# α-Syn Structure, Aggregation, and Degradation in Parkinson's Disease

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Parkinson's disease (PD) is a progressive neurodegenerative disorder. The classical behavioral defects of PD patients involve motor symptoms such as bradykinesia, tremor, and rigidity, as well as non-motor symptoms such as anosmia, depression, and cognitive impairment. Pathologically, the progressive loss of dopaminergic (DA) neurons in the substantia nigra (SN) and the accumulation of  $\alpha$ -synuclein ( $\alpha$ -syn)-composed Lewy bodies (LBs) and Lewy neurites (LNs) are key hallmarks.

Keywords: Parkinson's disease ;  $\alpha$ -synuclein ; glia ; neuron-glia crosstalk

# 1. Introduction

## 1.1. The History and Pathogenesis of Parkinson's Disease

Parkinson's disease (PD) is named after the English science medical expert James Parkinson, who wrote down the first detailed description about PD in An Essay on the Shaking Palsy in 1817 <sup>[1]</sup>. With life expectancy increasing, the incidence of PD is considerably rising. There are about 7 million people in pain from PD in the world, of which the United States accounts for about 1 million <sup>[2]</sup>. In industrialized countries, the morbidity of this disease is about 0.3% and patients are mainly elder adults. For people older than 60, the morbidity sharply increases 1% every year, for people older than 80, the increase rate reached 4% <sup>[3]</sup>.

Upon ageing, PD patients exhibit worsening central nervous system (CNS) symptoms cultivating to defects in the motor system. The pathological hallmark of PD is the progressive loss of dopaminergic (DA) neurons in the substantia nigra pars compacta (SNpc) <sup>[4]</sup> (**Figure 1**D). These DA neurons are required for normal motor function, the death of which lead to bradykinesia, tremor, and rigidity <sup>[5]</sup>. Another pathological feature of PD is the formation of Lewy bodies (LBs) and Lewy neurites (LNs), which are cytoplasmic spherical protein inclusion bodies mainly composed of  $\alpha$ -synuclein ( $\alpha$ -syn) <sup>[6]</sup> (**Figure 1**D). Importantly, the spread pattern of LBs pathology correlates with the progression of PD clinical symptoms, which is also the basis of the Braak staging system <sup>[7][8]</sup>. The formation of LBs always is connected with the induction of reactive oxygen species (ROS) and the generation of superoxide radical anions, hydrogen peroxide, and hydroxyl radicals <sup>[9]</sup>. The accumulating evidence suggests that the increase in oxidative stress would exacerbate the development of PD [10].



**Figure 1.**  $\alpha$ -syn structure and pathological hallmarks of PD: (A) Schematic representation of  $\alpha$ -syn.  $\alpha$ -syn is divided into N-terminal, non-amyloid-beta component (NAC), and C-terminal; three domains highlighted in green, yellow, and red, respectively. Several familial PD-related mutations and post-translational modification sites are denoted. (B) Structure of  $\alpha$ -syn monomer. (C)  $\alpha$ -syn equilibrium.  $\alpha$ -syn monomer can aggregate into oligomer or fibril. (D) Pathological hallmarks of PD. The pathological hallmarks of PD include progress loss of dopaminergic (DA) neurons in the substantia nigra pars compacta (SNpc), misfolded  $\alpha$ -syn aggregates and neurites known as Lewy bodies (LBs) and Lewy neurites (LNs), and glial activation.  $\alpha$ -syn could transfer to and activate microglia and astrocyte, which in turn release pro-/anti-inflammatory cytokines and contribute to neurodegeneration.

