The SR Splicing Factors

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Serine/arginine-rich (SR) proteins are important splicing factors in plant development and abiotic/hormone-related stresses. However, evidence that SR proteins contribute to the process in woody plants has been lacking. Using phylogenetics, gene synteny, transgenic experiments, and RNA-seq analysis, we identified 24 PtSR genes and explored their evolution, expression, and function in Popolus trichocarpa. The PtSR genes were divided into six subfamilies, generated by at least two events of genome triplication and duplication. Notably, they were constitutively expressed in roots, stems, and leaves, demonstrating their fundamental role in P. trichocarpa. Additionally, most PtSR genes (~83%) responded to at least one stress (cold, drought, salt, SA, MeJA, or ABA), and, especially, cold stress induced a dramatic perturbation in the expression and/or alternative splicing (AS) of 18 PtSR genes (~75%). Evidentially, the overexpression of PtSCL30 in Arabidopsis decreased freezing tolerance, which probably resulted from AS changes of the genes (e.g., ICE2 and COR15A) critical for cold tolerance. Moreover, the transgenic plants were salt-hypersensitive at the germination stage. These indicate that PtSCL30 may act as a negative regulator under cold and salt stress. Altogether, this study sheds light on the evolution, expression, and AS of PtSR genes, and the functional mechanisms of PtSCL30 in woody plants.

Keywords: Populus trichocarpa; serine/arginine-rich (SR) protein; RNA splicing

1. Introduction

Alternative splicing (AS) is an important mechanism in the regulation of gene expression in eukaryotes, which enhances transcriptome and proteome diversity $^{[1][2]}$. Over 95% of human protein-coding genes can be alternatively spliced to produce multiple transcripts, such as *KCNMA1* can produce more than 500 mRNA isoforms $^{[3][4]}$. In plants, about 83% and 73% of intron-containing genes undergo AS in *Arabidopsis thaliana* and *Oryza sativa*, respectively $^{[5][6]}$. There are mainly five different types of AS events, including exon-skipping (ES), intron retention (IR), mutually exclusive exons (MXE), alternative 5' splice site (A5SS) and 3' splice site selection (A3SS) $^{[7]}$. IR is a major mode of AS in plants, whereas ES is a predominant mode in animals $^{[8][9][10]}$. The importance of AS has been clearly manifested by the genetic hereditary diseases caused by splicing defects $^{[11][12]}$.

Due to a sessile life form, plants need unique adaptive developmental and physiological strategies to cope with environmental perturbations. AS is emerging as an important process affecting plant development and tolerance to biotic and abiotic stresses. AS can regulate transcriptome and proteome plasticity to respond rapidly to environmental stresses by adjusting the abundance of the functional transcripts of the stress-related genes, such as protein kinases, transcription factors, splicing regulators, and pathogen-resistance genes [13]. For example, hundreds of genes, such as novel cold-responsive transcription factors and splicing factor/RNA-binding proteins, showed rapid AS changes in response to cold (called 'early AS' genes) [14]. More than 6,000 genes were reported to undergo changes of AS patterns under salt stress [15][16]. In addition, AS is also involved in a range of other functions, such as photosynthesis, circadian clock, flowering time, and metabolism [17][18][19][20].

Pre-mRNA splicing processing is catalyzed by a spliceosome, a large flexible RNA-protein complex consisting of five small nuclear ribonucleoprotein particles (snRNPs) and numerous types of non-snRNP proteins [21][22]. Serine/arginine-rich (SR) proteins, the major regulators in the splicing of pre-mRNAs, are evolutionarily conserved splicing factors [23][24]. In plants, SR proteins were defined as one or two N-terminal RNA recognition motifs (RRMs) followed by a downstream RS domain of at least 50 amino acids with over 20% SR or RS dipeptide [25]. SR family proteins have been identified in many plant species, such as green algae, moss, and various flowering plants. The number of *SR* genes varies among different species; for example, there are 18 members in *Arabidopsis*, 22 in *O. sativa*, 21 in *Dimocarpus longan Lour*, 40 in *Triticum aestivum*, and 18 in *Brachypodium distachyon* [26][27][28][29]. Plant SR proteins can be classified into six subfamilies, including SR, SC, RSZ, RS, SCL, and RS2Z. The SR, SC, and RSZ subfamilies have orthologs in mammals, while the RS, SCL, and RS2Z subfamilies are unique to plants with novel structural features [25]. RS subgroup members

have two RRM domains, and the second RRM domain lacks the SWQDLKD signature, which is a characteristic of SR-subfamily proteins. RS2Z subfamily members have two Zn-knuckles and one RS domain, followed by an SP-rich region. SCL-subfamily members have a single RRM domain followed by an RS domain, and possess a short N-terminal extension that contains multiple RS and SP dipeptides [30][31].

