Ischemic Stroke and Excitotoxicity

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Stroke is a relevant cause of death, disability and dementia worldwide. In ischemic stroke, excitotoxicity is the main mechanism of neuronal death in the penumbra area, a potentially recoverable tissue surrounding the irreversibly damaged infarct core. In excitatory neurons, scaffold protein PSD-95 plays a central role in neuronal function but also survival/death choices. Thus, this protein is a promising target for development of neuroprotective strategies for stroke and other pathologies similarly associated to excitotoxicity.

AVLV-144 calpain cell-penetrating peptides excitotoxicity ischemia

1. Introduction

Stroke is the second leading cause of death in the world. In 2019, there were 12.2 million incident cases, 101 million prevalent cases and 6.55 million deaths from stroke (11.6% of total deaths) ^[1]. It is also the leading cause of acquired neurological disability and the second cause of dementia. Thus, stroke represents a significant health issue that, according to demographic changes, is expected to worsen in the future.

Significant progress has been made in stroke management in recent years. Pharmacological therapy for the ischemic type (\approx 85% of total stroke cases) is still limited to thrombolytic drugs (e.g., tissue plasminogen activator or tPA), which can be only administered in a very short therapeutic window and are contraindicated for hemorrhagic stroke and other frequent medical conditions ^{[2][3]}. Unfortunately, the diagnostic tests able to discriminate the precise stroke type are mainly brain-imaging techniques, not immediately available to all patients. Thus, tPA is only administered to about 10% of patients. Similarly, endovascular thrombectomy, which mechanically restores blood flow, is restricted to some patients ^[4].

The evidence indicates the importance of developing efficient neuroprotective therapies for stroke treatment. Nevertheless, in the past, a large number of neuroprotective drugs have been tested preclinically and clinically, but none of them have succeed ^[5]. These failures have raised concern in the field of neuroprotection and shifted the interest to explore delayed mechanisms of stroke (e.g., plasticity, regeneration), or stroke-associated comorbidities, as possible therapeutic targets ^[6]. However, recent results obtained by the group led by Dr. Tymianski (University of Toronto, Canada) in a Phase 3 clinical trial strongly suggest that early neuroprotection is feasible in patients suffering from acute ischemic stroke (AIS) ^{[7][8][9]}.

2. Ischemic Stroke and Excitotoxicity

During ischemic stroke, the occlusion of a blood vessel leads to decreased perfusion of a brain area, followed by deprivation of nutrients and oxygen. Two brain areas can be distinguished in the affected brain, the infarct core and the penumbra. While the infarct core contains irreversibly damaged tissue, the penumbra represents an important target for neuroprotective strategies. This area, which only suffers a moderate blood flow reduction, is functionally impaired but remains metabolically active. Thus, the penumbra can be recovered if the blood flow is restored or the cells become more resistant. However, after the primary damage, the penumbra can also suffer processes of secondary neuronal death that cause a gradual expansion of the infarct core. A fundamental mechanism of this delayed form of neuronal death is excitotoxicity, induced by overactivation of the NMDARs.

Dual Roles of NMDARs in Neuronal Survival and Death

This family of glutamate receptors are ligand-gated ion channels highly permeable to Ca²⁺. In physiological situations, NMDARs are central to neuronal transmission, synaptic plasticity and memory, as well as being central to survival $\begin{bmatrix} 10 \end{bmatrix}$. They are heterotetramers formed by two obligatory GluN1 subunits and two GluN2 (GluN2A–D) or GluN3 (GluN3A-B) subunits, although the most frequently expressed NMDARs contain GluN1 in combination with either GluN2B or GluN2A subunits. The synaptic NMDARs form large and dynamic signaling complexes in the postsynaptic membrane, mostly by interactions established by their intracellular C-terminal domains with signaling, cytoskeleton and scaffold proteins such as PSD-95 ^[11], which functions as a postsynaptic density (PSD) organizer. Pro-survival signaling initiated by synaptic NMDAR activation and a moderate Ca²⁺ increase stimulates, among others, antioxidant defenses [12], and activates extracellular signal-regulated kinase [13] and phosphorylation of the cAMP response element-binding protein (CREB) [14]. The activation of CREB results in the expression of prosurvival genes such as those encoding brain-derived neurotrophic factor (BDNF) [15][16] or its receptor, tropomyosin-related kinase B (TrkB) [17]. In contrast, activation of NMDARs in pathological conditions-for example, after massive glutamate release in the ischemic brain-opposes these neuroprotective effects and is coupled to cell death pathways ^[18]. In stroke, deprivation of oxygen and glucose causes an important decrease in ATP levels, followed by membrane depolarization and the release of excitatory neurotransmitters that induce NMDAR overactivation, massive Ca²⁺-influx and excitotoxicity. Different mechanisms participate in this form of neuronal death, but a major contribution is made by calpain, a Ca²⁺-dependent protease central to different acute and chronic CNS disorders associated with excitotoxicity ^[19]. In neurons, calpain processes many different substrates in discreate specific sequences altering their stability, activity or localization ^{[20][21]}. Importantly, some of these calpain substrates are proteins critical to neuronal survival and function, such as the neurotrophin receptor TrkB ^[22], the GluN2 subunits of the NMDAR ^[23] or their interacting protein PSD-95 ^{[23][24]}.