Although the primary cause of PD cases appears to be spontaneous and widespread, most experts agree that the pathophysiology of this disease is profoundly influenced by the combination of genetic and environmental factors and that how genes and the environment interact can be very complicated. The evidence consistently suggests that a higher risk of PD is associated with a number of environmental factors, including the area of residence, occupation, exposure to metals, pesticide and herbicide exposure, and so on <sup>[11]</sup>. Accordingly, genes including *SCNA* <sup>[12]</sup>, *Parkin* <sup>[13]</sup>, leucine-rich repeat kinase 2 (*LRRK2*) <sup>[14]</sup>, phosphatase and tensin homolog deleted on chromosome 10-induced putative kinase 1 (*PINK1*) <sup>[15]</sup>, glucocerebrosidase (*GBA*) <sup>[16]</sup>, vacuolar protein sorting 35 (*VPS35*) <sup>[17]</sup>, and DJ-1 <sup>[18]</sup> are linked to genetic variants that directly contribute to PD. Genome-wide association studies (GWAS) also suggest that both adaptive and innate immunity may play a role in PD pathogenesis <sup>[19]</sup>. These genes help us to comprehend PD processes at cellular and molecular levels.

#### 1.2. Role of Glia in PD

Although glia outnumber neurons in the CNS, they were originally considered to be the inert "glue" (Greek "glia") that fill in the space between neurons and play a passive supporting role due to the lack of electrical excitability <sup>[20][21][22]</sup>. Nonetheless, in the past decades, numerous studies demonstrated that glia maximize their contact with neurons and actively contribute to almost every aspect of neuronal development and function, including neurogenesis, axon guidance and ensheathment, synaptic connection and plasticity, trophic supports, elimination of dying neurons, maintaining ionic balance, and blood–brain barrier (BBB) formation <sup>[21][23][24][25][26][27][28][29][30][31]</sup>. Importantly, glial cells have been implicated in a series of neurodegenerative diseases including PD <sup>[32][33]</sup> and many PD risk genes are also expressed in glial cells <sup>[34][35][36][37]</sup>, further shedding light on the importance of glia in maintaining neuronal homeostasis.

## 2. α-Syn Structure, Aggregation, and Degradation

#### 2.1. $\alpha$ -Syn Structure and Physiological Function

The genetic era in PD research began in 1997, when  $\alpha$ -syn was recognized as a key factor in this complicated neurological disease <sup>[12]</sup>. The protein  $\alpha$ -syn is encoded by *SNCA* and consists of 140 amino acids (a.a.) with a molecular weight of approximately 15 kDa <sup>[38]</sup>. According to the physiochemical property,  $\alpha$ -syn could be divided into three domains: a positively charged N-terminal region (1–60 a.a.) containing four regions of 11 imperfect repeats with the KTKGEV consensus sequence <sup>[39]</sup>; a central hydrophobic region (61–95 a.a.) with the non-amyloid-beta component (NAC) <sup>[40]</sup>; and a C-terminal region (96–140 a.a.) enriched with acid residues <sup>[41]</sup> (**Figure 1**A).  $\alpha$ -syn is widely expressed at the presynaptic terminals of the brain <sup>[42]</sup> and regulates the vesicular transport of neurotransmitters. Under physiological conditions,  $\alpha$ -syn is mostly found in the substantia nigra (SN), cortex, and hippocampus; it is crucial for regulating the function and plasticity of synapses <sup>[43]</sup>. Despite being highly enriched in the nervous system <sup>[42]</sup>,  $\alpha$ -syn is also expressed in a variety of other tissues, including red blood cells, heart, muscle, and other tissues with low expression levels <sup>[44]</sup>, suggesting that  $\alpha$ -syn has cellular functions beyond those specific to the nervous system.

