

# Diatom-Derived Silica for Biomedical Applications

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Diatoms are unicellular eukaryotic microalgae widely distributed in aquatic environments, possessing a porous silica cell wall known as frustule. Diatom frustules are considered as a sustainable source for several industrial applications because of their high biocompatibility and the easiness of surface functionalisation, which make frustules suitable for regenerative medicine and as drug carriers. Frustules are made of hydrated silica, and can be extracted and purified both from living and fossil diatoms using acid treatments or high temperatures. Biosilica frustules have proved to be suitable for biomedical applications, but, unfortunately, they are not officially recognised as safe by governmental food and medical agencies yet.

Keywords: biosilica ; diatom frustule ; sustainable production ; drug delivery

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## 1. Introduction

Diatoms are an extremely diverse group of algae, comprising more than 100,000 different species <sup>[1]</sup>. They are able to colonise a large plethora of aquatic environments, and play a significant role on a global scale in the biogeochemical cycles of carbon and silicon in the water column. Two diatom species, *Thalassiosira pseudonana* and *Phaeodactylum tricornutum*, have been employed as model species for studies of gene expression and regulation, since they were the first species for which the whole genome was fully sequenced <sup>[2][3]</sup>. Subsequently, genomes have been sequenced from a number of diatoms possessing specific metabolic or physiological features, such as oleaginous (*Fistulifera solaris*), psicrophylic (*Fragilariopsis cylindrus*), araphid (*Synedra acus* subsp. *radians*), oceanic (*Thalassiosira oceanica*), biofilm-forming (*Seminais robusta*), and heterotrophic (*Nitzschia* sp.) species <sup>[4][5][6][7][8][9]</sup>. Apart from their ecological role, diatoms are also suitable for several biotechnological applications. They can be cultured in the laboratory under sterile conditions and controlled temperatures, light irradiance and nutrient concentrations in order to achieve faster growth rates and to promote the accumulation of specialty products. Diatoms have been employed during the last decades for the production of metabolites exhibiting different biological activities and used as sources for cosmetic ingredients <sup>[10]</sup>, food or feed supplements <sup>[11][12][13]</sup>, fertilizers <sup>[14]</sup>, and sorbents or accumulators for the bioremediation of aquatic environments <sup>[15][16]</sup>. Microalgae other than diatoms, especially freshwater green algae, also exhibit a great potential in one or more of the abovementioned fields of research.

The true distinctive feature that makes diatoms more suitable than other taxa for biotechnological purposes, is the high proportion of amorphous silica within their cell wall. This natural source of silicon has already shown several advantages, such as its high surface area and biocompatibility, and can be employed for various research fields, especially for biomedical applications after in vitro or in vivo treatments <sup>[17]</sup>. Diatom-derived silica is also available in huge amounts in aquatic benthic environments, as a consequence of the sedimentation of dead diatom cells.

Currently, diatom biosilica is considered as a suitable biomaterial for metal removal from aquatic environments, as a catalyst support, in optical devices, as a microsensor, and other kinds of applications <sup>[18][19]</sup>. Since its presence on the market as a device for aquatic remediation and as food-grade products is a pledge of its effectiveness in these fields, the present review is mainly focused on evaluating the potential of diatom biosilica for biomedical applications.

Diatom biosilica is actually exploited, indeed, for its potential as a drug carrier <sup>[20]</sup> and as a scaffold for bone tissue regeneration <sup>[21]</sup>. Biosilica-based processes can be considered as low-cost and environmentally friendly alternatives to processes based on artificial structures. While the production of synthetic materials requires the implementation of specific protocols, biosilica carries the advantage of triggering natural and sophisticated structure formation. For example, the employment of diatom-derived biosilica for the development of optical sensors may turn out to be, in the future, more attractive than using synthetic crystals, since it allows control and manipulation of light in a cost-effective way <sup>[22]</sup>. Biotemplated-based silica can be synthesized by rapid environmentally sustainable methods (solvent-free procedures), thus avoiding the use of hazardous chemicals, and allowing a good control of condensation rates <sup>[23]</sup>.

## 2. Diatom Biosilica Sources

Diatom-derived silica can be obtained either from living cultures or fossil diatoms (diatomite, e.g., chalky deposits of skeletal remains). The energy required for diatom growth is sustained by either led-based (i.e., low energy demanding) artificial light or sunlight. Furthermore, the nutrients required for algal growth, such as nitrates, phosphates, silicates, vitamins, and some trace elements, can be purchased for a relatively cheap price or even obtained from wastewaters. To avoid both the costs of artificial illumination and the seasonal variability of sunlight, cells can also be grown heterotrophically [24][25][26][27], although organic substrates are to be supplied in this case. However, only a small number of species are able to grow in the dark [28][29], and organic compounds can promote bacterial growth leading to culture contaminations and to a decrease in cell growth. Biosilica is obtained after cell dewatering (i.e., centrifugation or filtration of the whole culture), followed by a purification process that is usually based on treatments with strong acids and/or high temperatures (see below). Besides, the limited motility of diatoms (due to the lack of flagella) and the “heavy” cell wall (due to the presence of a high silicon amount) enhance the spontaneous sinking of cells, limiting the volume to harvest and, thus, costs of biomass collection.

