# Therapeutic Implications for Pancreatic Ductal Adenocarcinoma

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Pancreatic ductal adenocarcinoma (PDAC) is a type of cancer that arises in the exocrine glands of the pancreas and comprises over 90% of pancreatic malignancies. Currently the 11th most common cancer worldwide, PDAC is the seventh leading cause of cancer-related deaths and is on track to move to second place by 2030. Despite the high prevalence, therapeutic options remain limited, with only modest improvements in overall survival (OS) occurring over the past 50 years.

Keywords: pancreatic cancer ; PDAC ; epigenetics ; metabolism ; cancer stem cells ; cancer therapy

#### 1. Introduction

Pancreatic ductal adenocarcinoma (PDAC) is a type of cancer that arises in the exocrine glands of the pancreas <sup>[1]</sup> and comprises over 90% of pancreatic malignancies <sup>[2]</sup>. Currently the 11th most common cancer worldwide <sup>[3]</sup>, PDAC is the seventh leading cause of cancer-related deaths and is on track to move to second place by 2030 <sup>[1]</sup>. Despite the high prevalence, therapeutic options remain limited, with only modest improvements in overall survival (OS) occurring over the past 50 years <sup>[4]</sup>. The 5-year overall survival is currently just 8% <sup>[5]</sup>, with median survival time remaining under 1 year even with newer treatments <sup>[6]</sup>, highlighting a clear need for new and effective therapies. Despite extensive research in the area, surgery is still the only curative option, although late presentation and high rates of metastases by the time of detection limit this approach to just 20% of newly diagnosed cases <sup>[2]</sup>. Even in those suitable for surgery, re-emergence occurs in 90% following the operation <sup>[8]</sup>. The current outlook for patients with PDAC continues to be poor and highlights a clear need for new therapeutic options.

Cytotoxic chemotherapy remains the mainstay of treatment in PDAC <sup>[9]</sup>, although research has led to optimised combinations to provide improvements in OS. The PRODIGE-24 trial placed modified 5-fluoro-uracil (5-FU), leucovorin, irinotecan, and oxaliplatin (mFOLFIRINOX) as the standard-of-care adjuvant therapy following significantly increased OS in comparison to gemcitabine (54.4 months vs. 35 months) <sup>[10]</sup>, while in patients with advanced-stage disease, combinations such as paclitaxel and gemcitabine or FOLFIRINOX have also elicited modestly improved OS <sup>[6][11]</sup>. Research aiming to generate new therapies has had a broad focus, likely accounted for by the highly heterogenous nature of PDAC cancers and lack of actionable genetic aberrations. The most common mutation, which occurs in the KRAS proto-oncogene and is seen in >95% of human PDACs <sup>[12]</sup>, is unfortunately largely unactionable. This may be set to change, however, due to the emergence of direct KRAS inhibitors able to target the G12C mutant KRAS variant <sup>[13][14]</sup>. Although this mutation is present in just 1–4% of PDACs <sup>[15]</sup>, and hence the majority of patients will be unable to benefit from this inhibitor if it becomes clinically available, its development is a major step forward in targeting a protein previously thought to be undruggable.

Other strategies currently being investigated include pathway inhibition, metabolic targeting, DNA damage, immunotherapeutic agents, epigenetic targeting, tumour microenvironment (TME) modification, and destruction of cancer stem cells (CSCs). Pre-clinical successes have been seen in many of these fields, although, as of yet, they have not translated into clinical practice. Outside of therapeutics themselves, the determination of biomarkers to guide drug selection and improvements in pancreatic cancer screening and detection are areas that will be key in improving patient outcomes.