At the cellular level,  $\alpha$ -syn is localized to the synaptic terminal <sup>[42]</sup>, mitochondria <sup>[45]</sup>, endoplasmic reticulum (ER) <sup>[46]</sup>, Golgi apparatus (GA) <sup>[47]</sup>, endo-lysosome system <sup>[48]</sup>, and also the neuronal nuclei <sup>[49]</sup>. The name synuclein combines its location in synaptic vesicles ("syn") and nuclear envelope ("nuclein"). Up to now, there is a limited understanding about the function and physiological role of  $\alpha$ -syn in each subcellular compartment.  $\alpha$ -syn is linked to presynaptic terminals, sustains normal SNARE-complex assembly, controls the dopamine release <sup>[50][51]</sup>, and promotes membrane curvature during synaptic vesicle budding and trafficking <sup>[42]</sup>. Increased levels of toxic  $\alpha$ -syn cause increased mitochondrial fragmentation and decreased protein import <sup>[52][53]</sup>. When overexpressing wild-type or mutant  $\alpha$ -syn, ER stress increases and calcium homeostasis are impaired <sup>[54]</sup>.  $\alpha$ -syn reduces ionic transport and decreases the membrane traffic of GA <sup>[55]</sup> and deficiencies in axonal transport were linked to GA fragmentation <sup>[56]</sup>. Although the synaptic function of  $\alpha$ -syn is well recognized <sup>[49]</sup>, its role within the nucleus is less understood.

#### 2.2. $\alpha$ -Syn Misfolding and Aggregation

Previously, PD was considered as an aging disease with an unknown specific cause or hereditary component. However, this idea was disproved in the late 1990s when *SNCA* gene variants were linked to familial, early onset forms of PD <sup>[12]</sup>. Further study provided that early onset PD are caused by duplication, triplication, and autosomal dominant missense mutations in the *SNCA* gene <sup>[57][58]</sup>. Now, it has been agreed that  $\alpha$ -syn misfolding and subsequent aggregation contribute significantly to DA neuron degeneration in PD. This is complicated by a fast-aging global population, which coincides with an increase in the number of sporadic occurrences of PD <sup>[59][60]</sup>.

Although it has long been assumed that  $\alpha$ -syn has a natively unfolded tertiary structure <sup>[61]</sup>, monomer  $\alpha$ -syn appears to be the predominant species in the brain <sup>[62]</sup>. Alternatively,  $\alpha$ -syn may exist as an  $\alpha$ -helically folded tetramer <sup>[63]</sup>. Both the monomer and tetramer species are resistant to fibrillization and are present in equilibrium within healthy neurons <sup>[61][63]</sup>. Misfolded  $\alpha$ -syn monomers could form oligomers and fibrils, which then aggregate as LBs <sup>[64]</sup> (**Figure 1**B,C). Particularly, the misfolding and aggregation of  $\alpha$ -syn into fibrils depend on 71–82 a.a. in the central hydrophobic region <sup>[65]</sup>. This region can aggregate on its own and deletion of 71–82 a.a. or 66–74 a.a. prevents protein aggregation <sup>[65][66]</sup>, indicating that these residues are crucial for protein misfolding and may even be the cause of amyloidosis. This feature was only observed in  $\alpha$ -syn and as the concentration of  $\alpha$ -syn increases, the propensity to aggregate increases.

Gene mutations in *SNCA* are also thought to promote  $\alpha$ -syn aggregation <sup>[67]</sup>. The first specific mutation is the A53T substitution, which was an autosomal-dominant single base pair change <sup>[12]</sup>. Since then, more and more familial PD-causing autosomal dominant *SNCA* gene mutations have been identified, including A30P <sup>[68]</sup>, E46K <sup>[69]</sup>, H50Q <sup>[70]</sup>, G51D

<sup>[71]</sup>, A53E <sup>[72]</sup>, A53V <sup>[73]</sup>, A56P <sup>[74]</sup>, Y133F <sup>[75]</sup>, and Y136F <sup>[75]</sup> (**Figure 1**A,B). While the A30P, G51D, and A53E mutations appear to slow down the rate of fibril formation, the E46K, H50Q, and A53T mutations lead to an increased rate of fibril formation. Importantly, studies on these mutants provide compelling evidence that  $\alpha$ -syn oligomers and pre-fibrils are more toxic than mature aggregated fibrils and the aggregation of  $\alpha$ -syn occurs in early onset PD.

