, to design a CA strategy. This method fully considers the influence of environmental uncertainty, but it only considers the situation in which target ships keep their course and speed. Chen et al. [110] used a PSO algorithm to numerically optimize the CA criterion function and obtained the optimal path and corresponding operational decision for a ship to avoid collisions. However, the influence of ship motion characteristics has not been fully considered.

5.2. Safe-Speed and Limited-Speed Methods

Another method is to set a safe speed limit or to calculate the instant speed during steering and avoidance [65].

To solve the problem of ship CA in restricted waters, Zhang et al. [111] proposed a calculation method for ship CA time, distance, and position while considering multi-segment routes. This method considers the turning position, turning time, and safe speed of the ship. A safe speed can be used to avoid collisions near intersections in restricted waters. Zeng et al. [112] proposed a mathematical model for calculating DCPA and calculated the derivative of a ship's course and speed. Thus, it is possible to quantitatively judge the effectiveness of changing course and speed to avoid collisions in different encounter situations.

It is difficult to achieve both safe speed and calculated speeds for ship maneuvering. In addition, these methods can only be used as auxiliary methods for CA, and they cannot deal with complex situations encountered by multiple ships in real time because these methods are not decision-making methods for the joint control of course and speed based on the risk of collision between ships.

5.3. Course and Speed Alteration Strategy Using Hybrid Algorithm

For steering avoidance, heading control can be achieved using only one algorithm. When combined with speed, it must be combined with methods such as speed vectors, collision cones, and deep reinforcement learning (DRL) [4].

Xu et al. [113] proposed a dynamic CA algorithm based on a layered artificial potential field with collision cone (LAPF-CC), using the relative distance and velocity as variables to determine the collision risk, and constructed a torque named "speed-torque". The speed-torque, attraction, and repulsion work together to alter the course and speed of a USV. Song et al. [114] introduced a new predictive APF (PAPF) method to plan a smoother path with turning angle limit and velocity adjustment. However, this method does not consider the influence of environmental disturbances, such as wind, waves, and currents on ships. Guo et al. [115] combined the APF with DRL to propose an automatic path-planning method for unmanned ships. This method divides the action control strategy into heading and speed change control. It has the advantages of high precision and small navigation errors; however, it does not consider the influence of a ship's dynamic properties and environmental disturbances. Xu et al. [116] proposed an intelligent hybrid CA algorithm based on DRL combined with collision cones, which can determine CA timing and corresponding CA actions according to different obstacles. However, this method only deals with the circumscribed circle of a static obstacle and cannot deal with dynamic obstacles, such as ships.

Shen et al. proposed an autonomous intelligent CA algorithm for unmanned ships based on a deep competitive Q-learning algorithm and A* algorithm. Using the A* algorithm and combining the ship's maneuvering characteristics, a parallel dynamic CA decision-making scheme was proposed, which could avoid collision with 2–4 dynamic target ships and 1 static obstacle by altering the course alone or simultaneously altering the course and speed, assuming that all target ships comply with the COLREGs [117].

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