

Polyphenols in *Humiria balsamifera* (Aubl)

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Humiria balsamifera (Aubl), commonly known as “mirim”, is a plant of the Humiriaceae family, which consists of 39 species divided between eight genera: Duckesia, Endopleura, *Humiria*, *Humiriastrum*, *Hylocara*, *Sacoglottis*, *Schistostemon*, and *Vantenea*. This study aimed to characterize *H. balsamifera* extracts by LC-MS/MS and evaluate their antimicrobial potential through in vitro and in vivo assays.

Humiriaceae

Humiria

antimicrobial

microorganisms

flavonoids

1. Introduction

Microorganisms are naturally well-spread out in the environment, and they can easily reach surfaces people come into contact with, including food products, whether at the harvest, slaughter, processing, or even packaging. Once in contact with the food, they start their growth process by consuming nutrients and causing the product to deteriorate [1][2][3].

Bacteria, fungi, viruses, and protozoa are the main microorganisms responsible for food contamination, infecting humans through the consumption of beef, fish, poultry, eggs, unhygienic fruits and fresh produce, causing a variety of diseases [4][5]. The World Health Organization estimates that one in 10 people worldwide become ill after consuming contaminated food and about 420,000 people die each year, resulting in the loss of 33 million healthy life years (DALYs) [2][6].

Bacteria represent an added concern for health and food safety organizations, especially those able to grow at low temperatures and resist a wide range of temperature variations [7][8]. Bacterial pathogens such as *Escherichia coli*, *Salmonella enterica*, *Listeria monocytogenes*, and *Staphylococcus aureus*, among others, are responsible for several global foodborne outbreaks and cause life-threatening illnesses such as diarrheal diseases [5][9][10][11][12]. Another problem in fighting bacteria is their ability to develop resistance to conventional antimicrobials. These pathogens can use various strategies to inhibit the effects of antimicrobials, such as the production of inactivating enzymes, reduction of outer membrane permeability, efflux system, and blocking or altering the target site of antibiotics, further motivating the research focused on finding alternative ways to combat them [13][14].

In the search for new effective substances against resistant pathogens, several secondary metabolites from plants and endophytic microorganisms have shown promise [15][16]. Most of the drugs used in general today were developed based on ethnopharmacological knowledge [17][18][19], indicating that the chemistry of natural products is a big ally in the development of therapeutic agents [20][21].

The plant species *Humiria balsamifera* (Aubl), popularly known as “mirim”, presents interesting biological activities. The literature reports, most of all, anti-inflammatory [22][23], antimalarial [24], antioxidant [25][26], and antifungal activity [27], highlighting the therapeutic potential of this plant. Some substances isolated from this species so far have already been reported as well, such as bergenin, arjunolic acid, friedelin, lupeol, phytol, caryophyllene oxide, epoxide humulene, and trans-isolongifolanone, among others [24]. However, the antibacterial and antibiofilm activities of its derived products have not been extensively examined. Thus, this work aims to characterize and evaluate the effectiveness of *H. balsamifera* extracts in terms of the antimicrobial and antibiofilm activities against foodborne pathogens (*Escherichia coli* ATCC 25922, *Listeria monocytogenes* ATCC 15313, *Salmonella enterica* Typhimurium ATCC 14028, and *Staphylococcus aureus* ATCC 6538). The in vivo antimicrobial action of the most active extract was analyzed using a method based on the infection of *Tenebrio molitor* larvae.

2. Antimicrobial Activity Evaluation

The antimicrobial activity of *Humiria balsamifera* (Aubl) leaf and stem bark extracts was evaluated by the determination of their minimum inhibitory concentrations (MIC) against four foodborne bacteria species: *E. coli*, *L. monocytogenes*, *S. enterica* Typhimurium, and *S. aureus* (Table 1).

Table 1. Determination of the minimum inhibitory concentrations (MIC) of the leaf and stem bark extracts of *Humiria balsamifera* (Aubl).

Bacteria Species	HSBE	EASBE	MSBE	HLE	EALE	MLE
<i>E. coli</i> ATCC 25922	>12,500	>12,500	>12,500	>12,500	>12,500	>12,500
<i>L. monocytogenes</i> ATCC 6538	>12,500	>12,500	>12,500	>12,500	3120	3120
<i>S. aureus</i> ATCC 6538	>12,500	>12,500	>12,500	1560	780	780
<i>S. enterica</i> Typhimurium ATCC 14028	>12,500	>12,500	>12,500	>12,500	>12,500	>12,500

However, the leaf extracts successfully inhibited *S. aureus*, with MIC = 780 µg/mL (EALE and MLE) and 1560 µg/mL (HLE). The EALE and the MLE also inhibited *L. monocytogenes* (MIC = 3120 µg/mL). The leaf extracts presented no action against the Gram-negative bacteria tested in this study. MIC values are expressed in µg/mL.