The solubility and aggregation property of  $\alpha$ -syn was also affected by post-translational modifications (PTMs), such as phosphorylation, ubiquitination, nitration, truncation, and O-GlcNAcylation [76][77]. Among these PTMs, phosphorylation at residue S129 ( $\alpha$ -syn<sup>pS129</sup>) and its potential connection to  $\alpha$ -syn-induced neurodegeneration have drawn intensive attention [41][78]. LBs in PD patient brains exists in dramatically higher amounts of  $\alpha$ -syn<sup>pS129</sup> than for normal conditions  $\frac{[79]}{2}$ . In a Drosophila PD model,  $\alpha$ -syn<sup>pS129</sup> was observed when expressing wild-type or mutant  $\alpha$ -syn and the phosphorylation preferences are A53T > A30P > wild-type  $\alpha$ -syn [80]. Further studies showed that the phosphorylationresistant S129A mutant reduces the toxicity caused by  $\alpha$ -syn, while the S129D mutant increases it <sup>[81]</sup>. Interestingly, other researchers also found that  $\alpha$ -syn<sup>pS129</sup> showed a reduced aggregation propensity and cytotoxicity in yeast and in vitro [82]  $\frac{[83]}{2}$ . This may be explained by findings that long-range interactions could stabilize the conformation of monomeric  $\alpha$ -syn and act as an inhibitor of oligomerization and aggregation  $\frac{[84]}{2}$ , while  $\alpha$ -syn<sup>pS129</sup> could disrupt this interaction  $\frac{[85]}{2}$ . According to a recent report,  $\alpha$ -syn at Tyr125 also can be phosphorylated ( $\alpha$ -syn<sup>pTyr125</sup>) and this phosphorylation occurs at a young age but declines during the aging process. Preventing Tyr125 phosphorylation might cause  $\alpha$ -syn to be more toxic [86]. These authors demonstrate that phosphorylation at Ser129 increases, while phosphorylation at Tyr125 decreases, the soluble oligomer of  $\alpha$ -syn <sup>[86]</sup>. Thus, in most cases, Ser129 phosphorylation positively regulates  $\alpha$ -syn toxicity by accelerating oligomer formation; conversely, Tyr125 phosphorylation negatively regulates a-syn toxicity by inhibiting oligomer formation.

## 2.3. $\alpha$ -Syn Degradation

The pathogenesis of PD and associated synucleinopathies depend heavily on the levels and conformation of  $\alpha$ -syn.  $\alpha$ -syn has been found to be regulated by homeostatic mechanisms via protein secretion and degradation at various points in both intracellular and transcellular ways <sup>[87]</sup>. Therefore, understanding the removal of various forms of  $\alpha$ -syn is essential for PD pathogenesis and potential treatments.

Intracellular proteins are primarily degraded by proteasomal and lysosomal pathways. Proteasome degrades intracellular proteins through the ubiquitin-proteasome system (UPS), which involves the chain-like conjugation of at least four ubiquitin molecules on lysine residues of substrate proteins <sup>[88]</sup>. Lysosome degrades intracellular proteins via autophagy-lysosome pathways (ALP), including macro-autophagy (also known as autophagy), chaperone-mediated autophagy (CMA), and micro-autophagy <sup>[89]</sup>. Despite intensive studies, the exact mechanism for  $\alpha$ -syn degradation remains controversial and varies depending on the system used. Both proteasome and lysosome were shown to be able to degrade recombinant  $\alpha$ -syn in in vitro purified systems <sup>[90]</sup>. Accordingly, the monomeric, dimeric, pre-fibrillar, and mutant  $\alpha$ -syn are preferentially degraded through UPS; whereas CMA degrades monomeric or dimeric  $\alpha$ -syn, and the only way to degrade oligomeric and aggregated  $\alpha$ -syn is autophagy. Under normal conditions, UPS is the main degradation pathway, but under stress or pathological conditions, autophagy and CMA pathways are recruited to clear the toxic  $\alpha$ -syn burden (**Figure 2**).