Plant SR genes are involved in various plant growth and development processes. The overexpression of AtSRp30 resulted in a delayed transition from the nutrition to reproductive periods, prolonged life cycle, and increased individual size $^{[32]}$. The loss of Arabidopsis SC35/SCL proteins led to multiple effects on plant morphology and development, such as serrated leaves and later flowering $^{[33]}$. Additionally, plant SR genes can be alternatively spliced, and their splicing patterns are affected by various developmental and environmental signals. For example, the overexpression of RSZ36 and SRp33b can change the splicing patterns of RSZ36 and SRp32 in rice, respectively $^{[34]}$. High temperatures may increase the expression of the active isoforms of SR30 but reduce the active isoform of SR34 in Arabidopsis $^{[35]}$. Moreover, SR genes may allow functional redundancy in the processes of plant growth and development. In Arabidopsis, the sc35-scl quintuple mutant (scl28 scl30 scl30a scl33 sc35) exhibited the obvious phenotypes of serrated rosette leaves and late-flowering, while no obvious morphological alterations were observed in the double or triple mutants $^{[33]}$.

2. Identification of PtSR Family Genes and Their Characteristics

Firstly, we searched for the homologs of *Arabidopsis* SR proteins in *P. trichocarpa* genome by the BLASTP program $^{[36]}$. Taking account of the definition of the RS domain, which has at least 50 amino acids sharing over 20% RS content by consecutive RS or SR dipeptides in plants $^{[25]}$, we screened the homologs to obtain a total of 24 PtSR proteins. We then assessed the basic characteristics of the 24 PtSR proteins; for example, their molecular weights (M_W s) ranged from 20.46 to 34.56 kDa, with an average value of 29.3 kDa (Table S1). Of note, all the proteins had an extremely high isoelectric point (pl) between 9.9 and 11.6, and, consequently, were highly cationic at neutral or acid pH. This is supported by the fact that SR proteins can bind the negatively charged RNA in nuclei $^{[37]}$. Additionally, based on the grand average of hydropathy (GRAVY) values, all the proteins were predicted to be hydrophilic between -1.772 and -0.881, supporting the soluble nature of PtSR proteins. Detailed characteristics of the assessed PtSR-family genes are presented in Table S1.

3. Phylogenetic and Architectural Analysis of PtSR Family Genes

Since SR genes have been widely studied in Arabidopsis, we selected SR genes from Arabidopsis as a reference and constructed a phylogenetic tree based on the full-length alignment of the SR proteins in the species Arabidopsis and P. trichocarpa (**Figure 1**A). PtSR proteins were classified into the six known subfamilies, SR, SC, RSZ, RS, SCL, and RS2Z. This result agreed well with those from Arabidopsis [38], indicating that the SR gene family was highly conserved, at least in the dicots. As compared to animals, ~50% of PtSR genes were plant-specifically evolved SR genes, including the previously reported RS, SCL, and RS2Z subfamilies [25].

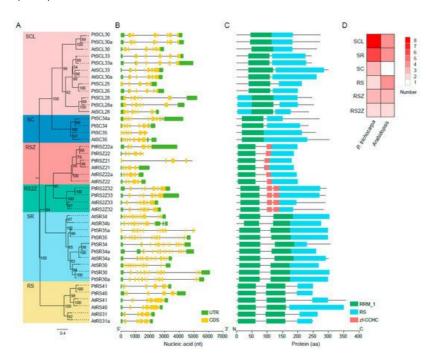


Figure 1. Phylogenetic relationships and exon/intron and domain architectures of *SR*-family genes in *P. trichocarpa* and *Arabidopsis*. Phylogenetics of *SR* genes. Multiple alignment of the *Arabidopsis* and *P. trichocarpa* SR proteins were performed by MAFFT to construct a maximum likelihood (ML) tree by IQ-TREE. (**A**) The ML tree was assessed by an ultrafast bootstrap with 5000 replicates, and bootstrap values greater than 50% are shown. The six clusters in shaded colors indicate the known conserved subfamilies (i.e., SCL, SC, RSZ, RS2Z, SR, and RS); (**B**) exon/intron structures of *PtSR* genes. UTR and CDS indicate the untranslated region and coding sequences, respectively; (**C**) protein domains of *PtSR* genes. The visualizations of exon/intron and protein-domain architectures were created by TBtools, using their gene- and protein-information datasets; (**D**) a heatmap showing the numbers of the six subfamilies of *Arabidopsis* and *P. trichocarpa SR* genes.

