# Modulating Tumor-Associated Macrophage Polarization

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Immunotherapy has made great progress in recent years, yet the efficacy of solid tumors remains far less than expected. One of the main hurdles is to overcome the immune-suppressive tumor microenvironment (TME). Among all cells in TME, tumor-associated macrophages (TAMs) play pivotal roles because of their abundance, multifaceted interactions to adaptive and host immune systems, as well as their context-dependent plasticity. Underlying the highly plastic characteristic, lots of research interests are focused on repolarizing TAMs from M2-like pro-tumor phenotype towards M1-like antitumoral ones. Nanotechnology offers great opportunities for targeting and modulating TAM polarization to mount the therapeutic efficacy in cancer immunotherapy.

macrophage polarization tumor-associated macrophages nanoparticles drug delivery

tumor microenvironment

cancer immunotherapy

### **1. Polymeric Nanoparticles and Macrophage Repolarization**

Studies are divided according to the polymer matrix and the agents the nanoparticles carry. Some nanoparticles have the capability to polarize macrophages themselves without reprogramming agents, and the effects are varied for different modifications and residues. Ann-Kathrin Fuchs et al. found that both carboxyl-modified polystyrene nanoparticles and amino-modified ones succeeded in suppressing macrophages from polarizing towards M2 by down regulating the expression of CD200R, CD163, as well as IL-10, without affecting the M1 markers <sup>[1]</sup>. Yen-Jang Huang's group discovered that hydrophilic polyurethane nanoparticles themselves had surface-dependent immunosuppressive properties, preventing macrophages from M1 polarization by decreasing the production of TNF- $\alpha$  and IL-1 $\beta$ <sup>[2]</sup>. Carboxyl-based nanoparticles were more suppressive than the amino-modified ones <sup>[2]</sup>. The two studies suggested that the effect of nanoparticles on macrophage polarization does not only depend on functional groups but also on other properties, and the nanomedicines should be estimated as a whole. Recently, membrane-coating technology has been used widely in the biomedical field [3][4], and some cellular membranes could be special agents to influence macrophage polarization. For example, cellular membranes of natural killer cells (NKs) and THP1 macrophages were coated with poly(lactic-co-glycolic acid) (PLGA) nanoparticles, resulting in ten times higher IL-6 than that in the control group <sup>[5]</sup>. Likewise, macrophage membranes were also used to coat PLGA, which delivers iron oxide and TLR agonist R837 to potentiate immunotherapy <sup>[6]</sup>. C.G. Da Silvaa's group used PLGA as a biodegradable matrix core to simultaneously deliver R848, poly (I:C) and MIP3 $\alpha$ , leading to the inhibition of TC-1 growth in pre-clinical experiments  $\boxed{2}$ .

