Targeted Muscle Reinnervation

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Targeted Muscle Reinnervation (TMR) is considered to be an innovative and relevant surgical technique for improving the prosthetic control for people with different amputation levels of the limb. Indeed, TMR surgery makes it possible to obtain reinnervated areas that act as biological amplifiers of the motor control. On the technological side, a great deal of research has been conducted in order to evaluate various types of myoelectric prosthetic control strategies, whether direct control or pattern recognition-based control. In the literature, different control performance metrics, which have been evaluated on TMR subjects, have been introduced, but no accepted reference standard defines the better strategy for evaluating the prosthetic control. Indeed, the presence of several evaluation tests that are based on different metrics makes it difficult the definition of standard guidelines for comprehending the potentiality of the proposed control systems. Additionally, there is a lack of evidence about the comparison of different evaluation approaches or the presence of guidelines on the most suitable test to proceed for a TMR patients case study. This review aims at identifying these limitations by

examining the several studies in the literature on TMR subjects, with different amputation levels, and proposing a standard method for evaluating the control performance metrics.

Targeted Muscle Reinnervation (TMR) upper limb amputee

prosthesis

prosthetic control

multi-DoF control

pattern recognition

1. Introduction

After an upper-extremity amputation, the employment of TMR allows for improving the functionality of myoelectric prostheses: the reinnervation of residual muscles creates additional myoelectric control sites available for obtaining the multi-DoF prosthetic control, without the need of switching between modalities available on the device ^[1]. In 1995, Kuiken examined muscle recovery and related changes in the motor unit population of "hyper-reinnervated" rats^[2]. Only in 2004, the first TMR surgery was performed on one human subject with bilateral shoulder disarticulation amputation 3 Figure 1.

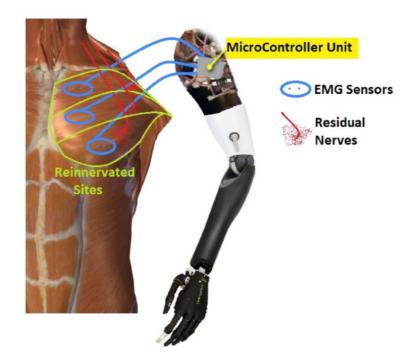


Figure 1. An example of a prosthesis control system after Targeted Muscle Reinnervation (TMR) surgery: the electromyographic (EMG) sensors collected from the reinnervated sites the EMG signals and communicated to the MicroController Unit (MCU) the user's intention to translate into arm and hand movements.

In 2006, Kuiken introduced the following requirements to make TMR surgery successful: (i) separate regions of muscles and skin must be reinnervated by multiple donor nerves; (ii) EMG signals must be acquired from each target area; and, (iii) the prosthesis must be able to receive numerous EMG input signals and control several motors ^[4]. TMR can be performed for three different levels of amputation: shoulder disarticulation, transhumeral, and transradial amputation. The innervation strategies depend on the type of amputation ⁵. For the shoulder disarticulated patients Figure 2B, pectoralis muscles are usually denervated and then reinnervated with residual arm peripheral nerves ^[4]. Afterward, back muscles (if possible) are also reinnervated to have more active sites. For the transhumeral amputees Figure 2A, the median nerve is transferred to the short head of the biceps motor branch to restore the function of hand closing or pronation; the ulnar nerve is transferred to a residual brachialis motor branch to have additional control sites for hand closing; finally, the radial nerve is reinnervated to the lateral head of the triceps motor branch in order to control hand opening or supination ^[6]. For transradial amputees, the control of multifunctional prosthetic hands can be reached by using additional Targeted Muscle Reinnervation signals for improving the function of intrinsic finger and thumb muscles: the distal median nerve is transferred to the flexor digitorum superficialis, while the ulnar nerve is reinnervated to the flexor carpi ulnaris 2. When the muscles usually chosen cannot be reinnervated, as in ^[8], three bundles of the anterior tight muscle are used to obtain three active sites for the prosthetic control. The TMR is also an emerging technique for the treatment and reduction of the phantom limb pain (PLP) and neuroma pain ^[9], for the osseointegrated prostheses ^[10], and for the targeted sensory Reinnervation ^[11] of bidirectional neuroprosthetic devices. Finally, another important outcome is the use of TMR in the oncologic population, due to the potential to reduce pain without the use of opioids [12].

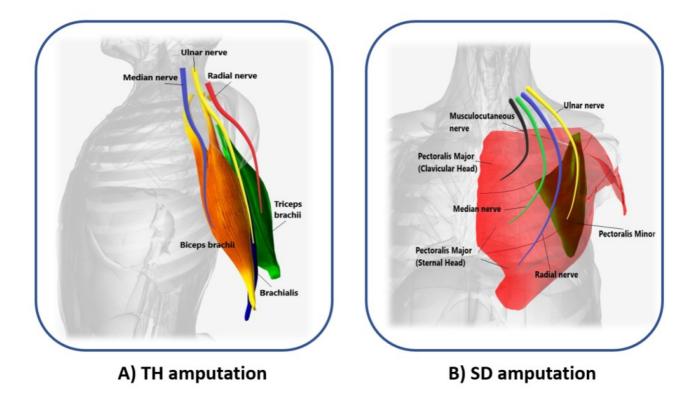


Figure 2. Scheme of the reinnervated sites for different levels of amputation. (**A**) Median (blue), ulnar (yellow), and radial (red) nerves transfer on biceps brachii (orange), brachialis (violet), and triceps brachii (green) muscles of transhumeral (TH) amputees; (**B**) Musculocutaneous (black), median (light green), radial (blue), and ulnar (yellow) nerves transfer on pectoralis major (clavicular and sternal head, in red), and pectoralis minor muscles (dark green) of shoulder disarticulation (SD) amputees.

