Carotenoids from Fungi and Yeasts

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Carotenoids are an essential group of compounds that may be obtained by microbiological synthesis. They are instrumental in various areas of industry, medicine, agriculture, and ecology.

Keywords: yeast ; carotenoids ; pigments

1. Introduction

Carotenoids are an essential group of compounds that can be synthesized by some bacteria, yeasts, and molds. They are largely produced by plants, especially green leafy plants, for which some of them play a crucial role in photosynthesis ^{[1][2]} [$^{3][4]}$. In this process, they help absorb light but also play an important role in removing excess solar energy ^[5]. In the case of microorganisms, the main role of carotenoids is to protect cells against the negative influence of reactive forms of oxygen and radiation ^[6]. Carotenoids have applications in various areas of industry, medicine, agriculture, and ecology. A lot of information has been accumulated during the last decades about their possible health-protecting effects ^{[Z][8][9][10][11]}. It is known that carotenoids cannot be synthesized in humans and animals. Therefore, people and animals need to obtain them from their diet ^{[4][12]}. Carotenoids may provide cosmetic benefits ^{[Z][10][13]}. Moreover, their great importance in food production, as natural colorants, is well-known (Figure 1). The global market of carotenoids grew very promptly: In 2017, it reached the value of 1.5 billion USD. Based on the expectations of experts, it should reach \$2.0 billion by 2022, at a compound annual growth rate of 5.7% for the period of 2017–2022 ^[14].



Figure 1. Current and potential use of carotenoids.

Carotenoids are lipid-soluble, mainly terpenoid pigments of 40 carbon atoms. It is thought that the most important structural characteristic of carotenoids is their conjugated double bonds (CDBs) that are largely responsible for their physicochemical properties. For instance, CDBs are responsible for the color of most carotenoids. At least seven CDBs are necessary for obtaining a colored carotenoid ^[10]. Carotenoids can be divided into two groups. One of them is "oxygen-free carotenes", e.g., α -carotene, β -carotene, 4-carotene, lycopene, and torulene. The second group is "oxygen-containing xanthophylls", e.g., astaxanthin, lutein, zeaxanthin, β -cryptoxanthin, fucoxanthin, and canthaxanthin ^{[4][15]}. Carotenoids are β -carotene, α -carotene, and β -cryptoxanthin. The carotenoids that are mainly studied so far are β -carotene, lycopene, astaxanthin, lutein, and zeaxanthin ^[17].

It is well-known that filamentous fungi and yeasts may produce, besides carotenoids, a lot of other various pigments, including melanins, flavins, phenazines, quinones, and others. One rather new pigment being researched is the red pigment accumulated by *Saccharomyces cerevisiae* mutants for *ADE1* and *ADE2*, the product of the polymerization of 1-(5'-phosphoribosyl)-5-aminoimidazole containing several amino acid residues. This red pigment is a mixture of polymers containing a different number of monomers (4–10) and is characterized by a molecular weight from 2 to 10 kDa ^{[17][18][19]}[20].

2. Characteristics of Some Fungal Carotenoids

The group of yeast that can synthesize carotenoids includes *Phaffia rhodozyma* (and its teleomorph *Xanthophyllomyces dendrorhous*) and species of the genera *Rhodosporidium, Rhodotorula, Sporobolomyces,* and *Sporidiobolus* ^{[21][22][23]}. Among the molds, the *Blakeslea trispora* species is of the greatest importance ^[24]. The biosynthesis of carotenoids in fungal cells begins with the conversion of acetyl-CoA, which is formed in the process of β -oxidation of fatty acids in the mitochondria. According to the pathway of mevalonic acid, several biochemical reactions catalyzed by specific reductases, kinases, and decarboxylases produce a five-carbon carotenoid precursor, isopentenyl pyrophosphate (IPP). The addition reactions of three IPPs lead to the formation of geranyl–geranyl pyrophosphate (GGPP), with 20 carbon atoms per molecule. The condensation of the two GGPP particles, catalyzed by phytoene synthase, produces phytoene (C40). It is a precursor to lycopene biosynthesis. Depending on the type of microorganisms, lycopene can be next transformed into β -carotene, y-carotene, torulene, lutein, torularhodin, zeaxanthin, and astaxanthin ^[25].

