

# Calculation of the transition temperature of superconducting elements

Subjects: [Physics](#), [Condensed Matter](#)

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Superconducting transition temperature

elements

Roeser-Huber formalism

crystallographic structure

superconductivity

## Topic review

### Calculation of the transition temperature of superconducting elements

Subjects: [Solid state physics](#), [condensed matter physics](#), [superconductivity](#)

Submitted by:



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## Definition

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that all calculated data fall on a common line with the slope  $m_1 = \hbar^2/(2\pi k_B) = 5.061 \times 10^{-45} \text{ m}^2 \text{ kg K}$ , when plotting  $\log(\Sigma((2x)^{-2}n_1^{-1}M_L^{-1}))^{-1}$  versus  $1/T_c$ , which can be employed as a test when predicting  $T_c$  of unknown superconductors.

## 1. Introduction

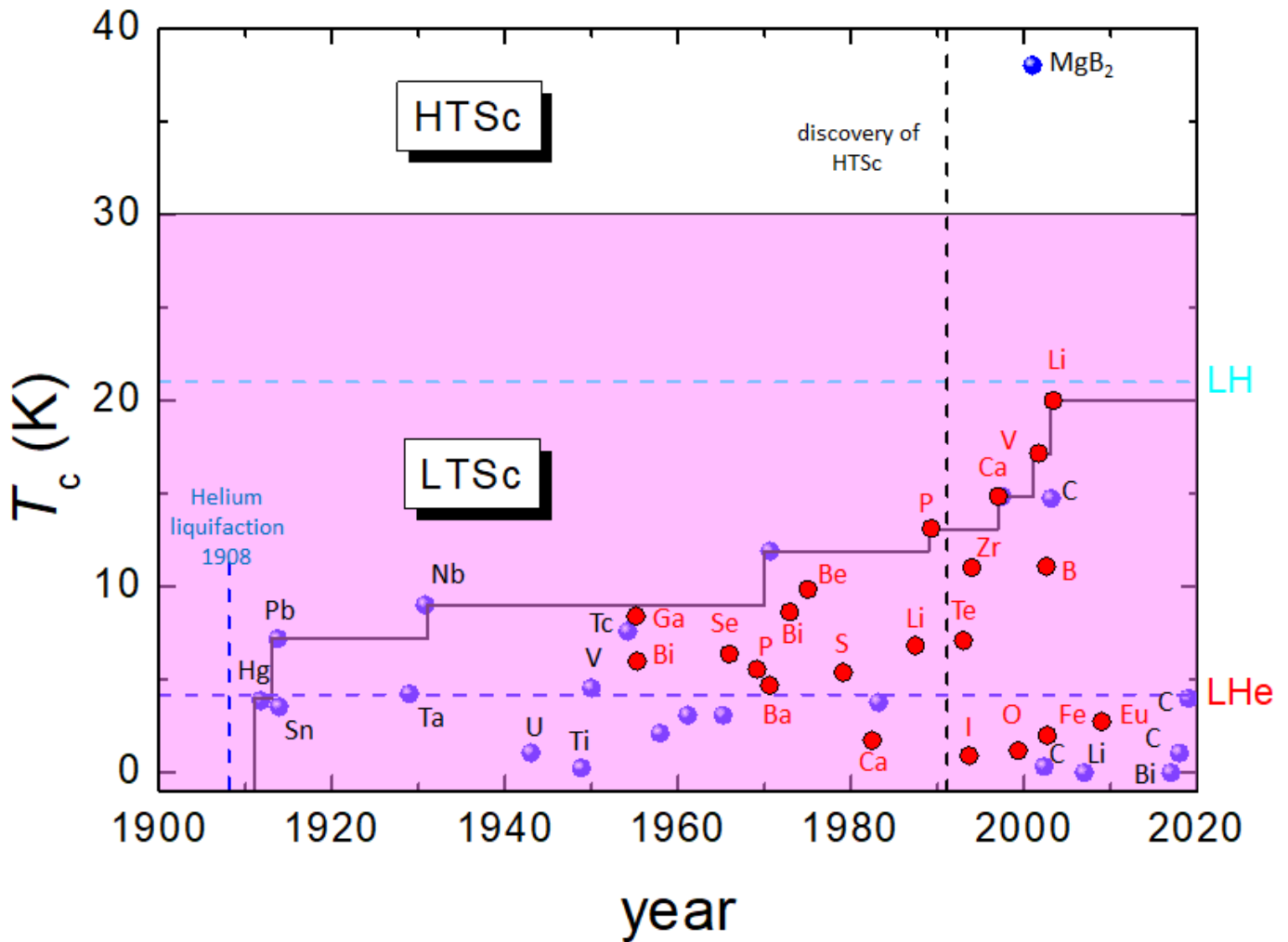
Up to now, there are 53 superconducting elements known (in ambient conditions and under pressure, [1,2], and three more are superconductors under special conditions (i.e., in thin film form (Cr), after irradiation (Pd), or C in several modifications [3], e.g., diamond films, alkali-doped fullerene, and carbon nanotubes). A periodic table of the elements with the transition temperature ( $T_c$ ) data from the literature is presented in Figure 1. From all reviews and teaching books covering this field [2—9], it is clear that there is no simple relation between  $T_c$  and the respective crystal structure. Moreover, some elements are polymorphic superconductors with different crystal structures, e.g., La, Hg and Ga, and some elements show changes of the crystal structure under pressure (e.g., Fe becomes superconducting under pressure in the non-magnetic phase (hexagonally close-packed (hcp)  $\epsilon$ -Fe phase) [10—12]. Commonly, bandstructure calculations are performed to obtain  $T_c$  requiring many parameters (see, e.g., Refs. [13,14] and using given crystal structures as a base, which is, however, not straightforward to enable comparison of a large variety of elements and different crystal structures.