3. Evaluation of the Antibiofilm Activity of *Humiria balsamifera* (Aubl) Extracts

Since the leaf extracts of *Humiria balsamifera* presented better inhibition results against *S. aureus*, their antibiofilm action at subinhibitory concentrations (0.5 × MIC, 0.25 × MIC, 0.125 × MIC, and 0.0625 × MIC) was also evaluated (Figure 1). The EALE and the HLE reduced biofilm production by *S. aureus* by nearly 25% at concentrations higher than 390 µg/mL (Figure 1A,B). The MLE did not exhibit significant antibiofilm activity (Figure 1C).

Considering the results for the antimicrobial and antibiofilm activities, the EALE was chosen to proceed to the in vivo tests, using *Tenebrio molitor* larvae.

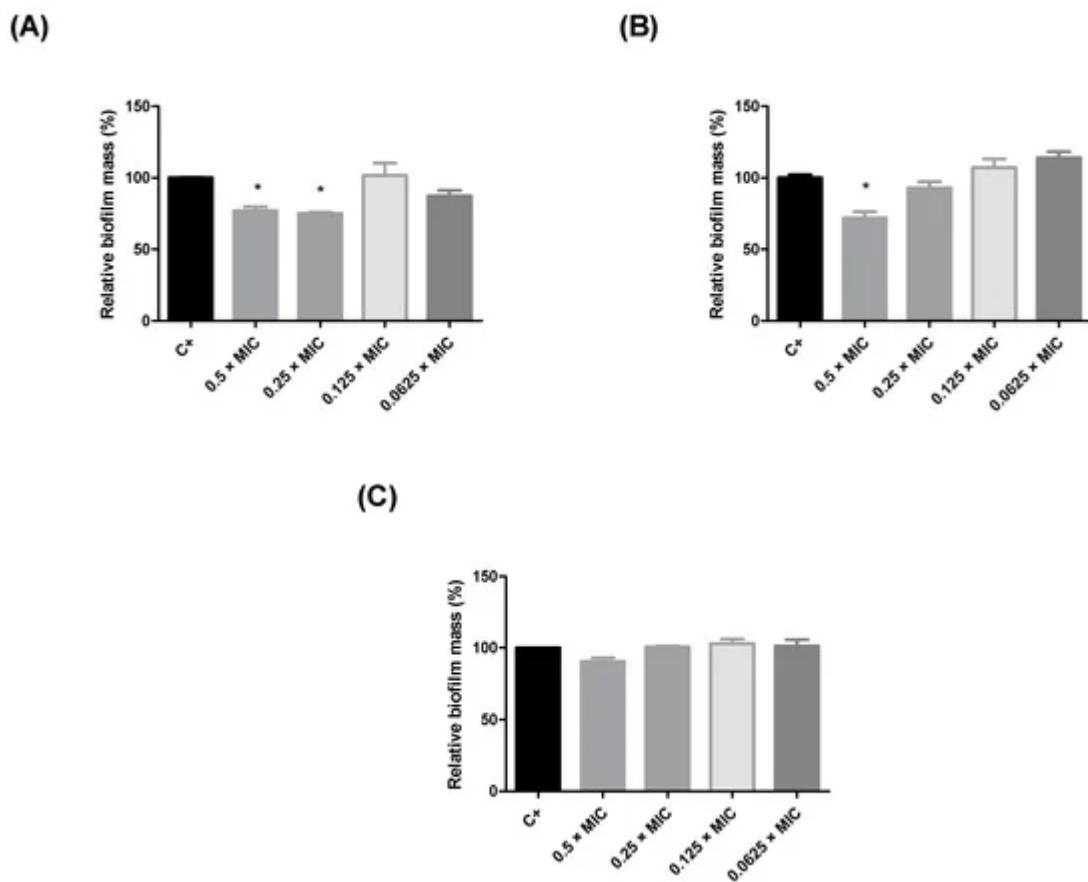


Figure 1. Inhibition of the biofilm formation in *Staphylococcus aureus* by *Humiria balsamifera* (Aubl) extracts. (A) HLE = hexane leaf extract, (B) EALE = ethyl acetate leaf extract, and (C) MLE = methanol leaf extract. (*) Significant differences ($p < 0.05$) in relation to untreated biofilm (C +).