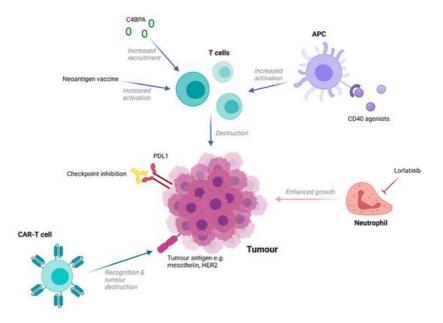
It is now well established within the field that PDACs can be stratified into different molecular subtypes, which impact OS and therapeutic response <sup>[16][17]</sup>. This was first noted by Collison in 2011 <sup>[18]</sup>, who defined three subtypes (classical, quasimesenchymal, and exocrine like) using gene expression microarray analysis in primary resected samples as well as human and mouse cell lines. Several years later, Moffitt et al. <sup>[19]</sup> used virtual microdissection to digitally separate tumour and stromal gene expression to specify two tumour-specific and two stromal-specific subtypes, while Bailey et al. <sup>[20]</sup> carried out an integrated genomic analysis to determine four distinct subtypes, as summarised in **Table 1**. More recent research has served to validate the existence of such subtypes and highlight the significant overlap between these classifications, indicating similarities between classical and pancreatic progenitor subtypes as well as basal-like and squamous tumours <sup>[16]</sup>. Given the molecular differences arising between subtypes, for example, elevated GATA6 expression in the classical/pancreatic progenitor subtype <sup>[18][19][21]</sup>, they could prove to be a useful modality for patient stratification to determine personalised medicine regimes and thus improve patient outcomes. However, further work still needs to be carried out to unify current classification methods and produce a single comprehensive grouping strategy. This would facilitate the application of knowledge to both clinical and research purposes, making precision medicine more viable in the context of PDAC going forwards.

#### Table 1. PDAC subtypes.

Classification	Subtype	Characteristics
Collison	Classical	Better prognosis than QM subtype following resection High expression of adhesion-associated and epithelial genes, high GATA6 expression Increased dependence on KRAS and increased sensitivity to erlotinib compared to QM subtype
	Quasi-mesenchymal (QM)	High expression of mesenchyme associated genes Increased sensitivity to gemcitabine compared to classical subtype
	Exocrine-like	Relatively high expression of tumour cell-derived digestive enzyme genes
Moffitt tumour- specific subtypes	Classical	20/22 genes shared with Collisson classical subtype
	Basal-like	Worse median survival and 1-year survival compared to basal-like subtype Better response to adjuvant therapy compared to classical subtype High expression of laminins and keratins
Moffitt stromal- specific subtypes	Normal	High expression of markers for pancreatic stellate cells, smooth muscle actin, vimentin, and desmin
	Activated	Worse median and 1-year survival compared to normal subtype More diverse gene expression including genes associated with macrophages (e.g., <i>ITGAM</i> , <i>CCL13</i> , and CCL18) and genes involved in tumour promotion (e.g., <i>SPARC</i> , <i>WNT2</i> , <i>WNT5A</i> , <i>MMP9</i> )
Bailey	Squamous	Poor prognosis compared to other subtypes High expression of TP53 and KDM6A, and upregulation of TP63ΔN transcriptional network Hypermethylation of pancreatic endodermal cell-fate determining genes
	Pancreatic progenitor	High expression of genes involved in early pancreatic development (FOXA2/3, PDX1, and MNX1)
	Immunogenic	Significant immune infiltrate Upregulation of immune networks, including pathways associated with acquired immune suppression
	Aberrantly differentiated endocrine exocrine	Subclass of pancreatic progenitor tumours High expression of genes involved in regulating KRAS activation, exocrine ( <i>NR5A2</i> and <i>RBPJL</i> ), and endocrine differentiation ( <i>NEUROD1</i> and <i>NKX2-2</i> )

## 2. Immunotherapies

Despite being widely regarded as an immunologically 'cold' cancer type there has been increasing interest in the potential of immunotherapies to treat PDAC (**Figure 1**). Disappointingly, single-checkpoint blockade therapies have been shown to be ineffective in treating patients <sup>[22][23]</sup>, with resistance to this form of immunotherapy being multifactorial in nature. A lower mutational burden compared to malignancies known to respond to immune checkpoint inhibitors (ICIs) <sup>[24]</sup>, an immunosuppressive microenvironment, and a dense desmoplastic stroma that act as a physical barrier to immune cell infiltration are all thought to be contributing factors <sup>[25]</sup>. However, it is possible to modulate these factors to increase immune-responsiveness and this has proven to be an attractive approach in recent research.