The dual roles played by NMDARs in neurons have been related to their cellular location, whereas synaptic NMDARs mediate survival signaling pathways, and extrasynaptic receptors activate death pathways. However, another theory states that GluN2A-containing NMDARs are implicated in neuronal survival, while GluN2B-containing NMDARs induce neuronal death ^[25]. Actually, the "NMDAR location" and "NMDAR subtype" hypotheses are not mutually exclusive since, in the adult cortex, GluN2A and GluN2B subunits are preferentially localized at, respectively, synaptic and extrasynaptic sites. Nevertheless, recent studies have pointed out that the activation of death signaling pathways requires the synergistic activation of both synaptic and extrasynaptic NMDARs ^[25].

Although we still need a more in depth characterization, our current knowledge about the dual roles of NMDARs in physiopathology has helped to understand previous failure of NMDAR antagonists in stroke clinical trials. This knowledge has also provided solid ground for the development of novel neuroprotective strategies for stroke that are able to inhibit death signaling and downstream NMDAR overactivation, without interfering with survival or, alternatively, strategies aimed at preventing aberrant downregulation of neuronal survival cascades taking place in excitotoxicity. Since PSD-95 plays a central role in neuronal survival and death downstream NMDARs, it has become a very promising target for both types of therapeutic strategies. Such therapies might protect and recover the ischemic penumbra, contributing to reduced stroke damage, but the therapy could be also used to treat other acute and chronic diseases, such as neurodegenerative diseases, which are similarly associated with excitotoxicity [26].

3. PSD-95, a Protein Central to Neuronal Survival and Death

3.1. PSD-95 Structure and Function

PSD-95 is a member of the Discs large (DLG) family of scaffold proteins, which are a class of the membraneassociated guanylate kinases (MAGUKs), important in cell–cell adhesion and regulation of receptor functioning and clustering ^[27]. Thus, many of these proteins can interact with the NMDARs through specific protein–protein interaction domains, known as PSD-95/Disc large/Zonula occludens-1 (PDZ) domains. Regarding PSD-95 as a major component of the PSD, it shapes a framework of multiple proteins at excitatory synapses ^[28] (**Figure 1**) that organizes signal transduction and is central to glutamatergic synaptic signaling ^[27]. It is structurally organized in different protein domains (**Figure 1**) containing, from the N-terminus, three PDZ domains (PDZ1–PDZ3) followed by a Src homology 3 (SH3) domain and a guanylate kinase-like (GK) domain, all of them highly conserved (**Figure 2**). The PDZ domains interact directly with the C-termini of NMDAR-GluN2 subunits (known as PDZ-ligands or PDZ-binding domains), among others, or they interact indirectly with α -amino-3-hydroxy-5-methyl-4isoxazolepropionic acid receptors (AMPARs) through PDZ-ligands present in AMPAR auxiliary proteins such as stargazin/transmembrane AMPAR regulatory proteins (TARPs). These indirect PDZ interactions, by regulating the content of AMPARs at dendritic spines, are essential for basal synaptic transmission and establishment of longterm potentiation (LTP) ^[29].



Figure 1. Diagram of PSD-95 protein domains, their organization in supramodules and some examples of their interacting proteins. From the N-terminal (Nt) to the C-terminal (Ct), PSD-95 is constituted by three PDZ (PDZ1 to 3) domains, a Src homology 3 (SH3) domain and a guanylate kinase-like (GK) domain. The PDZ1-2 and P-S-G supramodules, respectively formed by the PDZ1 and PDZ2 tandem or the three other protein domains, are indicated by dotted outlined rectangles.