All this demonstrates clearly that the link between the crystal structure and  $T_c$ , if existing, must be a much more subtle and non-trivial one. Exactly this task is fulfilled by the Roeser-Huber (from now on, we use the abbreviation RH) formalism, establishing a truly non-trivial relation between  $T_c$  and the given crystal structures.

For a successful application of the RH formalism, one needs the crystallographic information of the possible crystal structures, and about the electronic configuration to count the number  $N_L$  of electrons involved in superconductivity (the rules for this are similar to the valence electron count used by Matthias [15] and the number of passed (or near) atoms in the crystal unit cell,  $N_{\text{atoms}}$  as determined from the given crystal structure using the relation  $l/x < 0.5$ , which is described in Sec. 2 below.

Thus, a relatively simple calculation procedure like the RH formalism, which requires only knowledge of the crystal structure and the basic electronic configuration with no free parameters, has clear advantages when being incorporated as a test in machine learning approaches to find new superconducting materials [16—18].

H ??																	He
Li 20 50 GPa	Be 0.026 film: 10 K	element $T_c$ (K) applied pressure /additional info										B 11 250 GPa	C 15 nanotube	N	O 0.6 120 GPa	F	Ne
Na	Mg											Al 1.19 film: 3.6	Si 8.5 250 GPa	P 6 7 GPa	S 17 160 GPa	Cl	Ar
K	Ca 15 150 GPa	Sc 0.3 21 GPa	Ti 0.4	V 5.3	Cr 3.2 thin film	Mn	Fe 2 21 GPa	Co	Ni	Cu	Zn 0.9	Ga 1.1 nanotube: 8.6 K	Ge 5.4 11.5 GPa	As 2.7 24 GPa	Se 7 13 GPa	Br 1.4 150 GPa	Kr
Rb	Sr 4 50 GPa	Y 2.8 15 GPa	Zr 0.6	Nb 9.2	Mo 0.92	Tc 7.8	Ru 0.5	Rh 0.0003	Pd 3.2 irradiated	Ag	Cd 0.55	In 3.4 film: 4.2	Sn 3.7 film: 4.7	Sb 3.6 1.5 GPa	Te 7.4 35 GPa	I 1.2 25 GPa	Xe
Cs 1.5 5 GPa	Ba 5 15 GPa	(La)	Hf 0.13	Ta 4.4	W 0.01 film: 5.5 K	Re 1.7	Os 0.65	Ir 0.14	Pt	Au	Hg 4.15	Tl 2.39	Pb 7.2	Bi 0.0053	Po	At	Rn
Fr	Ra	(Ac)	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg	Cn	Nh	Fl	Mc	Lv	Ts	Og
La 5.9	Ce 1.7 5 GPa	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu 1.1 18 GPa			
Ac	Th 1.4	Pa 1.4	U 0.2	Np 0.075	Pu	Am 0.8	Cm	Bk	Cf	Es	Fm	Md	No	Lr			



**Figure 2.** (a)  $T_c$  as a function of the year of discovery of the superconducting elements (● – ambient conditions, ● – under pressure). Note the highest  $T_c$  of all elements at 20 K for Li under pressure. The borderlines for liquid He (LHe) and liquid H (LH) are also presented. The 30 K-line marks the border between LTSc and HTSc materials, crossed by the alloy superconductor  $\text{MgB}_2$ , which is given for comparison. (b) Logarithmic plot of  $T_c$  of various elements in ambient conditions with respect to their crystal structure (body-centered cubic (bcc), face-centered cubic (fcc), hexagonally close-packed (hcp), tetragonal (tetra), monoclinic (mono), orthorhombic (ortho), fcc\* and double hexagonally close-packed (dhcp)). The highest  $T_c$  in ambient conditions is obtained for Nb with bcc structure, the lowest  $T_c$  to date has Bi with a monoclinic structure.