3. Carotenoids and Human Health

It is well-known that carotenoids are compounds that are very important for human health. They can prevent a deficiency in vitamin A, which is known as the essential compound for the promotion of growth, embryonal development, and visual function. The lipophilicity of carotenoids determines their subcellular distribution; they are enriched in membranes and other lipophilic compartments, i.e., lipid droplets ^[16]. It is supposed that carotenoids in membranes can protect them as antioxidants. Besides that, polar carotenoids can regulate membrane fluidity ^{[21][22]}. One of their functions is linked to the protection of our vision. The deficiency of carotenoids can lead to blindness, and as it was reviewed in the literature it is a serious problem for children, especially in developing countries ^{[23][26]}. Carotenoids are vital for the protection of the retina by preventing cataracts and age-related macular degeneration ^{[26][27][28]}. There is definite evidence that shows the efficiency for eye health of lutein and zeaxanthin. They may reduce the risk for age-related macular eye diseases and lead to the improvement of visual performance that also includes positive effects, such as contrast sensitivity, glare tolerance, and photo-stress recovery ^[29].

Torularhodin is a carotenoid produced mainly by the yeast genera *Rhodotorula* and *Sporobolomyces*; it has strong antimicrobial properties and may become a new natural antibiotic ^{[30][31][32][33]}. The antimicrobial properties of torularhodin can also be used in the production of films for coating medical implants ^{[34][35]}.

The efficiency of carotenoids' use is known for the protection and therapy of various chronic diseases. chronic diseases. They exhibit an anti-inflammatory property and may activate the immune response of an organism [36]. It was shown that the use of lycopene-enriched foods might decrease the risk of developing atherosclerosis and other cardiovascular diseases [37][38][39]. Such beneficial results are most likely linked to the ability of lycopene to reduce systemic and highdensity lipoprotein-associated inflammation and to modulate high-density lipoprotein functionality ^[40]. It was shown that supplementation with lycopene significantly decreased systolic blood pressures [39][41]. Astaxanthin has also been reported to exert a preventive action against atherosclerotic cardiovascular diseases by the reduction of oxidative stress and inflammation and the enhancement of lipid metabolism and glucose metabolism [42]. Supplying the body with astaxanthin allows us to effectively reduce the negative effects resulting from the oxidation and degradation of cellular elements. Another study revealed that lycopene might limit the release of proinflammatory cytokines and chemokines ^[43]. One study also speculated that lycopene might affect the immune functions modulating the cellular redox environment and cell-to-cell interactions and influence anti-inflammatory transcription factors, such as peroxisome-proliferator-activated receptor $\frac{[44]}{2}$. Several results, summarized by Rao and Rao $\frac{[39]}{2}$, reported the involvement of lycopene and β -carotene in bone health and in preventing or decreasing the risk of osteoporosis. Such a positive effect of lycopene in decreasing osteoporosis risk was also shown in postmenopausal women $\frac{[45]}{2}$. Similar effects were also described for β -cryptoxanthin ^[29]. Lycopene consumption was demonstrated to improve bone strength, by reducing bone resorption, and to protect from type 2 diabetes, by enhancing glucose homeostasis [46][47][48].

The role of various carotenoids in the prevention of other chronic diseases was also studied ^[39]. Moreover, the use of lycopene in the cases of male infertility led to the improvements of sperm motility, sperm motility index, sperm morphology, and functional sperm concentration, and finally resulted in a 36% increase of successful pregnancies ^[39]. The possible use of lycopene in recovering the cases of alcohol-induced liver injury was also suggested ^[21]. Carotenoids might have beneficial effects on weight management and obesity ^{[29][49]}. It is expected that future studies could reveal a positive role of carotenoids in the treatments of other diseases, i.e., skin disorders, rheumatoid arthritis, periodontal diseases, and others ^{[29][50]}.

β-Carotene and lutein have positive effects on cognitive performance $^{[29]}$. The putative positive role of lycopene in the treatments of neurodegenerative diseases, including Alzheimer's disease, was also studied $^{[40][51]}$. It was thought that lutein is linked to the possible control of inflammation-related neurodegenerative disorders $^{[52]}$, while torularhodin can be used as a neuroprotective agent against H₂O₂-induced oxidative stress, due to its strong antioxidant activity $^{[53]}$. Lycopene exhibited protection against amyotrophic lateral sclerosis disorder in humans $^{[39][54]}$.