**Figure 1.** Immunotherapeutic targets in PDAC. Strategies in PDAC have focused heavily on enhancing T-cell-mediated immune responses, including through the use of CD40 agonists and neoantigen vaccines to enhance activation or application of molecules to increase T-cell recruitment (such as C4BPA). Checkpoint inhibitors also serve to enhance anti-tumour immune responses and, although their application as a single agent has proved ineffective, investigations into their efficacy in combination with other therapies continues. The introduction of CAR-T cells to promote tumour destruction has also been tested and despite disappointing initial results (a common theme amongst solid tumours), trials are ongoing. Newer research has involved targeting alternative immune cells, such as neutrophils, which are abundant in the tumour microenvironment and appear to promote tumour growth. This could be achieved through the application of the antibody Lorlatinib, which is able to suppress neutrophil accumulation, development, and activity.

For example, agonists to CD40, a member of the TNF receptor superfamily commonly expressed in immune cells, enabled antigen-presenting cells to increase levels of T-cell activation in tumour explants from a mouse KPC model <sup>[26]</sup>. A phase I trial in which an agonistic anti-CD40 antibody was administered in combination with nab-paclitaxel and gemcitabine with or without nivolumab demonstrated a 58% response rate amongst 24 patients <sup>[27]</sup>, highlighting the potential of this approach. Alternatively, recent studies have shown that complement performs seminal functions in promoting or suppressing cancer progression. New research indicated that high levels of stromal C4b binding protein alpha chain (C4BPA) within human PDAC tissue were associated with better prognosis, including longer OS, and strongly correlated with increased levels of CD8+ tumour-infiltrating lymphocytes <sup>[28]</sup>. In vivo, GnP/ICBs/mC4BPA peptide treatment, but not GnP treatment, led to the accumulation of a greater number of CD8+ T cells in the periphery of PDAC tumours and increased tumour regression <sup>[28]</sup>. This highlights C4BPA stimulation as another potential method that could convert PDAC to an immunologically 'hot' tumour and thus increase the curative potential of ICIs.

Outside of ICIs, multiple immunotherapies have been trialled with varying results. Mesothelin-targeting CAR-T cells have been trialled in six patients and were well tolerated, with one patient experiencing a 69% decrease in metabolically active tumour volume <sup>[29]</sup>. However, as with other solid tumours, lack of accessibility for circulating CAR-T cells and a rarity of uniformly expressed targetable epitopes is likely to hinder therapeutic efficacy. Nonetheless, additional trials involving CAR-T cells targeting mesothelin and other antigens are ongoing. Additionally, novel work using a specific subset of effector memory T-cells expressing CD161 as opposed to bulk T cells to generate HER2-specific CARs demonstrated the potential to improve CAR-T constructs in PDAC <sup>[30]</sup>. Importantly, the use of this particular T-cell population was able to enhance cytotoxicity and improve tumour burden control in mouse models of pancreatic cancer when compared to bulk peripheral mononuclear blood cells.

New research has also aimed to target immune cell types outside of T cells. Neutrophils are abundant within the PDAC TME and are associated with poor clinical prognoses. They are known to promote tumour growth through ECM modulation and cytokine production and are also thought to aid in metastasis by supporting the survival of circulating cancer cells, enhancing stem cell characteristics of metastasis initiating cells, and establishing a hospitable niche for metastasis growth <sup>[31][32][33]</sup>. This highlights neutrophils as a promising target, with their inhibition being able to prevent both cancer growth and spread. Lorlatinib, a small molecular tyrosine kinase inhibitor able to suppress neutrophil accumulation, development, and activity, was able to attenuate PDAC progression and growth at both primary and

metastatic sites in a PDAC mouse model <sup>[34]</sup>. Furthermore, when combined with an anti-PD-1 blockade, lorlatinib increased the levels of activated intra-tumoural CD8+ T cells and resulted in significantly smaller tumours compared to control and monotherapy groups <sup>[34]</sup>.