## 2. Details of the RH formalism

The starting point is the view of a superconducting transition as an integrated resonance curve between the charge carrier wave (Cooper pairs with the deBroglie wavelength  $\lambda_{cc}$ ) and a characteristic length within the crystal unit cell.

As described already in Refs. [19,20], the Roeser--Huber formula is given as

$$\Delta_{(0)\text{ges}} = \frac{h^2}{2M_L} \cdot \left( \sum_{R_1}^{R_n} \frac{1}{(2x_{R_i})^2} \cdot n_0^{2/3} \cdot \frac{n_{2R_i}}{n_{1R_i}} \right) = \pi k_B T_{c(0)} \quad , \quad (1)$$

with  $\Delta_{(0)}$  describing the lowest level energy of the PiB.  $h$  denotes the Planck constant,  $k_B$  the Boltzmann constant,  $M_L$  is a parameter with the unit of a mass, and the sum is taken for all possible directions,  $R_i$ , as explained below. For all unit cells of metallic elemental superconductors, there are no 2D-like superconducting planes, thus  $n_0$  (describing the number of superconducting planes of 2D superconductors) is set to  $n_0 = 1$ , and the parameter  $n_2$  is also set to 1 as the simple crystal structures of the elements do not have multiple superconducting paths as in the case of alloys [20,21].

The characteristic interatomic distance  $x$  now depends on each crystallographic direction (which will be called superconducting path hereafter),  $R_i$ , and the correction factor,  $n_1$ , the determination of which is discussed below.

It is to the credit of the late Prof. Roeser and his students to have established a set of rules which provide the essential input for the calculations.

Following the works of Moritz [19] and [21] Stepper, there are four important points to be considered when calculating  $T_c$  for metallic elements:

# The distance  $x$  corresponds to an interatomic distance similar to the particle-in-box (PiB) approach applied in [22,23]. The distance  $x$  is obtained from possible *symmetric* paths (also called superconducting directions in the following) for the movement of the charge carrier wave within the crystal structure, as discussed below. The crystallographic data come from respective databases [24,25], which is an important issue for application of the RH formalism in machine-learning calculation approaches.

# The parameter  $M_L$  for high- $T_c$  superconductor (HTSc) compounds was taken as  $2 m_e$  (= electron mass, 2 for a Cooper pair). For element superconductors,  $M_L = \eta m_e$  is much higher as all the phononic interactions (Fermi temperature, Debye temperature, effective mass, charge carrier density) are incorporated in the parameter  $\eta$ . In a first approximation,  $\eta m_e \sim 1900$ , which corresponds closely to the mass of a proton ( $m_p/m_e = 1836.15$ ). Regarding the location of metallic superconductors in a Uemura plot ( $T_c$  as function of the Fermi temperature,  $T_F = (m^* v_F^2)/(2 k_B)$  [26,27] and  $v_F$  denoting the Fermi velocity,  $m^*$  is the effective mass) in the lower right corner with  $T_F \sim 10^4 \cdot 10^5$  K, the high value for  $\eta$  is reasonable. This significant difference between elemental metallic superconductors and the HTSc materials was also pointed out by Emery and Kivelson [28] mentioning the substantial phase rigidity of the superconducting state in, e.g., lead at all temperatures below  $T_c$ .

# A first correction factor is required to account for more complex crystal structures. Atoms being close to a superconducting direction may have an influence on the moving charge carriers via the phonon interaction.

Therefore, the number of atoms passed within a unit cell is counted. This correction was originally added to the parameter  $M_L$  via:

$$M_L = \frac{N_L}{N_{\text{atoms}}} \cdot m_p, \quad (2)$$

which we keep here for consistency. Regarding the definition of  $\eta$  given above, the relation  $N_L/N_{\text{atoms}}$  is thus incorporated in  $\eta$ .

