# **Tocilizumab in COVID-19**

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Tocilizumab (TCZ) is a humanized monoclonal antibody that, via the binding to soluble and membrane interleukin (IL)-6 receptors, produces inhibition of the proinflammatory signals. It is commonly used in several types of inflammatory arthritis, in Castleman's syndrome, and in cytokine release syndrome secondary to chimeric antigen receptor T cell therapies. Given its ability to intercept proinflammatory cascades, TCZ is potentially useful in all clinical conditions produced by the dysregulation of inflammatory processes, especially when refractory to other approved treatments.

Keywords: COVID-19 pneumonia; tocilizumab; SARS-CoV-2; COVID-19

## 1. Introduction

Tocilizumab (TCZ) is a humanized monoclonal antibody that, via the binding to soluble and membrane interleukin (IL)-6 receptors, produces inhibition of the proinflammatory signals  $^{[\underline{1}]}$ . It is commonly used in several types of inflammatory arthritis, in Castleman's syndrome, and in cytokine release syndrome secondary to chimeric antigen receptor T cell therapies  $^{[\underline{2}]}$ . Given its ability to intercept proinflammatory cascades, TCZ is potentially useful in all clinical conditions produced by the dysregulation of inflammatory processes, especially when refractory to other approved treatments  $^{[\underline{3}]}$ .

Although the precise pathogenesis of the coronavirus disease 2019 (COVID-19) pneumonia remains unsolved, evidence showed that within a complex cytokine storm scenario, SARS-CoV-2 provokes a dramatic increase in IL-6 levels [4]. Based on this evidence, it was suggested to use TCZ for improving the patients' outcomes in COVID-19 pneumonia [5]. Consequently, many clinical studies have been conducted to evaluate the efficacy of this treatment, and an increasing number of evidence-based medicine analyses can be found in the literature [6].

However, initial evidence syntheses failed to produce definitive results, especially owing to the conflicting findings emerging between observational studies and randomized clinical trials (RCTs)  $^{[Z]}$ . As matter of fact, in evaluating the effectiveness of drugs for the treatment of COVID-19, even in high-impact journals, the following methodological distortions were common among observational studies, particularly dealing with time-to-event analysis: immortal time bias, confounding bias, and competing risk bias  $^{[\underline{S}]}$ .

Despite the rush for a game-changing treatment capable of significantly impacting the prognosis of COVID-19 patients, clinical practice must rely upon rock-solid evidence, and well-known RCTs are placed on the top of the hierarchy of evidence [9]. This is the rationale behind the choice to perform a systematic review and meta-analysis only focused on RCTs, involving the comparison of TCZ with placebo or standard of care (SoC), for the treatment of COVID-19.

#### 2. Current Studies

After de-duplication from an initial total of 2885 records, the titles and abstracts of 1589 studies were screened. Overall, 11 studies were considered eligible for full-text review, and nine met the inclusion criteria [10][11][12][13][14][15][16][17][18]. Figure 1 depicts the entire process of study identification, inclusion, and exclusion. Details of the included studies are available in Table 1. Overall, nine trials were included, enrolling 3358 patients in the TCZ group and 3131 subjects in the comparator group. Studies were conducted from March 2020 to early 2021 across several countries worldwide; all trials were multicenter. The enrolled patients suffered from moderate to critical disease, according to the definitions provided by the United States National Institutes of Health (NIH), as far as the clinical spectrum of SARS-CoV-2 infection is concerned [19]. Mortality was not always the primary endpoint but was assessed in all trials at 28 or 30 days except in one study, where researchers investigated in-hospital mortality [11]. Tocilizumab dosing was quite variable, ranging from 6 to 8 mg/kg, administered as a single dose or repeated short term.

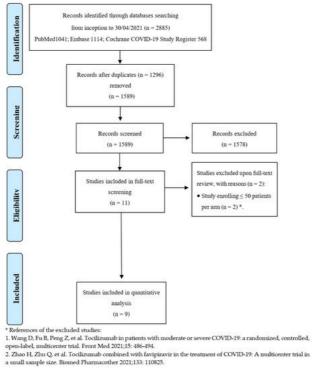


Figure 1. PRISMA diagram: results of the literature search and flow diagram for the selection of eligible studies.

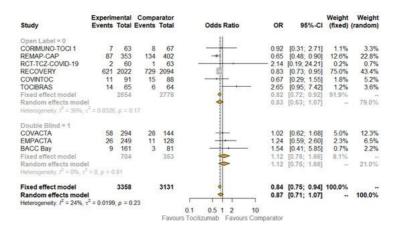
Table 1. Characteristics of included studies (only randomized controlled trials).

