# Vitamin K in Chronic Obstructive Pulmonary Disease

#### Subjects: Respiratory System

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Although defined by the presence of airflow obstruction and respiratory symptoms, patients with chronic obstructive pulmonary disease (COPD) are characterized by multimorbidity. Numerous co-occurring conditions and systemic manifestations contribute to the clinical presentation and progression of COPD. Vitamin A and vitamin D have been related to COPD pathogenesis. Another fat-soluble vitamin, vitamin K, has been put forward to exert protective roles in COPD. Vitamin K is an unequivocal cofactor for the carboxylation of coagulation factors, but also for extrahepatic proteins including the soft tissue calcification inhibitor matrix Gla-protein and the bone protein osteocalcin.

chronic obstructive pulmonary disease emphysema vitamin K

#### 1. Introduction

Chronic obstructive pulmonary disease (COPD) is characterized by chronic respiratory symptoms and persistent airflow limitation <sup>[1]</sup>. Structural abnormalities in the lungs are part of the disease, including parenchymal destruction and small airways disease, and one of both may predominate relative to the other <sup>[1]</sup>. In addition, patients with COPD frequently have multiple co-occurring chronic conditions (i.e., multimorbidity) <sup>[2]</sup>. Many of these are more common and show more overlap in COPD patients compared with controls <sup>[3]</sup>. Recognition and treatment of these disorders are relevant since they have a negative impact on the quality of life, morbidity, hospitalizations, and mortality in COPD patients <sup>[4]</sup>.

Smoking is the most important risk factor for the development of COPD and is causally related to multiple chronic morbidities including cardiovascular disease <sup>[1][4]</sup>. Smoking is, however, not solely accountable for the high prevalence of other chronic diseases in COPD patients. Several additional underlying mechanisms have been suggested, including systemic inflammation, oxidative stress, and genetic susceptibility <sup>[4][5][6][7]</sup>. Recently, the possible mechanistic role of vitamins in COPD has gained increasing attention. Especially fat-soluble vitamins A <sup>[8]</sup> and vitamin D <sup>[9]</sup> have been reported to exert positive effects on COPD. Vitamin K is another fat-soluble vitamin and is proposed to have favorable effects on bone and cardiovascular health <sup>[10]</sup>.

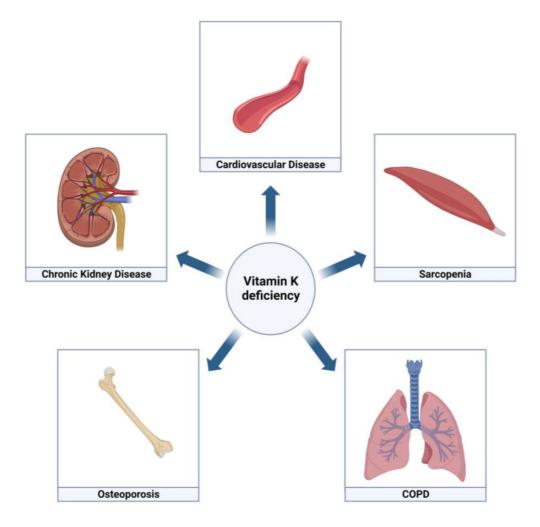
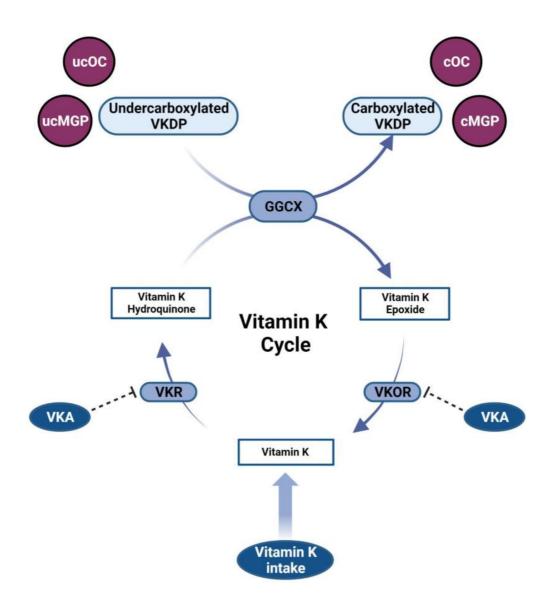


Figure 1. Vitamin K in multimorbidity of COPD.