Interesting information was received, in recent years, regarding the possible medical application of a red pigment that accumulated in *S. cerevisiae* mutants. It is known that "conformational diseases" in humans and animals are linked to abnormal aggregation of proteins and the formation of amyloid fibrils. The red pigment accumulated in *S. cerevisiae* mutants for *ADE1* and *ADE2* can bind amyloid fibrils and disturb their interactions with chaperones that, in turn, lead to the inhibition of prion "multiplication" and amyloid fibril formation $\frac{[55][56][57]}{1}$. It was also shown that yeast mutants, which accumulate this pigment, had lower amyloid content than wild-type parental strains. It was shown that this red pigment accumulation reduced cloned human amyloid- β aggregation. The conclusion was made that red yeast pigment has potential importance in therapy for Alzheimer's and Parkinson's diseases $\frac{[19][20]}{2}$.

Carotenoids have characteristics of antioxidants $^{[58][59][60][61]}$. They quench $^{1}O_{2}$ and increase the levels of glutathione and glutathione peroxidase $^{[4][62][63]}$. β -Carotene can be used for sun protection and sunburn prevention $^{[16][64]}$. Carotenoids are efficient blue-light filters; they protect against photo-oxidative damages lipids, proteins, and DNA, thus preventing premature ageing of the skin and skin cancer $^{[16][64][65][66]}$. It was also suggested that astaxanthin might be used as a potential anti-ageing agent $^{[67]}$. β -Carotene reduces the risk of developing neoplastic diseases, and also inhibits the promotion and progression of neoplasms.

Very promising findings were also obtained on the putative efficiency of using carotenoids against some types of cancer $\frac{[26][68]}{2}$. The anticancer activity of some carotenoids, i.e., α -carotene, β -carotene, lycopene, torulene, torularhodin, and some others, was studied regarding prostate, breast, colon, lung, oral, gastric, and skin cancers, in addition to hepatoma, leukemia, uveal melanoma, etc. [4][32][33][46][68][69][70][71][72][73][74][75][76]. Synergistic inhibition of prostate and breast cancer cell growth was evident under the influence of combinations of low concentrations of various carotenoids [ZZ]. The use of reporter gene assays of the transcriptional activity of the androgen receptor in hormone-dependent prostate cancer cells and the electrophile/antioxidant response element (EpRE/ARE) transcription system enabled the observation of combinations of several carotenoids (e.g., lycopene, phytoene, and phytofluene) to synergistically inhibit the androgen receptor activity and activate the EpRE/ARE system and suggested their use in the therapy and prevention of this type of cancer [72]. In the experiments performed by Prakash et al. [78], estrogen-receptor (ER) positive MCF-7 and ER-negative Hs578T and MDA-MB-231 human breast cancer cells were treated with carotenoids. Among them, β-carotene significantly reduced the growth of MCF-7 and Hs578T cells, and lycopene inhibited the growth of MCF-7 and MDA-MB-231 cells. Similar effects were also shown for astaxanthin [42]. Authors concluded that carotenoids inhibit the growth of both studied breast cancer cell lines, indicating that estrogen receptor status is an important factor for the responsiveness of breast cancer cells to carotenoid treatments [78]. The use of food rich in various carotenoids was found to decrease the risk of lung and stomach cancers $\frac{[61][79][80]}{3}$; although, in the case of lung cancer, negative results were obtained for β carotene for smokers and asbestos workers. In these cases, β-carotene supplementation was associated with an increased risk of lung and gastric cancers ^{[29][81]}. It is supposed that the cancer-preventive effects exhibited by various carotenoids might also be linked to their induction and stimulation of intercellular communications via gap junctions, which are important for the regulation of cell growth, differentiation, and apoptosis [21]. More recently, lycopene was found to inhibit tumor metastasis by slowing down cell-cycle progression and inhibiting the proliferation of diverse cancer cell lines [46]. A detailed description of the different effects and mechanisms of anticancer activity of carotenoids (cell-cycle arrest, apoptosis-inducing effect, and anti-metastasis effect) is reported in some recent reviews [4][82][83].

Carotenoids may act as chemoprotective agents against cellular mutagenesis and malignant transformation $\frac{[59][61][84][85]}{[86]}$. Protective effects expressed by β -carotene and other carotenoids were demonstrated against the mutagenic potential of 8-methoxypsoralen, cyclophosphamide, 1-methyl-3-nitro-1-nitrosoguanidine, benzo(α)pyrene, quinolones, and

ultraviolet light, using *Salmonella typhimurium* as a cell model system $\frac{[61][87][88][89][90]}{[61][87][88][89][90]}$. β -Carotene and other carotenoids (canthaxanthin, α -carotene, and lycopene) can inhibit malignant transformation induced by 3-methylcholanthrene, or X-ray treatment in the fibroblast cell line $\frac{[61][91][92]}{[61][92]}$.