Diatoms generally exhibit fast growth rates and high lipid and biomass productivities, [30] which can be further enhanced by tuning growth conditions [31][32], making diatoms promising candidates for mass culturing. However, to the best of our knowledge, no diatom-based industrial plants (i.e., indoor or outdoor systems of algal culturing) are focusing on biosilica production as their main activity. Follow-up studies are thus required to lay the foundations for the industrial production of silica-based biomaterials.

The most abundant source of biosilica that does not foresee the induction of living cultures is diatomite, which can be easily crushed into a fine powder to become a marketable product, namely, diatomaceous earth (DE). Diatomite is made of frustules of dead diatom cells, usually found in benthic environments. The harvesting of fossil frustules, which are naturally present in benthic environments, is cost-effective and makes diatomite a promising starter for the industrial production of biosilica. However, the composition of DE is variable and the purity is often lower than that of living culture-derived frustules. The quality and abundance of these impurities vary upon environmental and aging conditions [18]. DE, generally made of ca. 80–90% of silicon and of clay minerals [33], is used as a raw material for different kinds of applications, such as agricultural fertiliser, sorbent for pollutants, and filler in plastics and paints to improve the strength of construction materials. In addition, DE is also employed to filter impurities and as an abrasive agent in cleaning and polishing products.

## 3. Frustule Cleaning/Purification: Main Techniques and Technical Issues

Frustules can be thus purified from both living culture-derived algal biomass and diatomite stocks. The impurities of diatom frustules mainly consist of organic matters adhered to their surface [34]. In the case of diatomite samples, impurities are present in larger amounts, and can vary in relation to the local environment and aging conditions of these natural stocks [18]. Diatomite impurities typically contain also clay and metallic oxides, such as aluminium and ferric oxides [35]. Before cleaning procedures, diatomite particles usually undergo a first step of pulverization, in which micrometric powder is grinded to nanoparticles by mechanical crushing and sonication. However, apart from a few exceptions, most studies report purification protocols based on raw material derived from living cultures rather than diatomite, which is currently the only diatomic silica-based marketable product.

Organic impurities can be removed from the silica frustule by either a chemical pre-treatment with acids or other oxidative agents, or by exposing the frustules to high temperatures. Some studies, aimed at assessing the efficacy of preliminary hydrochloric acid treatments for organic mass removal, showed that acid concentration greatly influenced both the removal rate of impurities and the state of preservation of the frustule shape, with strong acidic pre-treatments causing frustule erosion [36]. Potassium permanganate can be also used to pre-treat frustules for organic compound removal [37][38]. However, this procedure is essentially limited to remove impurities outside the frustule, and pre-treatments with acidic solutions are usually applied (even if they are not mandatory) when purification protocols do not foresee acid-based cleaning procedures, such as baking-based purifications [39]. Some preliminary oxidations with acid solutions do not exclude the employment of both acids and high temperatures. Treatment of diatom frustules with sodium permanganate and oxalic acid, for example, is followed by perchloric acid treatments at 100 °C [37].

Baking (i.e., strong heating of silica cell walls) of diatom frustules at 400–800 °C is the simplest and least expensive method to remove organic components. However, high-temperature treatments can alter diatom architecture and pore size [40]. Oxygen plasma etching, a procedure consisting of the removal of impurities using ionised gases, was found to be effective to preserve the frustule structure, with a negligible loss of material and without shape alterations [41][42].

The most commonly used procedure for the removal of organic matter and the purification of diatom biosilica is, however, an oxidative washing treatment. Some protocols require the use of 30% [34][43][44][45][46][47] or 15% [48] hydrogen peroxide solutions.

The most common washing solvents used in acid-based treatments of diatom frustules are sulphuric [49][50] and nitric [48][51] acids. Sulphuric acid treatment is rapid (10–30 min) and revealed successful even on small amounts of biosilica [35]. Despite the rapidity of this strong acid-based method, cleaning procedures are time-consuming, since several washes with distilled/deionised water are required for a complete acid removal. However, the effect of acid strength needs to be evaluated in each case, since silica nanostructures can be damaged by the action of acids. For example, frustules from poorly silicified diatom species can be dissolved in strong acid cleaning solutions [50].