4. Chemical Characterization of *Humiria balsamifera* (Aubl) Leaf and Stem Bark Extracts

Analyses of the leaf and stem bark extracts of *Humiria balsamifera* (Aubl) by HPLC-ESI-IT/MS in negative-ion mode identified 11 molecular ions (Table 2, Table 3 and Table 4). Their structures were proposed (Figure 3) based on the fragments originated from the molecular ion by multi-stage mass spectrometry (MSⁿ). The mass spectrometry ionization source was the electrospray (ESI). The ESI source may not have ionized the compounds like steroids and triterpenes. It was possible to identify only phenolic compounds in the extracts. From the 11 identified substances, seven were flavonoids (gallocatechin, kaempferol 3-neohesperidoside, sophoricoside, quercetin 3-arabinoside, quercetin-O-rhamnoside, kaempferol-dirhamnoside, and vitexin-dirhamnoside); three were coumarins (bergenin and two derivatives: galloylbergenin and hydroxybenzoyl bergenin); and one was an oligosaccharide (maltotetraose).

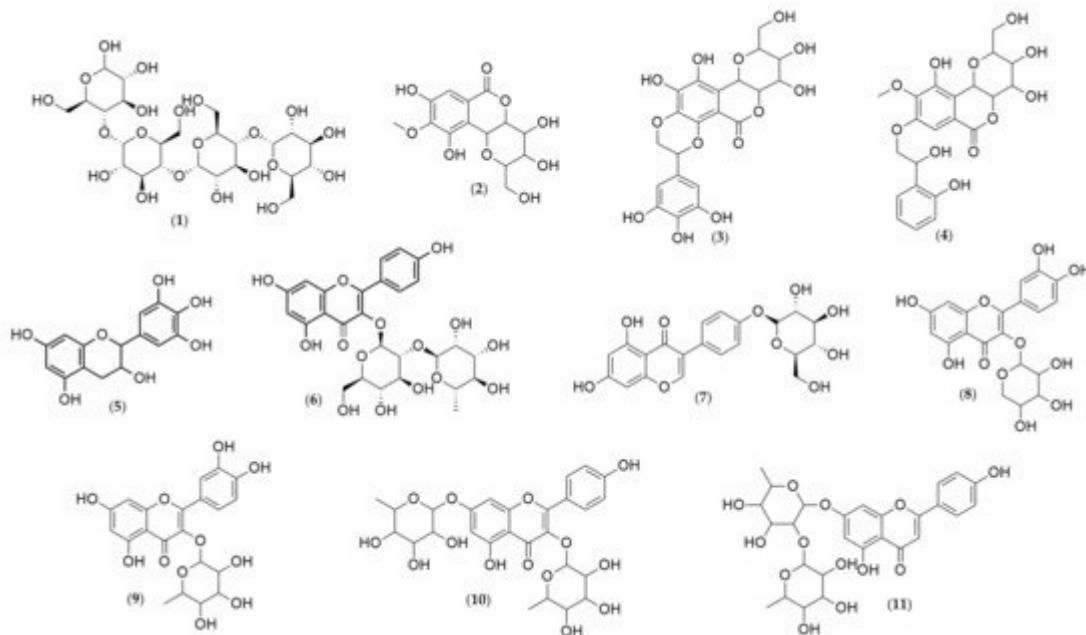


Figure 3. Chemical structures of the substances identified in the extracts of *Humiria balsamifera* (Aubl) by HPLC-ESI-IT/MS using the MassBank Spectral DataBase. Maltotetraose (1); Bergenin (2); Galloylbergenin (3); Hydroxybenzoyl bergenin (4); Gallocatechin (5); Kaempferol 3-O-neohesperidoside (6); Sophoricoside (7); Quercetin 3-arabinoside (8); Quercetin-O-rhamnoside (9); Kaempferol-dirhamnoside (10); and Vitexin-dirhamnoside (11).

Table 2. Identification of the substances present in the ethyl acetate stem bar extract of *Humiria balsamifera* (Aubl).

RT (min)	[M-H]	MSn	Proposed Substance	Reference
1.97	665	664, 305	Maltotetraose	[28]
2.85	327	249, 234, 207	Bergenin	[29]
3.58	479	327, 249, 234, 207	Galloylbergenin	[29]
4.87	463	327, 249, 234, 207	Hydroxybenzoyl bergenin	[29]

Table 3. Identification of the substances present in the *Humiria balsamifera* (Aubl) methanol stem bark extract.