## 2. Vitamin K

Vitamin K is well-known for its role as a cofactor in the carboxylation of coagulation factors II, VII, IX, and X as well as proteins C and S in the liver <sup>[10][11]</sup>. Furthermore, numerous extra-hepatic proteins are vitamin K-dependent <sup>[11]</sup>. Vitamin K is required for  $\gamma$ -carboxylation of glutamate residues of vitamin K-dependent proteins (VKDPs) thereby gaining biological activity, during a process that is catalyzed by the enzyme  $\gamma$ -glutamyl carboxylase (GGCX) <sup>[11]</sup>. As part of this conversion, vitamin K hydroquinone is oxidized into vitamin K epoxide <sup>[12]</sup>. The enzyme vitamin K epoxide reductase (VKOR) plays a critical role in the recycling of vitamin K by converting vitamin K epoxide back to reduced vitamin K in a process called the vitamin K cycle (**Figure 2**) <sup>[11]</sup>.

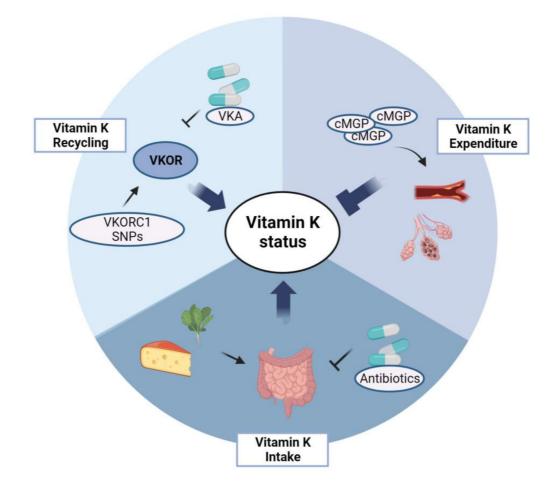


**Figure 2.** The vitamin K cycle. Vitamin K is reduced into the biologically active vitamin K hydroquinone. During the process of γ-carboxylation of vitamin K-dependent proteins (VKDPs), catalyzed by the enzyme γ-glutamyl carboxylase (GGCX), vitamin K hydroquinone is oxidized into vitamin K epoxide. Subsequently, in a two-step reduction catalyzed by vitamin K epoxide reductase (VKOR) and vitamin K reductase (VKR), vitamin K epoxide is converted back into vitamin K hydroquinone. Vitamin K antagonists (VKA) inhibit VKOR, thereby hampering the recycling of vitamin K. Carboxylated VKDPs including carboxylated osteocalcin (cOC) and carboxylated matrix Glaprotein (cMGP) exert biological activity. *ucMGP*: undercarboxylated matrix Gla-protein; *ucOC*: undercarboxylated osteocalcin.

Vitamin K1 (phylloquinone) and vitamin K2 (menaquinones) are the two naturally occurring forms of vitamin K <sup>[11]</sup>. Green leafy vegetables are an important source of vitamin K1, whereas vitamin K2 is produced by bacteria and mainly found in fermented food products including cheese and the Japanese traditional breakfast "natto" consisting of soybeans fermented by Bacillus subtilis <sup>[11][13]</sup>. Vitamin K1 is preferentially taken up in the liver, where it is used to activate coagulation factors <sup>[11]</sup>. Long-chain vitamin K2 is more lipophilic and, due to the long plasma half-life, more efficaciously penetrates extra-hepatic tissues for activation of matrix Gla-protein (MGP) in blood vessels and osteocalcin in bone <sup>[11][14]</sup>. In the Western diet, the majority of vitamin K intake occurs in the form of vitamin K1 <sup>[15]</sup>.

Vitamin K2, however, has a higher bioavailability than vitamin K1 and may therefore be at least as important for its bioactivity <sup>[15][16]</sup>.

Vitamin K status is influenced by different factors (**Figure 3**). Dietary intake is the most important of these; however, vitamin K is also synthesized by intestinal bacteria <sup>[10]</sup>. Poor intake, malabsorption, and reduction of intestinal bacteria by the use of antibiotics are factors that have a negative influence on vitamin K status <sup>[10]</sup>. In addition, vitamin K status is affected by the efficacy of vitamin K recycling. Various single nucleotide polymorphisms (SNPs) in the VKOR complex subunit 1 (VKORC1) exist, with some haplotypes resulting in lower vitamin K recycling than others <sup>[17]</sup>. The use of vitamin K antagonists (VKA) interferes with the recycling of vitamin K since these anticoagulants bind to and competitively inhibit the binding of vitamin K to VKORC1 <sup>[18]</sup>. Finally, vitamin K status can be reduced by increased utilization of vitamin K.