First Author/Study Name/Registration Number/Reference	Design and Country	Enrolment Dates	Recruitment Window	Inclusion Criteria	Mechanical Ventilation at Baseline (%)	Treatment Group Versus Control Group ( <i>n</i> )	Tocilizumab Dosing	Primary Outcome	Mc
Hermine (CORIMUNO-TOCI 1) NCT04331808 [10]	Open label, multicenter (9 sites), France	31 March 2020 up to 18 April 2020	Within 72 h of SARS-CoV-2 diagnosis	Moderate, severe, or critical disease	0%	63 vs. 67	8 mg/Kg on day 1 (and 3 if necessary)	Scores > 5 on the WHO-CPS on day 4 and survival with no need of MV (including NIMV) at day 14	28
Gordon (REMAP- CAP) NCT02735707 <sup>[11]</sup>	Open label, multicenter (113 sites), international (6 countries)	19 April 2020 up to 19 November 2020	Within 24 h of ICU admission	Critical disease	29.4%	353 vs. 402	8 mg/Kg (maximum 800 mg), repeated at 12–24 h if necessary	The number of respiratory and cardiovascular organ support- free days up to day 21	hc
Rosas (COVACTA) NCT04320615 <sup>[12]</sup>	Double- blind, placebo- controlled, multicenter (62 sites), international (9 countries)	3 April 2020 up to 28 May 2020	Not specified	Severe or critical disease	37.5%	294 vs. 144	8 mg/Kg (maximum 800 mg), repeated at 8–24 h if necessary	Clinical status on a 7-category ordinal scale at day 28 (1, discharged/ready for discharge; 7, death)	28
Salama (EMPACTA) NCT043272186 <sup>[13]</sup>	Double- blind, placebo- controlled, multicenter (69 sites), international (6 countries)	14 May 2020 up to 18 August 2020	Within 48 h of hospital admission	Severe disease	0%	249 vs. 128	8 mg/Kg (maximum 800 mg), repeated at 8–24 h if necessary	Death or MV by day 28	28

First Author/Study Name/Registration Number/Reference	Design and Country	Enrolment Dates	Recruitment Window	Inclusion Criteria	Mechanical Ventilation at Baseline (%)	Treatment Group Versus Control Group ( <i>n</i> )	Tocilizumab Dosing	Primary Outcome	Mc
Salvarani (RCT-TCZ- COVID-19) NCT0436355 <sup>[24]</sup>	Open label, multicenter (24 sites), Italy	31 March 2020 up to 11 June 2020	Not specified	Severe disease	0%	60 vs. 66	8 mg/Kg (maximum 800 mg), repeated at 12 h	Occurrence of the following events, whichever came first:  Admission to ICU with MV;  Death (any cause);  PaO <sub>2</sub> /FiO <sub>2</sub> ratio less than 150 mmHg (confirmed within 4 h by a second examination)	30
Stone (BACC Bay) NCT04356937 <sup>[15]</sup>	Double- blind, placebo- controlled, multicenter (7 sites), United States	20 April 2020 up to 15 June 2020	Upon hospital admission	Severe disease	0%	161 vs. 81	8 mg/Kg as single dose	Intubation or death	28
Horby (RECOVERY) NCT04381936 <sup>[16]</sup>	Open label, multicenter (177 sites), United Kingdom	23 April 2020 up to 24 January 2021	Within 21 days of primary randomization	Severe and critical disease	14%	2022 vs. 2094	800 mg if weight > 90 kg; 600 mg if weight > 65 and ≤90 kg; 400 mg if weight > 40 and ≤65 kg; and 8 mg/kg if weight ≤ 40 kg); repeated at 12-24 h if necessary	All-cause mortality	28
Soin (COVINTOC) CTRI/2020/05/025369 [17]	Open label, multicenter (12 sites), India	30 May 2020 up to 21 August 2020	Upon hospital admission	Moderate and severe disease	5%	91 vs. 88	6 mg/Kg (maximum 480 mg), repeated within 12 h- 7 days from the first dose	Proportion of patients with progression of COVID-19 from moderate to severe or from severe to death up to day 14	28
Veiga (TOCIBRAS) NCT04310228 <sup>[18]</sup>	Open label, multicenter (9 sites), Brazil	8 May 2020 up to 17 July 2020	Symptoms for more than 3 days	Severe and critical	16%	65 vs. 64	8 mg/Kg (maximum 800 mg) as single dose	Clinical status on a 7-category ordinal scale at day 15 (1, not admitted to hospital and with no limitation of activities; 7, death)	28

Pre-planned key subgroup analyses were carried out to explore how the treatment effect varied across different subsets of studies or patients. When contrasting open label with placebo-controlled trials ( **Figure 2** ), the beneficial effect of TCZ on mortality was confirmed in the subgroup that included the first type of studies (OR: 0.82; 95% CI: 0.72–0.92; I 2: 36%; fixed-effect model), but the benefit disappeared in the other subgroup (OR: 1.12; 95% CI: 0.75–1.66; I 2: 0%; the results were the same according to fixed- and random-effect models), although not statistically significant. The results obtained when testing for subgroup difference were also not significant, so no interaction existed between the subtotal estimates for the subgroups.