To improve the efficiency of biosilica purification, Wang and co-workers [52] set up a vacuum cleaning method in which all the cleaning steps, which are cell extraction, acid treatment and washing, are carried out on polytetrafluoroethylene (PTFE) filter cloths, thus decreasing the processing time. This allows the recycling of the sulphuric acid used for cleaning, decreasing the amount of both the reagent needed for purification and the liquid wastes. The main drawback of the vacuum cleaning method is that it depends on the mechanical properties of the raw material, and cannot be applied on poorly silicified diatoms.

Some purification methods combine the use of both sulphuric acid and hydrogen peroxide in a strong oxidizing agent (2 M H<sub>2</sub>SO<sub>4</sub>, 10% H<sub>2</sub>O<sub>2</sub>) called Piranha solution [53][54]. The purification process is relatively fast, while post-treatment washes can be time-consuming. The removal of Piranha solution requires, indeed, an overnight treatment with HCl (5 M, 80 °C) and two further washes with distilled water to eliminate the HCl residuals [20]. The main treatments for frustule separations, the tested diatom silica sources, and the main bottlenecks of each cleaning technique are summarized in [Table 1](#).

**Table 1.** Pre-treatments and treatments for diatom frustule cleaning and their main advantages and drawbacks.

Treatment	Principle for Organic Matter Removal	Diatom Species	Diatom Silica Source	Advantages	Drawbacks	Reference(s)
Pre-treatments	HCl	<i>Nitzschia closterium</i> , <i>Thalassiosira</i> sp.	freeze-dried samples	high purity of frustules	possible frustule erosion depending on acid strength	[36]
	KMnO <sub>4</sub> + C <sub>2</sub> H <sub>2</sub> O <sub>4</sub>	<i>Fragilariopsis cylindrus</i> , <i>Fragilariopsis kerguelensis</i> , <i>Pseudonitzschia seriata</i> , <i>Thalassiosira nordenskiöldii</i> , <i>Thalassiosira aestivalis</i> , <i>Thalassiosira pseudonana</i> , <i>Thalassiosira weissflogii</i>	wet pellets washed with sodium lauryl sulfate	no frustule erosion	removal of the only external organic matter	[37][38]

Treatment	Principle for Organic Matter Removal	Diatom Species	Diatom Silica Source	Advantages	Drawbacks	Reference(s)
baking	high temperature	<i>Navicula</i> sp.	APS-functionalised diatoms on a mica surface	reduction in hazardous chemicals	possible alterations of pore size, possible post-treatments with acid solutions	[40]
low-temperature plasma ashing	ionised gas	<i>Navicula</i> , <i>Amphora</i> , <i>Cocconeis</i> , <i>Planothidium</i> spp.	desalted drops of cultures, freeze-dried samples	no frustule dissolution	unsuitable for saltwater species, expensive, post-treatments with hazardous chemicals	[41][42]
H <sub>2</sub> O <sub>2</sub>	oxidation	<i>DE</i> , <i>Nitzschia frustulum</i> , <i>Pinnularia</i> and <i>Coscinodiscus</i> spp., <i>Thalassiosira pseudonana</i> , <i>Cylindrotheca closterium</i>	desalted and freeze-dried cultures, diatom composites	less dangerous than strong acids	long incubation, high-temperature post-treatments needful to increase efficiency	[34][42][43][44] [45][46][47]

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70. Genetic engineering represents a viable alternative to in vitro immobilization systems, as it does not require protein purification and is carried out under physiological conditions [79]. Since silaffins and cingulins are involved in silica condensation becoming part of diatom frustules, the fusion of an exogenous protein to these frustule-associated proteins

71. can result in the strong binding of exogenous proteins to the silica cell wall. Farinola, G.M. Biosilica from Living Diatoms: Investigations on Biocompatibility of Bare and Chemically Modified *Thalassiosira weissflogii* Silica Shells. *Bioengineering* 2016, 3, 25.

72. Transferring of diatom genomes with recombinant genes is a useful tool to allow the fusion between enzymes and cell wall proteins. This technology is mentioned in a recent study as living diatom silica immobilization (LDSi), and has been

73. mostly performed on the model species *T. pseudonana*. [79][80] Since silaffins and cingulins are involved in silica condensation becoming part of diatom frustules, the fusion of an exogenous protein to these frustule-associated proteins

74. can result in the strong binding of exogenous proteins to the silica cell wall. Farinola, G.M. Biosilica from Living Diatoms: Investigations on Biocompatibility of Bare and Chemically Modified *Thalassiosira weissflogii* Silica Shells. *Bioengineering* 2016, 3, 25. This study paved the way for the genetic manipulation of diatom species to enhance protein immobilization on frustules for biomedical purposes.

75. The genome of *T. pseudonana* has been recently modified with the insertion of exogenous genes encoding the fusion of two enzymes, glucose oxidase and horseradish peroxidase, with cell wall proteins, enabling a regioselective

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#### 4.4. Availability of Biosilica Feedstocks

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