TLR agonists are significant in macrophage repolarization. Christopher B. Rodell et al. showed that the TLR7/8 agonist, R848, was one of the most powerful molecules for polarizing macrophages in the M1 direction in vitro among 38 immunomodulatory agents reported in the literature  $[\underline{B}]$ .  $\beta$ -cyclodextrin nanoparticles encapsulated with R848 succeeded in regulating TAMs and increased the growth inhibition efficacy of cancer cells in various models together with anti-PD-1 therapy <sup>[8]</sup>. A lignin nanoparticle was also a candidate to carry R848 and targeted CD206expressing M2 with specific peptides modified on the surface <sup>[9]</sup>. In vitro, the nanomedicine successfully promoted M1 marker TNF- $\alpha$  almost twenty times more than the control and reduced the tumor burden in mice [9]. In another group, acetylated chondroitin sulfate protoporphyrin polymer was developed to deliver R837 <sup>[10]</sup>. Together with the other polymeric micelle loading with Dox, it suppressed 4T1 growth in mice [10]. The TLR9 agonist CpG has also been carried to modulate macrophages. Jutaek Nam's lab [11] used cationic polyethyleneimine (PEI) to absorb CpG and neoantigen peptides to form a polyplex nano-vaccine. In draining lymph nodes (dLNs) of vaccinated mice, the amount of CD86+ M1 increased, and CD206+ M2 decreased [11], showing the capacity of nanovaccines to regulate macrophages. Moreover, TLR agonists and other agents also show great potential for TAM polarization. Plasmid DNA and messenger RNA (mRNA) are two therapeutic agent forms of genetic materials and are also leveraged to edit M1 regulators. For example, a novel lipid-coating polymer termed PQDEA was developed to form polyplexes with IL-12 plasmid and inhibited three tumor models in mice with only four doses [12]. Therefore, to further prompt macrophage repolarization, F. Zhang et al. discovered that polymers coated with M1-polarization-associated mRNA and modified with di-mannose could target the CD206 receptor of macrophages, increase M1 macrophages and suppress three tumor models (Figure 1) <sup>[13]</sup>. The M1-associated mRNA coded transcription factors IRF5 and IKKβ, which are downstream proteins in the IFN I pathway, thus promoting M1 skew [13]. Yudong Song et al. also modified their polymer with mannose to carry two short interfering RNAs (siRNAs), which block VEGF and PIGF. As these two factors mediate M2 polarization and monocyte recruitments, blocking them resulted in promoting IL-12 and IFN-y in TME <sup>[14]</sup>. HA and miR125b are effective in promoting M1 polarization. HA-PEI nanoparticles loaded with miR125b were fabricated and succeeded in promoting TAM polarization towards the M1 type after being intraperitoneally injected [15]. Another article pointed out that the N-(2-hydroxypropyl) methacrylamide (HPMA)copolymer nanocarrier could also target CD11b+ TAMs and regulate the TME in situ by inducing M1 polarization <sup>[16]</sup>. Some biophosphonates, such as zoledronic acid (ZOL), were also used to mediate TAM repolarization, and a pH-sensitive dendritic poly-lysine nanoparticle loaded with ZOL could release it once inside the TME [17]. For the interference of key chemokines, a shrinkable polymer carrying BLZ-945, a CSF1R inhibitor, to regulate TAM succeeded in reducing CD206+ M2 from 40% to 15%, while IL-12 and IFN-y in TME increased to three times that of the control [18].





## 2. Lipid-Based Nanomaterials and Macrophage Repolarization

Liposomes are vesicles with a sphere shape and consist of one or more lipid bilayers. They have been in the biomedical research spotlight for several decades because they have special advantages, including: (i) the biomimetic structure where the core of liposomes can encapsulate hydrophilic drugs while the lipid layers can

entrap hydrophobic agents. This trait of liposomes might conquer the inconvenient loading of drugs with different dissolubility; (ii) a size of 40–150 nm, exhibiting the same as natural exosomes and thus serving as a biological nanocarrier in therapeutics <sup>[19][20]</sup>; (iii) extraordinary biocompatibility to achieve fewer side effects. Of note, liposomes encapsulated with doxorubicin (Doxil<sup>®</sup>) are the first nanomedicine approved by the FDA. Liposomal cisplatin has also been approved as a drug in pancreatic cancer by the European Agency for the Evaluation of Medicinal Products (EMEA) <sup>[21]</sup>. Moreover, the fast development of microfluidics in recent years facilitates the large-scale manufacture of liposomes and reduces differences from batches to batches <sup>[22]</sup>.