Gene exon/intron structure diversity is one of the possible mechanisms for explaining the evolution of multiple gene families, to which end, we further analyzed the structures of the *PtSR* genes (**Figure 1**B). Observably, the *PtSR* genes were interrupted by multiple introns, ranging between 4 and 13, and, expectedly, the clustered *PtSR* genes showed similar exon–intron structures and shared a recent common ancestor. In detail, the same subfamily had a very similar number of introns (**Figure 1**B). For example, the *SR*-subfamily genes had the most introns, ranging between 12 and 13, while the *RS2Z*-subfamily genes had the same number (six) of introns. This showed that the subfamilies of the *SR*-family genes were highly conserved after their divergence from their nearby subfamilies.

In the case of PtSR-protein domains, we retrieved the conserved protein domains based on the annotated domains from the Pfam database [39]. Two types of homolog-based domains were finally identified, including the RRM and zf-CCHC domains (**Figure 1**C). Expectedly, all the PtSR proteins had at least one RRM and RS domain. Meanwhile, some differences were also found between the subfamilies, such as the one and two zf-CCHC domains, respectively, in the RSZ and RS2Z subfamilies. Finally, and noteworthy, among the six subfamilies in *P. trichocarpa*, SCL was the largest, followed by SR; whereas, in *Arabidopsis*, three subfamilies, SCL, SR, and RS, were very close in number (**Figure 1**D). Next, we mapped the detailed expansion of these subfamilies.

4. The Expansion History of the PtSR Gene Family in P. trichocarpa

To investigate the evolution of *PtSR* gene family, we determined their chromosomal distributions and gene-duplication types. The *PtSR* genes were distributed unequally to *P. trichocarpa* chromosomes (the outer circle in **Figure 2**A). Three *PtSR* genes (*PtRSZ22*, *PtSCL25* and *PtRS2Z32*) were located on chromosome (Chr) 6, followed by two *PtSR* genes on Chrs 2, 5, 8, 10, 14 and 16, respectively. Of note, except for the SCL-subfamily genes (e.g., *PtSCL28* and *PtSCL30*) being located in the same chromosomes, genes from the same *PtSR* subfamily were mainly distributed to different chromosomes, declining the possibility of generating *PtSR* genes by tandem or proximal duplications.

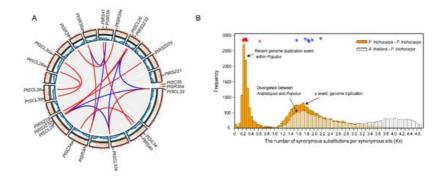


Figure 2. Chromosomal distribution and expansion events of *PtSR* gene family. (**A**) The chromosomal distribution and collinearity gene blocks the containing *PtSR* genes. The outer circle indicates *P. trichocarpa's* 19 chromosomes (Chr) and scaffolds (s), marked with a distribution of *PtSR* genes; the middle circle indicates gene density on the corresponding chromosomes; and the inner grey curves indicate gene collinearity blocks between and within chromosomes, where the close paralogous pairs of *PtSR* genes are marked in blue or red curves, according to their expansion events; (**B**) the frequency of *Ks* values of the collinearity of gene pairs within the *P. trichocarpa* genome and between the *P. trichocarpa* and *Arabidopsis* genomes. The blue circles indicate the *PtSR* gene pairs generated by genome triplication event (i.e., γ) before the divergence of *P. trichocarpa* and *Arabidopsis*, and the red circles indicate the *PtSR* gene pairs generated by the recent genome duplication of *P. trichocarpa* after the divergence from *Arabidopsis*. The collinearity of the *PtSR* gene pairs and their *Ks* values are provided in <u>Table S2</u>.