Here,  $N_L$  represents the number of the charge carriers and  $N_{\text{atoms}}$  denotes the number of the near, passed atoms along each superconducting path. A correction factor  $n_1$  can be then defined as  $n_1 = N_L/N_{\text{atoms}}$ . In case there are no (near) passed atoms, then  $n_1 = 1$ .

As the symmetry of the superconducting path plays an important role for our considerations, the passed atoms must be symmetrically arranged along the superconducting path, as otherwise the charge carriers would be not in phase due to the unsymmetric forces. This implies that superconductivity cannot exist in directions with unsymmetrically arranged passed atoms. As test for the influence of the passed atoms, we define a relation  $l/x$ , with  $l$  being a distance perpendicular to the direction of the moving charge carriers. If  $l/x \leq 0.5$ , the passed atoms show an influence on the superconductivity and must be counted in  $N_{\text{atoms}}$ .

Here, it is important to point out that  $N_L$  and  $N_{\text{atoms}}$  are not free parameters, but are given from the respective crystal structure being investigated. A special case for determining  $N_L$  will be encountered for hcp Fe under pressure as discussed below.

# A second correction factor is necessary to account for anisotropic superconductivity, which can even lead to so-called multimode superconductivity. The factor  $n_2$  gives a relation between the specific directions for the charge carrier wave,  $R_i$ , in the given crystal structure.

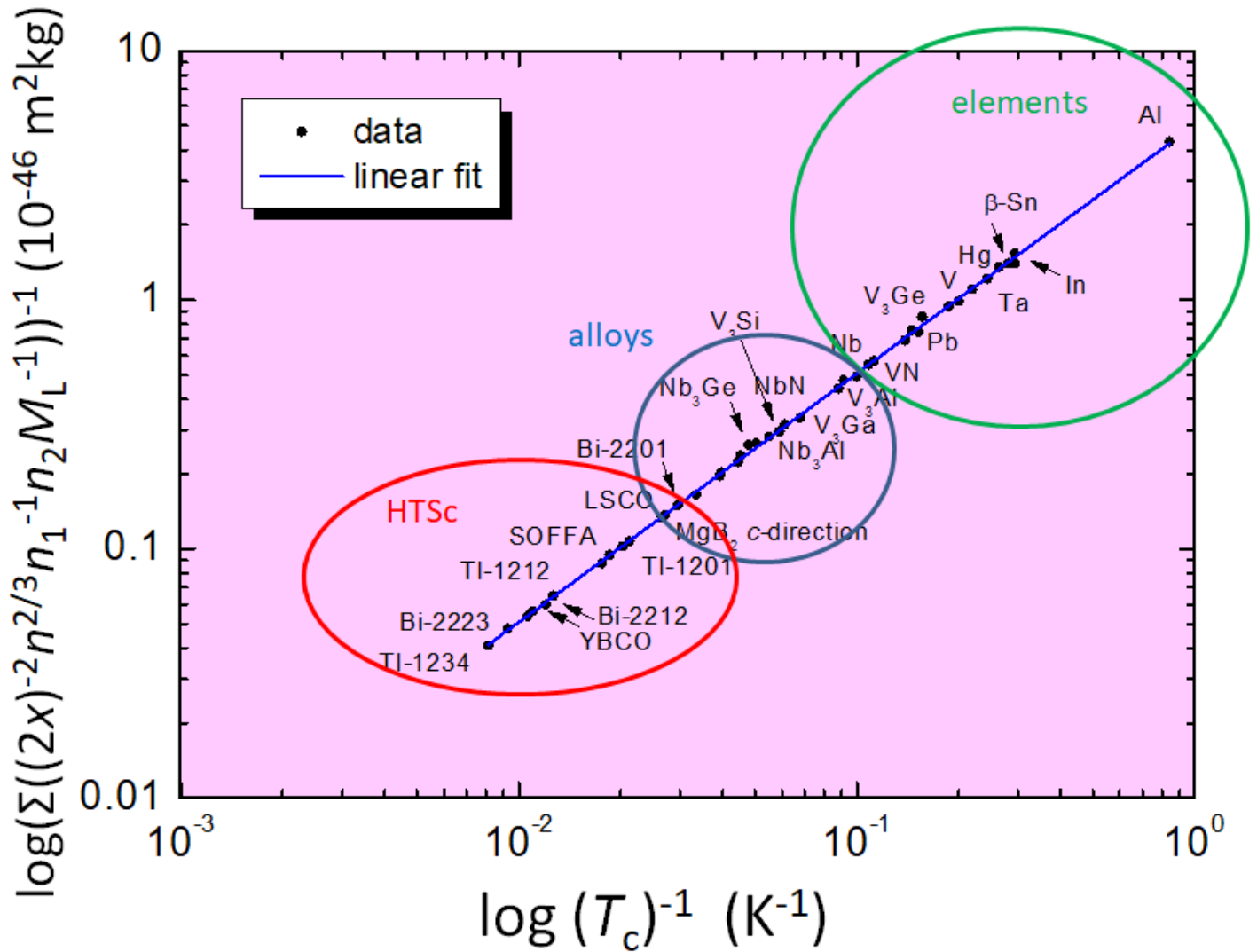
The energy  $\Delta_{(0)}$  and the transition temperature  $T_{c(0)}$  are then calculated for each existing superconducting path  $R_i$ , and the results must be summed up according to Equation (1). If one of the directions  $R_i$  gives a reasonable value for  $T_c(R_i)$  to compare with the experimentally determined  $T_c$ , this direction is taken as the superconducting path. However, the  $T_c(R_i)$ -values of the other directions and the complete sum of all  $T_c(R_i)$  may also have important implications as, e.g., in the case of Al, which was discussed in Ref. [20], the experimental value of  $T_c$  is reached with 2 of the possible 4 directions in the fcc structure, but the total sum of all 4 directions is strikingly close to the increased  $T_c$ , when measuring thin films. A similar situation is given for the energies,  $\Delta_{(0)}(R_i)$ .  $\Delta_{(0)}(R_i)$  may be compared to the pairing energy or energy gap as determined experimentally.