Exertions have been made in leveraging liposomal vesicles to carry different agents to polarize TAMs, such as bisphosphonate, siRNA, cytokines, chemokines and TLR agonists. A report proved that ZOL-loaded liposomes succeeded in repolarizing cancer-educated macrophages towards a pro-inflammatory subtype with increasing expression of iNOS and TNF- $\alpha$  <sup>[23]</sup>. Another study showed that the pegylated liposomal nanoparticles (PLNs) loaded with alendronate could suppress tumor growth and increase progression-free survival in tumor-bearing mice. Interestingly, the PLNs themselves, on the contrary, empowered immunosuppression and impaired T-cell immunity <sup>[24]</sup>. As aforementioned, cues in TME polarize TAMs towards M2-like subtypes, and among them, hypoxia-induced factor 1- $\alpha$  (HIF-1 $\alpha$ ) are notorious. Therefore, a lipid nanocarrier with HIF-1 $\alpha$ -blocking siRNA was developed and succeeded in promoting the secretion of TNF- $\alpha$  and IFN-y in the TME <sup>[25]</sup>. The amount of CD169+ TAMs was also enhanced to 1.5-fold over the control group <sup>[25]</sup>, demonstrating that the nanostrategy had regulated TAMs towards an immune-supportive direction. The polarization effect of TLR agonists on TAMs has greatly benefited from nanotechnology. A research group found that R848-encapsulated liposomes together with anti-EGFR antibody treatment could significantly suppress tumor growth in mice. Intriguingly, they found that M1 macrophages induced antibody-dependent cellular phagocytosis more strongly than M2<sup>[26]</sup>. In addition, cytokine and chemokine therapies, which also benefited from nanocarriers, are candidates for reprogramming TAMs because of their powerful roles in tuning cellular signal pathways and phenotypes. In a recent report, one kind of clinical-approved liposome was used to deliver mRNA, which edited a bispecific antibody to neutralize CCL2 and CCL5 (Figure 2) <sup>[27]</sup>. The nanomedicine down regulated IL-10, ARG1, MRC1 and CD206 and suppressed liver cancer growth in mice together with PD-1 antibody treatment <sup>[27]</sup>. Anuian Ramesh's lab constructed a liposome to carry BLZ945 and SHP099 (inhibitors of CSF1R and CD47-SIRPa signal pathway, respectively,) and the nanoparticle significantly down regulated the expression of CD206 in a macrophage cell line Raw264.7 from ~75% to ~10%, increasing the M1/M2 ratio by six times  $\frac{[28]}{28}$ . Recently, the same group constructed another liposome to deliver BLZ945 and another inhibitor Selumetinib to promote M1 polarization <sup>[29]</sup>. Some drugs available in the clinic might also have positive effects on programming macrophages. For instance, liposomes loaded with simvastatin and vorinostat also had positive impacts in down regulating CD206 and promoting CD86 expression [30]. To overcome the off-target effects of regulating compounds, another research group modified sialic acid to their liposomes and loaded with zoledronic acid because of the hig -expression of sialic acid receptors in TAMs [31].



**Figure 2.** Lipid-based nanoparticles used for mRNA delivery of a bispecific single-domain antibody for repolarizing TAMs. (**A**) Lipid-based nanoparticles loaded with mRNA to realize CCL2 and CCL5 dual blockade reprogram TAMs. (**a**) Designs of the lipid-based nanocarriers. (**b**) The percentage of macrophages and (**c**) M2 subtypes in tumor tissue 48 h after systemic administration of the nanoparticles. (**d**) The ratio of M1/M2 in the TME. Reproduced with permission <sup>[27]</sup>. Copyright 2021 Wiley-VCH. (**B**) Dual blockade nano-strategy combined with PD-1/PD-L1 inhibition suppresses KPC liver cancer growth. (**a**) Time lines of the experiment. (**b**) Tumor growth after various treatments. (**c**) In vivo bioluminescence of tumor-bearing mice on days 5 and 14. Reproduced with permission <sup>[27]</sup>. Copyright 2021 Wiley-VCH.