Recent work has suggested that PSD-95, as well as other related proteins, is functionally and structurally organized in two supramodules that result in a supertertiary structure ^[30]. The first PSD-95 supramodule contains the PDZ1 and PDZ2 domains, and is known as the PDZ1-2 supramodule (**Figure 1**). PDZ orientation is restrained in this supramodule but changes to a more flexible conformation, with enhanced binding affinity, upon interaction with PDZ-binding domains of interacting proteins ^[31]. A very important PDZ1-2 function is the assembly of a ternary complex with the NMDAR-GluN2B subunits and nNOS, established by interaction of the GluN2B PDZ-ligand with PSD-95 PDZ1 or PDZ2, and a noncanonical insertion of a nNOS β -hairpin motif in the binding pocket of PDZ2 ^[32]. This ternary complex is central to neuronal physiological functions, including plasticity, learning or memory. However, in an excitotoxic context, this complex promotes the coupling of NMDAR overstimulation and Ca²⁺ influx to nNOS activation and production of nitric oxide (NO), which can react with cell superoxide free radicals, forming oxidant peroxynitrite. This is a highly reactive molecule that produces oxidation of proteins and lipids and generates DNA damage, leading to the activation of apoptotic factors and apoptotic death ^[33]. In addition to mediating NMDAR-dependent excitotoxicity, NO also negatively regulates the fate of neural stem cells (NSCs) and inhibits regenerative repair after stroke in rat models ^[34].



Figure 2. Heatmap showing amino acid conservation inside the different protein domains and interdomain linker sequences of DLG proteins. The location of four calpain-cleavage sites established by Edman sequencing in PSD-95 is also shown (numbered arrowheads). Mice protein sequences of PSD-95, PSD-93, SAP-97 and SAP-102 were compared using Clustal Omega. The percentage of conservation was calculated including identical amino acids and conservative changes, and a color code was assigned to each protein segment. The different DLG domains present a high level of conservation (70–90%), while linkers between them are less conserved, except for the PDZ3–SH3 sequence (94%). Notably, PSD-95 is processed by calpain inside this highly conserved sequence (arrowhead), while other DLG members are not. Substitution of S417 for G417 (blue) inside this highly conserved sequence and cleavage of this particular DLG protein in excitotoxic conditions.

Domains PDZ3, SH3 and GK form the second PSD-95 supramodule, known as the P-S-G supramodule, where PDZ3 directly couples with the SH3–GK tandem in a PDZ-ligand binding-dependent manner ^[35]. Thus, PDZ3 interaction with cysteine-rich PDZ-binding protein (CRIPT) induces a conformational modification of the SH3–GK domain, which influences subsequent formation of PSD-95 homotypic and heterotypic complexes ^{[36][37]}, and binding of the heterotrimeric G protein subunit Gnb5 to PSD-95 GK domain at dendritic spines ^[38]. An additional function of the PDZ3 interaction with CRIPT, a protein that also binds microtubules, is PSD-95 linkage to neuronal cytoskeleton and regulation of dendritic arborization and spine number ^[39]. Altogether, these results reveal that the organization of the PSD-95 complexes is guided by hierarchical binding mechanisms.

3.2. Regulation of PSD-95 Location and Expression

Given the importance of PSD-95 in PSD formation, and neuronal function and survival, this protein location and expression are highly regulated in neurons. Different posttranslational mechanisms regulate PSD-95 location at the PSD ^[40]. This protein is associated with the PSD membrane in a perpendicular way through its N-terminus, the C-terminus being oriented to the dendritic spine. A major mechanism regulating this location is the palmytoilation/depalmytoilation of PSD-95 residues C3 and C5, proposed to facilitate the formation and stabilization in development of postsynaptic membrane microdomains that might be central to the organization and function of neuronal synapses ^[41]. Additional protein modifications such as phosphorylation, ubiquitination, nitrosylation, and neddylation also regulate PSD-95 synaptic stability, protein interactions and function ^{[40][42]}.