Thus, all  $\Delta_{(0)}(R_i)$ - and  $T_c(R_i)$ -values must be calculated for a given material.

For most elemental superconductors,  $n_2 = 1$ , in contrast to the metallic alloys Nb<sub>3</sub>Sn or MgB<sub>2</sub>, where superconducting directions can exist several times within one unit cell, as already discussed in Ref. [20].

The Roeser--Huber formalism (1) does not contain any free parameters, as all the required inputs are given via the crystal unit cell (i.e.,  $x$  and  $N_{\text{atoms}}$ ) and  $N_L$  from the basic electronic configuration of the material. It must be noted here that the RH formalism does not describe how the Cooper pairs are formed, and so it is not possible to determine if a given material is a superconductor. However, regarding the limits of the parameter  $\eta$  ( $\eta_{\text{min}} = 2$ , and  $\eta_{\text{max}}$  is set by the demand of the BCS-theory that the effective charge carrier mass,  $m^*$  cannot be smaller than  $m^* < 0.1 m_e$  for isotropic, spherical Fermi surfaces [29], one may deduce a possibility to judge if superconductivity for a given material and crystal structure exists. This will be elaborated in future works.

Figure 3 presents the Roeser--Huber plot with many calculated superconducting materials, high- $T_c$  superconductors (HTSc, marked with a red circle), metallic alloys (blue circle) and elements (green circle). Practically all superconducting elements can be calculated using the RH formalism with only small error margins. The only exceptions are the very low- $T_c$  materials Li, Be and Bi, where the low values of  $T_c$  can only be reproduced with an adaptation of  $\eta$ , which is due to either an extremely small charge carrier mass (Li, Be) or a large electron mean free path (Bi). In contrast, the RH formalism works well to calculate the respective  $T_c$ -values of the same materials under applied pressure.



**Figure 3.** The Roeser--Huber plot for a large number of superconducting materials. Red circle: HTSc, blue circle: alloys, and green circle: elemental superconductors. Some overlaps between these regions do exist. For clarity, only some of our collected data are included.

A very important point is here that all the data of  $T_c$  obtained fall on a common, straight line (blue), which follows the equation for a particle in a box [23] with the slope  $\hbar^2/(2\pi k_B) = 5.061 \times 10^{-45} \text{ m}^2 \text{ kg K}$ . This result enables the RH formalism to act as a test for given predictions of  $T_c$ , e.g., for the case of metallic hydrogen as was done recently in Ref. [30].

### 3. Conclusions

To conclude, in our article [31] and before in Ref. [20], we have presented calculations of the superconducting transition temperature,  $T_c$ , for two polymorphic superconducting elements (Hg, La) using the RH formalism and for  $\epsilon$ -Fe (hcp) under pressure. The principles of this formalism enable to reproduce the different  $T_c$ -values of various crystal structures of the same elements, which directly proves the validity of the concept. This will enable us to



apply this formalism for the prediction of  $T_c$  of still unknown superconducting materials, e.g., in machine learning approaches.

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