Lipid nanoemulsions can also be fabricated with microfluidic or with ultrasonic devices. Similar to liposomes, nanoemulsions are sphere-shaped particles consisting of several kinds of lipids in a droplet and usually exhibit a low polydispersity. For the oil-in-water system, lipid emulsion can entrap hydrophobic drug molecules inside the oil droplet. Different TLR agonists might contribute to macrophage polarization to a different degree. A nanoemulsion carried with TLR7/8 agonists, R848, skewed more macrophages towards M1 directions than that loaded with R837 <sup>[32]</sup>. Together with vaccine treatment, this nanomedicine prolonged the survival time of animal models with melanoma and cervical cancer for it might revert "cold" tumors into the "hot" ones <sup>[32]</sup>. Special therapeutic agents have also been discovered to have the ability to convert macrophages. Ye Hui et al. <sup>[33]</sup> constructed a lipid nanoemulsion to carry an isoflavone gained from *Psoralea corylifolia* L., termed Neobavaisoflavone. This anti-cancer material was able to switch M2 macrophages to pro-inflammatory M1 in vivo <sup>[33]</sup>.

### 3. Inorganic Nanoparticles and Macrophage Repolarization

Inorganic nanomaterials are defined as nanoparticles composed of inanimate maters and usually include a metal matrix, such as calcium, iron and gold, and nonmetal materials, such as silicon. The original properties confer

inorganic nanoparticles lots of merits, including: (i) relatively stable for long time period conservation and for strict sterilization conditions compared to organic materials <sup>[34]</sup>; (ii) fine controllability for the structure and various shapes with a low polydispersity index <sup>[35]</sup>; (iii) the intrinsic physical properties for multipurpose applications, such as superparamagnetism, up-conversion luminescence and surface plasmon resonance <sup>[35][36]</sup>. In fact, some inorganic nanoparticles have been clinically approved. For instance, iron oxide-based nanomaterials, ferumoxytol (i.e., Feraheme<sup>®</sup>) and ferucarbotran (i.e., Resovist<sup>®</sup>) have been used to treat iron deficiency and complete magnetic resonance imaging (MRI), respectively <sup>[37][38]</sup>. In order to demonstrate inorganic nanomedicines in macrophage repolarization, we outline the approaches according to their intrinsic properties and the agents as well.

As aforementioned, some nanoparticles have the ability to reprogram macrophages themselves, and iron oxide nanomaterials are one of them. In 2016, Saeid Zanganeh et al. <sup>[39]</sup> found that the clinical-approved iron oxide nanoparticle ferumoxytol could inhibit tumor growth by polarizing macrophages in the M1 direction. Interestingly, it was later discovered that both clinical-approved iron oxide nanoparticles, feracarbotran and ferumoxytol, were able to induce macrophage autophagy and arouse inflammatory response through TLR4-mediated signaling and oxidative stress <sup>[40]</sup>. With the extracts help from targeting molecules and other reprogramming agents to TAMs, these self-service nanomedicines might further promote the efficacy and retardation of tumor development. Jiaojiao Zhao et al. found that ferumoxytol surface-functionalized with poly(I:C) could achieve macrophage activation and suppress malignant melanoma in mice [41]. Similarly, other iron oxide nanoparticles have also been reported to be effective in turning macrophages into anti-tumor subtypes [38][42][43][44][45][46]. Coating iron oxide with a cellular membrane expressing SIRPa from genetic-edited cells was proven to be an effective strategy. The iron-containing nanoparticles were magnetically oriented to aggregate in the TME, and with the blockade of the "do not eat me" signal, TAMs were repolarized towards M1, eliciting potent immune responses and suppressing both B16F10 and 4T1 cancer growth with T lymphocytes [47]. Super-paramagnetic iron oxide nanoparticles (SPIONs) with different charges were compared in a recent study 48. SPIONs with positive or negative charges could skew macrophages towards M1-like phenotypes with a great promotion in TNF- $\alpha$  production <sup>[48]</sup>. Hollow iron oxide with PI3Ky inhibitor payload and modified with mannose efficiently promoted NF-kB p65 expression and reprogrammed TAMs to M1 [49]. In an animal model with human breast cancer MDA-MB-231, the hollow nanomedicine inhibited tumor development <sup>[49]</sup>. Hyaluronic acid (HA) was carried by various iron oxide nanoparticles to regulate macrophages to promote the polarization effect [50][51][52]. Of note, the clinical observation is in accordance with the story above, where the number of iron-containing TAMs in patients with non-small cell lung cancer is usually associated with tumor regression [53]. Researchers further confirmed that the phenomenon resulted from TAM repolarizing into proinflammatory type after consuming iron-containing sub heme [53].