**Figure 2.** Cell-to-cell transmission of  $\alpha$ -syn. Illustration of  $\alpha$ -syn cell-to-cell transmission.  $\alpha$ -syn could transfer between neurons and glia.  $\alpha$ -syn is released via (1) passive diffusion (only monomer), (2) exocytosis, (3) exosomes, or (4) exophagy (grey color numbers).  $\alpha$ -syn is taken up via (1) passive diffusion (only monomer), (5) endocytosis, (6) clathrin-mediated endocytosis (CME), (7) receptor-mediated internalization, (8) micropinocytosis, (9) phagocytosis, or (9) lipid raft (black color numbers). The receptors involved in  $\alpha$ -syn internalization include lymphocyte-activation gene 3 (LAG3),  $\alpha$ 3-subunit of Na+/K+-ATPase ( $\alpha$ 3-NKA), and the gap junction protein connexin-32 (Cx32) in neuron; Toll-like receptors 2 and 4 (TLR2 and TLR4), the scavenger receptor CD36, integrin CD11b, and the Fcy receptors (FcyR) in microglia; and Cx32 in oligodendrocyte. In addition,  $\alpha$ -syn can directly cell-to-cell transfer by (1) tunneling nanotubes (TNTs) (white color numbers). Internalized  $\alpha$ -syn are degraded via the ubiquitin-proteasome system (UPS), autophagy, and chaperone-mediated autophagy (CMA) pathways.

#### 2.3.1. $\alpha$ -Syn Degradation through UPS

The increased accumulation of non-ubiquitinated and ubiquitinated proteins in the LBs of PD patients, as well as the reduced expression of 20S and 26S UPS subunits, suggested that proteasome was involved in the toxic manifestations of PD <sup>[88][91]</sup>. Both in vitro and in vivo studies show that  $\alpha$ -syn is degraded by proteasomes, with not only monomeric, but also possibly pre-fibrillar  $\alpha$ -syn species <sup>[92][93]</sup>. Due to differential effects on cellular  $\alpha$ -syn half-life, a number of Ubi-ligases catalyze the addition of mono- or poly-Ubi chains to  $\alpha$ -syn, with cytoprotective or toxic effects depending on the specific experimental setup <sup>[92][94][95]</sup>.

The studies about the degradation of phosphorylated  $\alpha$ -syn are contradictory. The research in cultured cells and rat primary cortical cultures revealed that the proteasome system degrades  $\alpha$ -syn<sup>pS129</sup> in a Ubi-independent pathway <sup>[96][97]</sup> [98]. However, the lysosome inhibitor could lead to accumulated  $\alpha$ -syn<sup>pS129</sup> by blocking the ALP <sup>[99][100]</sup>. Using a synthesis inhibitor (cycloheximide), they found that the half-life time of  $\alpha$ -syn<sup>pS129</sup> is significantly shorter than the non-phosphorylated form, implying that the phosphorylated form is selectively targeted for degradation, and inhibition of

proteasome significantly prolonged the half-life time of  $\alpha$ -syn<sup>pS129</sup> [98]. These findings suggest that monomeric  $\alpha$ -syn<sup>pS129</sup> may be degraded primarily by the proteasome and that lysosome plays a compensatory role.

Notably, multiple lines of evidence point to a possibility that elevated  $\alpha$ -syn inhibits proteasomal activity, which could then cause an increase in  $\alpha$ -syn levels, thus creating a pathogenic feedback loop that favors  $\alpha$ -syn aggregation  $\frac{101}{102}$ . Understood together, only specific types of  $\alpha$ -syn, consisting of tiny, soluble oligomers, are degraded by the UPS pathway.