#### 3. Extracellular Tumour Microenvironment Modification

The abundance of fibrotic stroma, known as desmoplasia and derived mainly from pancreatic stellate cells, is a feature typical of PDAC. This dense extracellular matrix acts as a physical barrier preventing delivery of therapeutic agents to tumours and barring entry of immune cells to contribute to the 'immunologically cold' TME <sup>[35][36][37]</sup>. Hence, approaches that target the tumour stroma, in addition, to directly targeting PDAC cells are attractive. One strategy involved targeting focal adhesion kinase (FAK), a tyrosine kinase that promotes tumour invasion, growth, and metastasis through interactions with stromal cells in a number of solid malignancies <sup>[38]</sup>. In a KPC mouse model of PDAC, FAK inhibition reduced fibrosis and improved survival <sup>[36]</sup>, with clinical trials investigating combined FAK inhibition and ICIs or cytotoxic agents now underway. Furthermore, positive results have been observed upon targeting connective tissue growth factor (CTGF), which is highly expressed in preclinical models of PDAC and is thought to contribute to desmoplasia <sup>[39]</sup>. Pamrevlumab, an anti-CTGF monoclonal antibody, led to improved OS in PDAC patients in combination with standard chemotherapy in a phase I/II trial <sup>[40]</sup>. On the basis of these results, pamrevlumab has now been designated as a fast-track therapy to treat patients with locally advanced PDAC. However, there is evidence that suggests that components of the stroma may act to restrain cancer cell growth and impede metastasis <sup>[42]</sup>. Thus, caution must be taken when targeting the stroma in order to ensure that the effects are beneficial.

This is illustrated in the case of targeting hyaluronic acid (HA), a glycosaminoglycan (GAG) overexpressed in PDAC stroma and associated with low immune response and poor prognosis [43][44]. HA was initially thought to be a promising target in PDAC, with one study involving HA staining on samples from 101 patients with stage IA-IIB disease revealing that high levels of stroma HA expression were significantly associated with poor disease-specific survival and OS [45]. In mouse models, treatment with PEGPH20 (a pegylated recombinant human hyaluronidase that breaks down hyaluronan) and gemcitabine prolonged survival [46], while a phase II trial administering PEGPH20 and nab-paclitaxel/gemcitabine to patients with advanced-stage PDAC found that the addition of PEGPH20 significantly improved PFS in patients with high levels of hyaluronic acid expression [47]. However, despite these early encouraging results, a phase III trial (HALO-301) involving the administration of PEGPH20 plus nab-paclitaxel/gemcitabine (PAG) or placebo plus nabpaclitaxel/gemcitabine (AG) failed to meet its primary endpoints [48]. Disappointingly, OS in the PAG group was slightly lower than the AG group (11.2 months vs. 11.7 months) and there was no difference in PFS between the two. Furthermore, results from a phase Ib/II trial in which PEGPH20 was combined with mFOLFIRINOX in patients with metastatic PDAC demonstrated that PEGPH20 addition was detrimental to OS [49], re-iterating the potential adverse consequences of stromal targeting. Alternatively, trial failure could have resulted from the targeting of desmoplasia alone being insufficient to evoke treatment benefits with other factors such as low tumour mutational burden, lack of targetable neoantigens, and EMT also requiring modulation to produce favourable results <sup>[50]</sup>.

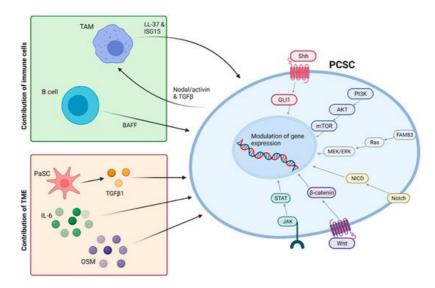
## 4. Elimination of Cancer Stem Cells

Cancer stem cells (CSCs) refer to a specific subset of cells within tumours, which display self-renewal and the ability to produce differentiated progeny, characteristics typically associated with normal stem cells. First described in 1997 in acute myeloid leukaemia <sup>[51]</sup>, CSCs have since been discovered in multiple other cancer types, with the first report of their presence in PDAC arising in 2007 <sup>[52]</sup>. Since then, pancreatic CSCs (PCSCs) have been shown to play a vital role in PDAC propagation and growth <sup>[53]</sup>. More recently, they have been linked to tumour stroma differentiation, with a greater presence of PCSC markers being associated with a loose stroma type and higher rates of cumulative local recurrence <sup>[54]</sup>. Furthermore, PCSCs are known to contribute to chemoresistance in PDAC <sup>[55]</sup> and cancer recurrence following treatment, hence curing PDAC is contingent on complete CSC annihilation.