Furthermore, iron-relative nanoparticles, calcium ions and some catalytic nanoparticles have also been proven to regulate macrophage phenotypes. Calcium iron itself could boost pro-inflammatory cytokines production, such as IL-1β <sup>[54]</sup>. Therefore, nanoparticle medicine using calcium might have positive effects on M1 repolarization. Xiao-Yan He et al. delivered HA and IL-12-coded plasmid DNA with peptide-modified calcium carbonate and succeeded in multiple M1 markers increasing in J774A.1 cells <sup>[55]</sup>. Additionally, a nano-catalytic medicine was developed to target mitochondrial DNA (mtDNA) of cancer cells <sup>[56]</sup>. With the mtDNA oxidative damagecaused by nanoparticles, the remaining parts of nucleic acid escaped from tumor cells and then entered TAMs as damage-associated

molecular patterns (DAMPs), which turned macrophages into M1 types <sup>[56]</sup>. IL-1 $\beta$ , TNF- $\alpha$ , IFN- $\beta$  and IL-18 production reached as high as the positive control (i.e., LPS-treated group) after treatment. In addition, this novel strategy was verified to be effective on a PANC-1 tumor xenograft model <sup>[56]</sup>.

Like other materials, inorganic nanoparticles can also be loaded with different reprogramming cargos. Photoresponsive up-conversion nanoparticles loaded with TAM-reprogramming agents achieved photo-related therapy and macrophage polarization simultaneously <sup>[57][58]</sup>. Ru nanoparticles loaded with BLZ945 also worked to decrease iNOS and CD206 expressions <sup>[59]</sup>. Non-mental materials, such as mesoporous silicon nanoparticles (MSN), are famous for their porous structure, which contributes to the delivery of more polarization-related agents in vivo and in vitro <sup>[56][60]</sup>. Leonard et al. also leveraged MSN to load albumin-paclitaxel, promoting M1 polarization <sup>[61]</sup>. Mesoporous silica loaded with siRNA to block monocarboxylate transporter-4 (MCT-4) also succeeded in preventing TAM from M2 polarization for MCT play a significant role in maintaining the acidic TME (**Figure 3**) <sup>[60]</sup>. Liming Bian and his colleagues coated MSN with up-conversion materials and loaded it with calcium regulators, which are released under near-infrared rays. Eventually, calcium levels in the cells increase or decrease, resulting in macrophage polarizing towards M1 or M2, respectively <sup>[58]</sup>. Gold nanoparticles linked with mucin-1 peptides succeeded in promoting M1 polarization with increasing cytokines, such as TNF- $\alpha$ , IL-6, IL-10, as well as IL-12 <sup>[62]</sup>. Li et al. rapped albumin and paclitaxel into gold nanorods, which suppressed M2 polarization and modulated the TME in tumor-bearing mice <sup>[63]</sup>.



**Figure 3.** Inorganic nanoparticles with siRNA to block MCT-4 for repolarizing TAMs. (**a**) Schematic illustration for hollow mesoporous organosilica loaded with hydroxycamptothecin and siRNA-blocking MCT-4. (**b**) Transmission electron microscopy of the nanoparticles after incubating with a buffer containing redox glutathione for the number of indicated days. (**d**,**e**) Flow cytometric analysis of TAM phenotypes using the (**d**) M2 marker CD206 and (**e**) M1 marker CD86. Reproduced with permission <sup>[60]</sup>. Copyright 2020 American Chemical Society.

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