RT (min)	[M-H]	MSn	Proposed Substance	Reference
3.01	305	179, 165	Gallocatechin	[30]
3.81	327	234, 207, 192	Bergenin	[29]
6.35	593	431, 411	Kaempferol 3-neohesperidoside	[31]

Table 4. Identification of the substances present in the *Humiria balsamifera* (Aubl) ethyl acetate leaf extract.

RT (min)	[M-H]	MSn	Proposed Substance	Reference
2.83	327	249, 234, 207, 192	Bergenin	[29]

RT (min)	[M-H] ⁻	MS _n	Proposed Substance	Reference
5.27	431	311, 283, 341	Sophoricoside	[32]
6.09	433	300, 271, 243, 227	Quercetin 3-arabinoside	[33]
6.46	447	300, 271, 243	Quercetin-O-rhamnoside	[33]
7.17	577	431, 413, 341, 300	Kaempferol-dirhamnoside	[33]
8.38	561	431, 293	Vitexin-dirhamnoside	[32]

proton. In

the third stage of the fragmentation process, the loss of 341 Da as $C_{12}H_{21}O_{11}$ and 18 Da as a water molecule produced a fragment of $m/z = 305$.

Bergenin (2) originated four ionic fragments: from an initial loss of 60 Da as $C_2H_4O_2$, and 18 Da as a water molecule, resulted the fragment $C_{12}H_{10}O_6^-$ ($m/z = 249$); the second one was produced from the loss of 93 Da as $C_2H_4O_2$, a methyl group, and a water molecule, resulting in the ion $C_{11}H_7O_6^-$ ($m/z = 234$); the third fragment $C_{10}H_8O_5^-$ ($m/z = 207$) was the result of the loss of 120 Da as $C_4H_8O_4$; and finally, the loss of 135 Da as $C_4H_8O_4$ and a methyl group led to the fourth fragment, $C_9H_5O_5^-$ ($m/z = 192$).

All these ions were also present in the spectra of the bergenin derivatives, plus one other fragment, observed in both spectra.

The fragment $C_{14}H_{15}O_9^-$ ($m/z = 327$) was observed in both spectra—galloylbergenin (3) and hydroxybenzoyl bergenin (4). For galloylbergenin, this fragment was a result of the loss of 152 Da as the galloyl group. For hydroxybenzoyl bergenin, this fragment resulted from the elimination of the hydroxybenzoyl group (136 Da).

Gallocatechin (5) produced two fragment ions: $C_9H_8O_4^-$ ($m/z = 179$), resulting from the loss of 126 Da as $C_6H_6O_3$, and $C_8H_8O_4^-$ ($m/z = 165$) from the loss of 140 Da as $C_7H_8O_3$.

Kaempferol 3-O-neohesperidoside (6) also produced only two fragment ions: $C_{21}H_{19}O_{10}^-$ ($m/z = 431$), generated by the loss of 162 Da as $C_6H_{10}O_5$, and $C_{21}H_{15}O_9^-$ ($m/z = 411$), originated by the ion $C_{21}H_{19}O_{10}^-$, after a water loss. Bergenin (2) was also identified.

Sophoricoside (7) originated three ions: $C_{21}H_{19}O_{10}^-$ ($m/z = 431$), as a result of the loss of 90 Da as $C_3H_6O_3$, $C_{17}H_{11}O_6^-$ ($m/z = 311$) from the loss of 120 Da as $C_4H_8O_4^-$, and from $C_{17}H_{11}O_6^-$, the third fragment, $C_{16}H_{11}O_5^-$ ($m/z = 283$), was formed as a result of the loss of 28 Da as a carbon monoxide molecule.

In the quercetin 3-arabinoside spectrum (8), four fragment ions were observed: $C_{15}H_9O_7^-$ ($m/z = 300$) from the loss of 133 Da as $C_5H_9O_4$, $C_{14}H_7O_6^-$ ($m/z = 271$) from the loss of 162 Da as $C_6H_{10}O_5$, and the fragments $C_{13}H_7O_5^-$ ($m/z = 243$) and $C_{13}H_7O_4^-$ ($m/z = 227$), resulting from the loss of a carbon monoxide and dioxide, respectively.

The fragmentation of quercetin-O-rhamnoside (9) were similar to what was observed for quercetin 3-arabinoside. The loss of a rhamnose molecule, $C_6H_{11}O_4$ (m/z = 147 Da), led to the fragment ion $C_{15}H_9O_7^-$ (m/z = 300). Then, the loss of carbon monoxide produced the fragments $C_{14}H_7O_6^-$ (m/z = 271) and $C_{13}H_7O_5^-$ (m/z = 243).