**Figure 3.** Determinants of vitamin K status. Vitamin K status is influenced by vitamin K intake, recycling, and expenditure. Vitamin K intake is determined by vitamin K consumption, intestinal absorption of vitamin K, and composition of gut microbiota, which can be influenced by use of antibiotics. Vitamin recycling is dependent on VKOR complex subunit 1 (VKORC1) haplotype and inhibition of vitamin K epoxide reductase (VKOR) by use of vitamin K antagonists (VKA). Increased vitamin K expenditure occurs in subjects with extensive vascular calcifications and, potentially, in subjects with pulmonary emphysema. In order to inhibit these pathological processes, the demand for vitamin K to activate VKDPs is increased, thereby exhausting the vitamin K storage. *cMGP*: carboxylated matrix Gla-protein; *SNPs*: single nucleotide polymorphisms.

The daily recommended vitamin K intake varies per country and is usually set between 50 µg and 120 µg per day <sup>[10][19]</sup>. There are currently no cut-off values defining vitamin K deficiency. Although it is technically possible to measure vitamin K directly in circulation, several factors limit the use of serum concentrations as a biomarker for vitamin K status. Vitamin K1 levels in serum highly fluctuate and depend on vitamin K intake as well as triglyceride levels <sup>[19]</sup>. Vitamin K2 can usually not be detected in the blood when subjects are on an average Western diet unless vitamin K2-containing supplements are used <sup>[19]</sup>. Vitamin K deficiency in humans results in the secretion of biologically inactive, undercarboxylated forms of VKDPs into plasma [12]. Plasma levels of these proteins are commonly used for assessing *functional* vitamin K status, with high levels of undercarboxylated VKDPs reflecting low vitamin K status and vice versa. Undercarboxylated coagulation factor II is referred to as protein induced by vitamin K antagonism or absence II (PIVKA-II) and reflects hepatic vitamin K status. The inactive form of the calcification inhibitor MGP, i.e., dephosphorylated undercarboxylated (dp-uc) MGP, is widely used as a biomarker for extra-hepatic vitamin K status  $\begin{bmatrix} 12 \\ 2 \end{bmatrix}$ . Undercarboxylated osteocalcin can be used for the same purpose  $\begin{bmatrix} 19 \\ 2 \end{bmatrix}$ . On average, 20% to 30% of MGP and osteocalcin circulate in the undercarboxylated form in subjects not supplemented with vitamin K<sup>[16]</sup>. This indicates that a certain degree of subclinical vitamin K deficiency is highly prevalent. The triage theory postulates that in a state of shortage, vitamins are primarily directed to the most vital pathways in the body <sup>[20]</sup>. This implies that when supplies are low, vitamin K is preferentially used for the activation of coagulation factors in the liver at the expense of the activation of extra-hepatic VKDPs <sup>[20]</sup>. Since only carboxylated VKDPs harbor full activity, suboptimal vitamin K status potentially contributes to pathologies in different organ systems.

### 3. Vitamin K in COPD

Studies on the role of vitamin K in COPD patients are limited. A cross-sectional study using data from 17,681 subjects from the National Health and Nutrition Examination Survey (NHANES) demonstrated an inverse association between the presence of emphysema and vitamin K consumption <sup>[21]</sup>. The likelihood of having emphysema was 39% lower in subjects who met the recommended daily intake for vitamin K compared to subjects who did not, and significance was maintained after adjustment for confounders such as smoking, age, and body mass index (BMI) <sup>[21]</sup>.

Another study demonstrated that dp-ucMGP levels (inversely corresponding with vitamin K status) were significantly higher in COPD patients compared to both smoking and never-smoking controls <sup>[22]</sup>. Dp-ucMGP was inversely associated with the diffusing capacity of the lung for carbon monoxide (DLCO) <sup>[22]</sup>, suggesting an association between vitamin K status and emphysema. However, this correlation was not confirmed in a second independent cohort of COPD patients <sup>[22]</sup>. Additional research, including studies on the correlation between vitamin K status and emphysema quantification on computed tomography (CT), is necessary to further explore this association. Mortality was higher in COPD patients in the quartile with the highest dp-ucMGP levels compared to patients in the other three quartiles <sup>[22]</sup>. In line, preliminary retrospective data demonstrated that mortality was higher in COPD patients using VKA compared to those using direct oral anticoagulants (DOAC) <sup>[23]</sup>. It is tempting to speculate that VKA-induced vitamin K deficiency and, consequentially, insufficient extra-hepatic VKDP

carboxylation might be the underlying mechanism contributing to higher mortality. It should be kept in mind that although analyses were adjusted for possible confounders such as age and comorbidities—confounding by indication might be a source of bias in retrospective studies.

Although aforementioned studies suggest that vitamin K has favorable effects in COPD, specifically in emphysema, a causative relationship cannot be unequivocally proven based on the present limited data. Studies on the effect of vitamin K supplementation in COPD patients are recommended to further explore the beneficial effects of vitamin K on the progression of emphysema and other outcomes in COPD.

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