#### 2.3.2. α-Syn Degradation through ALP

The role of proteasome in α-syn degradation are in debate. Investigations in numerous cellular systems found no significant accumulation of endogenous or overexpressed  $\alpha$ -syn with proteasomal inhibition, while the inhibition or genetic depletion of proteasome in a mice model show increased and accumulated  $\alpha$ -syn [103][104]. One possible explanation of the dispute is the assembly state and pools of  $\alpha$ -syn exploited, since large oligometric forms can be removed exclusively by lysosomes but not UPS [48]. According to those reports, a large fraction of α-syn were degraded via the lysosomal pathways  $\frac{105}{106}$  (Figure 2). The major evidence for autophagy in  $\alpha$ -syn degradation comes from studies that  $\alpha$ -syn buildup was detected after exposing cell lines to 3-methyladenine, an autophagosome formation inhibitor, when overexpressing either wild-type or mutant  $\alpha$ -syn [90][107]. However, it is currently controversial whether aggregated, insoluble  $\alpha$ -syn assemblies, such as those found in LBs, can be broken down by autophagy [108]. For instance, in an exogenous  $\alpha$ -syn pre-formed fibrils (PFF) triggered endogenous an  $\alpha$ -syn aggregation cell model, the  $\alpha$ -syn inclusion resisted lysosomal breakdown. However, Gao and colleagues recently showed that distinct autophagy inducers resulted in the increased destruction of ingested exogenous  $\alpha$ -syn PFF in neuronal cell lines, indicating that lysosomes may be able to remove seeded fibrillar  $\alpha$ -syn [109]. Besides, it has been demonstrated that increasing autophagy flux upon  $\alpha$ -syn overexpression has negative effects [107][110], including enhanced secretion of  $\alpha$ -syn assemblies into the extracellular space, which may aid in the spreading of pathogenic  $\alpha$ -syn, and an increase in mitochondrial degradation (mitophagy) in both cellular and animal PD models [111].

The above contradictions suggested that an alternative lysosomal mechanism might exist in  $\alpha$ -syn degradation, as nonspecific lysosomal inhibitors had more dramatic effects than selective autophagy inhibition in some cases. This particular problem had actually been answered since the late 1980s, almost entirely through the work of Fred Dice's lab, which confirmed the existence of CMA by a series of *C. elegans* experiments [112]. The proteins intended for CMA typically contain the targeting motif KFERQ and are selectively translocated into the autophagic pathway rather than being sequestered through bulk engulfment of cytoplasmic contents [113]. They are identified by the cytosolic chaperone heatshock cognate 70 (Hsc70) and then transported directly into lysosomes by interaction with the lysosomes-associated membrane protein 2A (LAMP-2A) [114][115]. The involvement of CMA in  $\alpha$ -syn degradation is supported by a number lines of evidence. The C-terminal KFERQ-like motif in α-syn could bind to LAMP-2A for CMA degradation, while for A30P and A53T mutant α-syn, although binding to LAMP-2A was not affected, they were not able to be internalized or degraded, acting as inhibitors of CMA degradation of other substrates [106][116][117]. This significant discovery has sparked curiosity in the relationship between CMA and PD pathogenesis. Lower levels of LAMP-2A were found in early-stage PD patient brains compared to healthy controls, along with an accumulation of  $\alpha$ -syn and other CMA substrates such as myocytespecific enhancer factor 2D (MEF2D) [106][118]. Consistently, downregulating LAMP-2A expression in rats results in ubiquitin-positive  $\alpha$ -syn inclusions accumulated in SN, followed by DA neuronal death [119]. Some forms of PTMs, such as oxidation, nitration, and modification by oxidized dopamine, could hinder α-syn degradation via CMA and cause its accumulation [120]. Dopamine-modified  $\alpha$ -syn (seen in sporadic PD patients) also obstructs the processing of other CMA substrates, very similar to A30P and A53T mutant  $\alpha$ -syn [116][120]. Interestingly, other studies show that the complete loss of LAMP-2A in the murine brain did not induce significant  $\alpha$ -syn accumulation, neither monomer nor high-molecular weight species [121]: this may result from the above-mentioned other protein degradation processes such as UPS.

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