The fragmentation of kaempferol-dirhamnoside produced four ions. The first one, $C_{21}H_{19}O_{10}^-$ (m/z = 431), was generated by the loss of a rhamnose molecule ($C_6H_{11}O_4$, m/z = 147 Da). From the $C_{21}H_{19}O_{10}^-$ fragment (m/z = 431), the loss of a water molecule produced the second fragment ion, $C_{21}H_{17}O_9^-$ (m/z = 413), which, in turn, generated the fragment $C_{18}H_{13}O_7^-$ (m/z = 341) by the loss of 72 Da as $C_3H_4O_2$. Finally, the loss of 41 Da as C_2OH produced the fragment $C_{16}H_{12}O_6^-$ (m/z = 300).

The fragmentation of vitexin-dirhamnoside resulted in two ionic products: $C_{21}H_{17}O_9^-$ (m/z = 413), generated by the loss of a rhamnose molecule ($C_6H_{11}O_4$, 147 Da), and $C_{17}H_9O_5^-$ (m/z = 293), produced by the loss of $C_4H_6O_3$ (m/z = 102), followed by a water molecule.

5. Discussion

This research aimed to characterize and evaluate the antimicrobial potential of the extracts of *Humiria balsamifera* (Aubl), also known as “mirim”. This species belongs to the Humiriaceae family, and its tea is used in many Brazilian regions for its anti-inflammatory action, especially for treating uterine inflammation [22][23].

Analyses of the leaf extracts by HPLC-ESI-MS and FIA-ESI-IT/MS led to the identification of 11 substances, 10 of which had not yet been reported for this species: seven flavonoids (gallocatechin, kaempferol 3-neohesperidoside, sophoricoside, quercetin 3-arabinoside, quercetin-O-rhamnoside, kaempferol-dirhamnoside, and vitexin-dirhamnoside); one oligosaccharide (maltotetraose), bergenin; and two derivatives (galloylbergenin and hydroxybenzoyl bergenin).

The substances present in the chemical composition of *H. balsamifera* tell a lot about the species. Flavonoids, according to the characterization presented in this study, are the most abundant class of compounds. These substances exhibit high bioactive potential and present anti-ulcer, antioxidant, anti-inflammatory, anti-allergic, antitumor, antiviral, antifungal, and antidiabetic activities [34][35][36][37].

Antimicrobial tests with flavonoids have received increasing attention in recent years, since these compounds are synthesized by plants in response to various types of stress, including microbial infections [38][39][40]. Researchers are also interested in how flavonoids are able to exhibit antibacterial activity through mechanisms different from conventional drugs, hindering the development of microbial resistance [41][42].

During this study, the *H. balsamifera* extracts were subjected to three tests for the evaluation of their antimicrobial potential against different bacteria strains. By the end of the in vitro tests, the extracts which presented the best results were selected for the in vivo anti-infective assay using *T. molitor* larvae. Our results showed that the *H. balsamifera* ethyl acetate leaf extract (EALE) showed efficacy against *S. aureus*, one of the most resistant

pathogens in existence, in all three tests (MIC, antibiofilm potential, and the tests in vivo). The efficacy of this extract is believed to be due to the flavonoids present in its composition. Flavonoids are well-known in the literature, as other polyphenols, to be able to inhibit microbial growth through several mechanisms, such as the inhibition of ATP synthesis in the electron transport chain, inhibition of nucleic acid synthesis, inhibition of the efflux pump, inhibition of biofilm formation, inhibition of virulence factors, inhibition of quorum sensing, membrane disruption, inhibitors of bacterial toxins, and inhibition of cell envelope synthesis [41][43][44].

Bergenin, identified in the three extracts analyzed, has already been reported in *H. balsamifera* [24] and other two species from the Humiriaceae family: *Endopleura uchi* and *Sacoglottis gabonensis* [45][46]. This isocoumarin and its derivatives, such as the identified flavonoids, can be directly related to the antimicrobial activity of the extracts against *S. aureus*. A recent study showed that six synthetic derivatives of bergenin obtained by Williamson synthesis inhibited *S. aureus* growth, especially 8,10-dihexyl-bergenin and 8,10-didecyl-bergenin, which presented the most promising MIC value: 3.12 µg/mL [47].

Besides antimicrobial activity, bergenin has also been pointed out as one of the main substances responsible for antimalarial [24], anti-inflammatory [48][49], antinociceptive [50], anxiolytic [51], and antioxidant activities [52][53].

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