The results of quality assessment are depicted in Figure S2: the major issues were related to open label studies  $\frac{[10][11][14]}{[16][17][18]}$  due to the lack of blinding. The results of stratifying studies according to this criterion (open label versus placebocontrolled) were already shown in **Figure 2**. The funnel plots of the primary outcomes of the studies are presented, along with the results of Egger's regression test ( p = 0.1441), which suggest an absence of publication bias and small-study effects.



**Figure 2.** Meta-analysis of 28/30-day mortality in open-label vs. double blind studies. Abbreviations: OR: odds ratio; 95% CI, confidence intervals at 95%; Weight (fixed), weight of each study in a fixed-effect model; Weight (random), weight of each study in a random-effect model. Squares on the hazard ratio plot are proportional to the weight of each study; weighting is based on the inverse variance method.

It was performed using the leave-one-out method and shows that the estimated pooled ORs, obtained excluding one study at time, are still consistent, even when omitting the study with the highest weight according to both the fixed-effect (75%) and random-effect 43.4%) models  $\frac{[16]}{}$  (OR: 0.86; 95% CI: 0.68–1.07). This held true even when omitting the study that was apparently the major driver of heterogeneity, the TOCIBRAS study  $\frac{[18]}{}$ : when it was excluded, the I 2 dropped to 0% and the OR for mortality associated with TCZ use was 0.82 (95% CI: 0.74–0.92).

## 3. Discussion

The results of our systematic review and meta-analyses are in line with the most recent development of the recommendations for COVID-19 treatment, which now include TCZ as an important option for patients with the severe or critical disease [20]. These data need to be put into context to understand how TCZ has become a potential life-saving agent in patients with SARS-CoV-2 infection.

Elevations in serum IL-6 levels in patients affected by severe COVID-19 have spurred a renewed interest in this cytokine as a therapeutic target in the broader context of the cytokine storm syndrome triggered by SARS-CoV-2 infection [5][21]. Despite the logistical difficulties, several studies have been conducted in a short time. Predictably, observational studies in particular suffered from relevant methodological limitations that threatened the validity of their conclusions [22]; moreover, they yielded inconsistent results when pooled with RCTs in evidence syntheses [23].

The full publication of the RECOVERY trial [16] and the accumulation of further evidence regarding TCZ and other IL-6 blockers led to a prospective meta-analysis (on 27 RCTs) of utmost importance in June 2021. It showed that the use of IL-6 antagonists was associated with improved survival in COVID-19 patients, but the results were statistically significant only for TCZ (OR for mortality equal to 0.83; 95% CI: 0.74–0.92) [24]. Coherently with what was observed in the RECOVERY study, the subgroup of patients who received also corticosteroids appeared to benefit the most: the mortality risk was even lower (OR: 0.77; 95% CI: 0.68–0.87) [20]. On this basis, the World Health Organization (WHO) issued a strong recommendation on July 6 to use IL-6 blockers, specifically in patients with severe/critical disease [19]. This recommendation was maintained by the NIH guidelines with a specific indication in favor of TCZ plus steroids [25], confirming the setting of patients with severe COVID-19, and was in line with the recommendation of REMAP-CAP [11] in addition to RECOVERY [16], and was consistent with definitions of progressive disease and marked pro-inflammatory status based on concentrations of C-reactive protein (CRP) being higher than 75 mg/L, a threshold established in the RECOVERY study [16].

## 4. Conclusions

TCZ is one of the very few agents that has so far been found to favorably change the prognosis of patients with severe COVID-19 [26]. Nonetheless, additional RCTs are still needed to confirm this finding, upheld, beyond any reasonable doubt, by a strong biological rationale and by the data collected from completed RCTs, and to define the best schedule, in light of the different dosages administered across studies. Observational studies may have a complementary role, being instrumental in identifying adverse events and complications such as secondary bacterial infections that may develop after the usual follow-up of RCTs. Moreover, avenues for future research may be constituted by individual patient data meta-

analyses and umbrella reviews. The former would allow the investigation of the effectiveness of treatment at the level of relevant patient subgroups. Granular data would permit a more precise understanding of the profile of the patients who would potentially benefit the most from the drug, besides the CRP threshold that is quite generic. The latter would allow the findings from multiple systematic reviews and meta-analyses about the review question to be compared and contrasted, and thus, would make it possible to present a wide picture of the available evidence, highlighting its consistency or potential discrepancies, in an attempt to explore and detail the underlying reasons for contradictory results.

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