Much work has been carried out in this area aiming to characterise and selectively target pancreatic CSCs. JAK-STAT and Hedgehog pathways, known to be involved in CSC maintenance <sup>[56][57]</sup>, have been targeted in PDAC but failed to produce satisfactory results <sup>[58]</sup>, highlighting a need for better characterisation of CSC signalling. Alternatively, it is possible to target specific surface markers of CSCs, which include CD44, CD24, CD133, CXCR4, and ESA <sup>[52][53]</sup>. For example, the generation of a bi-specific antibody recognising ESA and CD3 was able to re-direct cytotoxic T lymphocytes to eliminate highly tumourigenic PCSCs in vitro and in vivo <sup>[59]</sup>.

Specific metabolic targeting of CSCs is also a promising approach, with PCSCs highlighted as being dependent on oxidative phosphorylation in (OXPHOS) in order to survive. Inhibition of this pathway by metformin was able to produce rapid apoptosis specifically within the PDAC CSC population while non-CSC cells were less dramatically affected, only undergoing cell cycle arrest <sup>[60]</sup>. Furthermore, recent work on four PDAC cell lines has shown that PCSCs have specific and common proteome and lipidome modulations, which could prove to be useful therapeutic targets <sup>[61]</sup>. These include mitochondrial cardiolipin acyl chain modification and upregulated fatty acid elongation and phosphoinositol phosphatase pathways, features unique to PCSCs and possible targets for drug development.

However, it is important to note that increasing bodies of work suggest that PCSCs, and CSCs as a whole, are not a defined subpopulation but rather a state that non-CSC tumour cells are also able to enter <sup>[62]</sup>. This means that not only is it important to target existing CSCs but also to understand and inhibit pathways promoting cellular plasticity that may give rise to new CSCs. Such pathways have been extensively reviewed by Smigiel et al. (2018) <sup>[58]</sup> and are summarised in **Figure 2**. Many of these targets have already demonstrated promising pre-clinical results, with combined targeting of mTOR and c-MET signalling eliciting a dramatic reduction in the viability of CD133+ CSCs while the inhibition of Notch signalling by genistein significantly reduced self-renewal of PCSCs <sup>[58]</sup>. Furthermore, pharmacological inhibition or knockdown of ALK4, the target receptor of Nodal and Activin, demonstrated the ability to abolish the self-renewal and tumourigenicity of PCSCs and sensitised this subpopulation to gemcitabine <sup>[63]</sup>.



**Figure 2.** Pathways promoting cellular plasticity in PCSCs. Top left: Immune cells are known to play an important role in CSC plasticity, with the production of B-cell activating factor (BAFF) by infiltrating B lymphocytes inducing EMT and enhancing the motility of pancreatic epithelial cells. Tumour-associated macrophages (TAMs) secrete the immune modulatory peptide LL-37, which enhances stemness and sphere formation. The secretion of Nodal/Activin and TGFβ-1 by CSCs stimulates further LL-37 secretion to generate a positive feedback loop. TAMs also secrete interferon-stimulated gene 15 (ISG-15), which enhances CSC self-renewal, pluripotency, and invasion. Bottom left: The tumour microenvironment (TME) influences CSC emergence, with the addition of TME cytokines to tumour cells resulting in the acquisition of stem cell characteristics. Transforming growth factor beta (TGFβ), interleukin 6 (IL-6), and oncostatin (OSM) all induce mesenchymal/CSC properties. Pancreatic stellate cells (PaSCs) are known to induce CSC properties in neighbouring cells, which could be mediated in part by the production of TGFβ. Right: Multiple signalling pathways evoke plasticity, including mTOR, sonic hedgehog (Shh), Notch, Wnt, and Stat. Family with Sequence Similarity 83 (FAM83) proteins have been shown to drive cellular transformation, with FAM83A driving PDAC cell survival via the MEK/ERK signalling pathways.

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