Concerning PSD-95 levels, this protein increases in normal cortex from early life, reaching maximum levels during adolescence or early adulthood ^[43], suggesting that this rise might be relevant to synaptic maturation. Moreover, disruption of PSD-95 expression has been associated with synaptic dysfunction in neurodevelopmental disorders such as schizophrenia ^{[44][45]} or autism ^[46]. Another example of the relevance of PSD-95 levels is the observation that combined knockdown of PSD-95, PSD-93 and SAP-102 reduces synaptic transmission regulated by AMPARs and NMDARs and alters PSD size and structure ^[47]. Additionally, an acute depletion of PSD-95 levels induces death of hippocampal neurons through activation of an alpha Ca²⁺/calmodulin-dependent protein kinase II (α CaMKII) transduction pathway ^[48]. Other studies have also shown that a genetic deletion of the GK domain unpredictably inhibits PSD-95 expression. In neurons, PSD-95 forms a ternary protein complex with both the D₁ dopamine receptor and NMDARs that limits their direct interaction and dampens reciprocal receptor potentiation. Thus, neurons lacking PSD-95 are more susceptible to NMDAR-mediated excitotoxicity, which causes neuronal damage and neurological impairment ^[49]. Furthermore, it has been recently demonstrated that PSD-95 protects synapses from ß-amyloid toxicity, suggesting that low levels of synaptic PSD-95 might be a molecular sign indicating synapse vulnerability to Aß in Alzheimer's disease ^[50].

3.3. PSD-95 Downregulation in Ischemia Models

A dramatic decrease in PSD-95 levels has been also unveiled as an important pathological mechanism contributing to neuronal death in models of in vitro excitotoxicity, transient ischemia induced in rat by middle cerebral artery occlusion (tMCAO) ^[23] or permanent ischemia induced by microvascular photothrombosis in mice ^[24]. PSD-95

downregulation is induced very early after permanent stroke, the complete protein being hardly detectable 2.5 h after injury, and this decrease correlates with the progressive formation of stable protein fragments. Similar PSD-95 fragments are produced in primary cultures of cortical neurons after NMDAR overactivation, while other DLG proteins such as SAP-97 or SAP-102 are not processed in these excitotoxic conditions ^[24]. The major mechanism responsible for PSD-95 downregulation in excitotoxic neurons is the activation of calpain as a consequence of Ca²⁺-influx due to NMDAR overactivation (Figure 3B). In fact, PSD-95 is a calpain substrate that is cleaved by this protease in four specific sequences, precisely established by Edman sequencing of protein fragments produced in vitro by digestion with purified enzyme (Figure 2, arrowheads). Interestingly, the four identified cleavage sites are inside interdomain linker sequences that, among the DLG proteins, are generally less conserved than the proteininteraction domains (Figure 2, heatmap). An exception is the PDZ3–SH3 linker, a very flexible sequence described as critical for P-S-G supertertiary structure [30], which presents a very high homology (94%). This sequence mediates a weak and dynamic PDZ3-SH3 association that, as mentioned before, can be reverted by binding of PDZ-ligands to PDZ3 or electrostatic repulsion due to protein phosphorylation. Notably, PSD-95 is processed by calpain between amino acids G417 and S418 inside this highly conserved sequence. Substitution of S417 for G417 in PSD-95 (Figure 2, blue) might have been a determinant for creating a calpain-specific recognition sequence for this particular DLG protein, absent in the other family members. Remarkably, the result of PSD-95 calpain processing induced by excitotoxicity and stroke is the separation of the PDZ1–2 and P-S-G supramodules, together with partial truncation of the latter to uncouple the PDZ3 and the SH3–GK tandem (Figure 3B)^[24]. The effects of PSD-95 cleavage on neuronal survival and functioning will be probably derived from rupture of this protein supertertiary structure and production of a set of stable fragments of yet undefined functions.



Figure 3. (A). Structure of PSD-95-targeted peptides for stroke treatment. The three peptides share a Tat sequence (aa 47–57, italic). In nerinetide, this sequence is bound to the GluN2B C-terminus (dark green), containing the PDZ ligand, while AVLX-144 is a dimeric peptide with slightly different PDZ ligands (light green) that are more selective towards PDZ1 and PDZ2 over PDZ3. In TP95₄₁₄, the Tat sequence is followed by a PSD-95 sequence (aa 414–427, purple) containing a calpain-cleavage site (arrowhead). (B). Model of PSD-95 regulation in excitotoxicity and action of PSD-95-targeted peptides. In excitotoxicity, without treatment, PSD-95 contributes to neuronal death by two different mechanisms: first, formation of a trimeric PSD-95–NMDAR–nNOS complex that produces nitric oxide (NO) upon massive calcium influx, and second, processing by calpain of PSD-95 that uncouples the two PSD-95 supramodules (dotted outlined rectangles) and partially cleaves P-S-G. Two different strategies have been developed to prevent these pathological mechanisms by means of brain-permeable neuroprotective peptides. Nerinetide and AVLX-144 are targeted to uncouple the trimeric complex and inhibit NO production while TP95₄₁₄ prevents PSD-95 cleavage.

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