Antioxidant and anti-ageing effects of astaxanthin led to its wide use in cosmetics ^[93]. Besides all of these examples of the positive effects of carotenoids on human health, there are also data that β -carotene and astaxanthin may have immunoprotective effects, whereas lutein can prevent oxidative stress in eye tissues, as well as has antiviral activity against hepatitis B virus ^[93]. <u>Figure 2</u> briefly presents the possible positive effects of carotenoids for human health described above.



Figure 2. Possible positive effects of carotenoids on human health.

4. Carotenoids and Other Microbial Pigments as Feed Additives and Colorants

Carotenoids are widely used in salmon and trout farming and in the poultry and food industry as feed additives and natural food colorants, which can give from yellow to red colors [7][94][95][96]. From an economic viewpoint, astaxanthin is the third most important carotenoid after β -carotene and lutein, due to its importance in aquaculture, and the chemical, pharmaceutical, and food industries ^[3]. In salmon and trout farming, it is widely used as a pigment for fish meat. Feed supplementation of carotenoids essentially improves the health of poultry birds and enhances the quality of eggs and meat. Carotenoids are very important for the pigmentation of egg yolk, skin, legs, beak, comb, feather, and fat. The use of carotenoids as alternative feed ingredients gives the possibility to replace synthetic medicine and nutrients in poultry industry ^[97].

Many yeasts belonging to different genera have been extensively studied during the last decades as potential efficient producers of various pigments (especially of mixtures of carotenoids). Among them, the most forthcoming is *Ph. rhodozyma* ^{[98][99]}. The production of astaxanthin has been scaled-up to the industrial level in the last decades ^{[96][100][101]}. On the other hand, the yellow carotenoid pigment zeaxanthin can be used as an additive in poultry, as well as in the cosmetics and food industries. Canthaxanthin is another carotenoid pigment that is already used in aquafeed for farmed salmonids ^[102]. Besides these food-related applications of carotenoids, they may serve as alternative coloring agents that are in demand in different industries, such as the textile, plastic, paint, paper, and printing industries ^{[102][103][104][105]}.

The analysis of food consumer requirements revealed a growing rejection of synthetic food dyes during the last decade. The use of some synthetic colorants in food and cosmetic processing has recently been banned due to their hyperallerginicity, carcinogenicity, and other toxicological problems ^{[102][103]}. For example, the astaxanthin produced chemically is not approved for human consumption, due to the presence of by-products ^[106]. Correspondingly, a growing demand for dyes of natural origin is becoming increasingly more popular. It is well-known that natural coloring agents can be extracted from various plants, algae, and microorganisms (i.e., bacteria, yeasts, and fungi), which can produce various pigments ^[107]. Some food-grade microbial pigments are already produced biotechnologically. Among them is the

hydroxyanthraquinoid pigment Arpink red, which is produced by a strain of *Penicillium oxalicum* var. *armeniaca* isolated from soil by the Czech company Ascolor Biotech s.r.o. The patent covering Arpink Red also claims its anticancer effects for applications in the food and pharmaceutical fields ^[107]. Another example is the yellow vitamin riboflavin (vitamin B₂). It can be produced by the yeast species *Meyerozyma* (formerly *Candida*) *guilliermondii* or *Debaryomyces subglobosus* and by the dimorphic fungus *Eremothecium ashbyi* (and its heterotypic synonym *Ashbya gossypii*); the latter is used for industrial-scale production ^{[106][108]}.

Recent studies explored the possibility of replacing the use of yellow pigments from the fungus *Monascus* sp. (which are not approved for the use in EU and USA, because of the risk of possible contamination by the nephrotoxic and hepatotoxic metabolite citrinin), with similar pigments produced by non-mycotoxigenic strains of the fungal genus *Talaromyces* ^{[104][108][109][110][111][112]}. Marine fungi are also studied as promising sources of novel pigments ^[108]. New findings in this area that give new possibilities for modern biotechnology are described in detail in the review by Dufosse et al. ^[108].

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