2. Control Strategies

2.1. Direct Control

The control strategies where EMG signals are directly associated with a specific movement are named direct control strategies. Among them, the most used are on/off and proportional techniques. Multiples control techniques can be combined with the joint selection method to control multi-DoF prostheses. Figure 3 shows the DC approach.

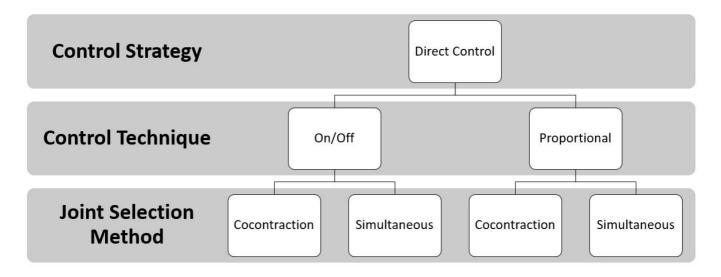


Figure 3. Direct Control approach: the EMG signals are the input to the controller unit. Two control techniques (the on/off and the proportional) defined the speed necessary to move the joint when the EMG signal is above a predefined threshold. The joint selection methods allow for the user to switch joints with muscle co-contraction or to select them simultaneously.

2.2. Control via Pattern Recognition

Generally, the pattern recognition strategies applied to the prosthetic control associated the several inputs based on sEMG signals of different movements to several outputs, as limb motions related to specific myoelectric patterns ^[13].

These PR algorithms consist of a first step that is based on feature extraction, in the time and frequency domain ^[14], to enhance information about EMG contraction in selected time windows. Subsequently, in the sequential control technique, a single classifier is trained that is based on linear or non-linear decision boundaries; instead, in the simultaneous control technique, multiple classifiers are trained to control multiple joints simultaneously or a single classifier is trained by considering discrete and combined movements as separate classes, as shown in Figure 4.

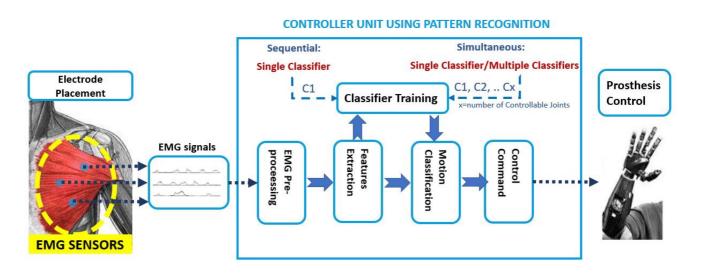


Figure 4. Pattern Recognition approach: the EMG signals are the input to the controller unit. Firstly the preprocessing step is done; then, in the features extraction step, the time and frequency domain features are used as input to train a single classifier or multiple classifiers. The classification output is the motion class to send as the command control to the prosthesis.

3. Conclusions

The most commonly used prosthetic control techniques are: in the context of direct Control, the proportional strategy and the method of simultaneous joint selection with co-contraction; in the context of PR methods, the LDA algorithm with various feature selection sets.

The most common performance evaluation methods, both for DC and PR, are BBT and CRT. In the case of prostheses controlled with PR, there is always the offline analysis of accuracy.

The most commonly used myoelectric prostheses are composed of 3 DoFs, for elbow F/E, wrist P/S, and hand O/C.

This entry further highlighted the presence of a variety of tests that were used for the functional performance evaluation, but there is a lack of standard criteria allowing to define which tests are the most suitable for the evaluation of prosthetic control for TMR patients with a different amputation level. In order to fill this gap, both the Box and Blocks and the Clothespin Relocation seem to be the most promising tests for evaluating the performance of the prosthetic systems.

In addition, we believe that virtual reality can be used to further explore the potentiality of the proposed control approaches, before considering them on a physical prosthesis. In fact, we proposed extending the use of virtual reality performance indexes, defined for PR, like motion selection time, motion completion time, and motion completion ("success") rate also to the DC control in this way: the motion selection time and motion completion time can be modified by considering the time that is required from EMG onset to remain above the threshold, while the success rate does not need to be modified. In this way, a comparative analysis between DC and PR systems can also be done when using a virtual reality system.

It has to be noted that only few articles presented results regarding the simultaneous PR control strategy showing improvements in throughputs and path efficiencies when compared to direct control or sequential PR ^{[15][16][17][18]} ^[19]. Thus, the possibility of simultaneously controlling the prosthesis still can be improved with simultaneous PR-based controllers.

In conclusion, despite the great progresses in the field of advanced prosthetic control, this paper highlights the necessity to still identify the best PR/DC-based system allowing for robust control when considering more than 2 DoFs and of defining standard evaluation methods of the real-time control strategy performance.

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