To determinate molecular mechanisms generating the *PtSR*-family genes, we traced their expansion history and found a total of 16 collinear gene blocks, including 21 *PtSR* genes (the inner color lines in **Figure 2**A). This finding showed that the whole- and/or segmental-genome duplication pattern was the dominant molecular mechanism generating the *PtSR* genes. To date the events of the 16 collinearity gene blocks, we calculated the synonymous substitution rate (Ks) of the duplicated gene pairs (<u>Table S2</u>) and found the blocks could be mainly classified into two categories (**Figure 2B**). The first category included six gene pairs (shown in blue lines and dots in **Figure 2**A,B), and their Ks values varied between 1.5649 and 2.1702 (**Figure 2B**, <u>Table S2</u>), which were around the whole-genome triplication event (i.e., y) in the recent common ancestor of *P. trichocarpa* and *Arabidopsis* [40]. The other category included ten gene pairs (shown in red lines and dots in **Figure 2**A,B), and their Ks values varied between 0.2164 and 0.6339 (**Figure 2B**, <u>Table S2</u>), and were concentrated around the most recent whole-genome duplication event of *P. trichocarpa* [41]. This recent duplication event was successful in replicating the genes of the SCL and SR subfamilies (**Figure 2**), explaining well the existing larger number of the two subfamilies in *P. trichocarpa* than in *Arabidopsis* (**Figure 1**D). Together, the two categories demonstrated at least two expansion stages of the *SR* gene family through genome polyploidization, which provided the dominant molecular mechanism producing the existing *PtSR* genes in *P. trichocarpa*.

5. GO Term Enrichment and Promoter Cis-element Analysis of *PtSR* Genes

We performed GO term-enrichment analysis of *PtSR* genes to investigate the molecular functions and biological processes that *PtSR* genes might participate in. The result showed that *PtSR* genes could participate in various biological processes, such as spliceosome assembly, RNA splicing, mRNA export, the regulation of metabolic processes, the response to stress, and the regulation of gene expression (**Figure 3**A). This indicated that *PtSR* genes not only act as splicing factors for RNA-splicing and metabolism processes at the post-transcriptional level, but also might be involved in the diverse and complicated regulation of gene expression at the transcriptional level.

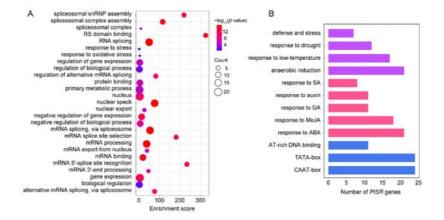


Figure 3. GO term enrichment and promoter *cis*-element analysis of *PtSR* genes. **(A)** GO term enrichments of *PtSR* genes. The dot sizes represent the numbers of enriched genes and the colored bars represent the significant levels of GO term enrichment; **(B)** the numbers of *PtSR* genes containing various *cis*-acting elements. Purple, red, and blue bars represent the *cis*-acting elements in response to abiotic stresses, phytohormones, and the fundamental core elements in *PtSR* gene promoters, respectively.

To identify the cis-acting elements in the promoters of PtSR genes, we analyzed the 2-kb sequences upstream of the translation-start sites of PtSR genes in PlantCARE [42] (**Figure 3**B). Firstly, there were well-known housekeeping cis-acting elements in the promoters of all the PtSR genes, such as TATA-box and CAAT-box. Also, some cis-acting elements were enriched in response to phytohormones, such as abscisic acid (ABA), methyl jasmonate (MeJA), salicylic acid (SA), and gibberellin (GA). Furthermore, the cis-acting elements were also enriched in response to abiotic stresses, such as low-temperature, drought, defense and stress, and anaerobic induction (**Figure 3**B, <u>Table S3</u>). The housekeeping and hormone/abiotic-responded cis-elements, together, demonstrated that PtSR genes are probably expressed in constitutive regulation, but also in response to hormone/abiotic stresses, and we next studied their expressions in P. trichocarpa tissues and under hormone/abiotic stresses.

6. Constitutive and Abundant Expression Patterns of *PtSR* Genes in *P. trichocarpa*

To investigate the expression profiles of *PtSR* genes, we analyzed the expression levels of *PtSR* genes by RNA-seq data in *P. trichocarpa* tissues including roots, stems, and leaves. According to RNA-seq data, we found that *PtSR* genes mainly

exhibited constitutive expression profiles in all of the three tissues (**Figure 4**A), and the relative expression levels of *PtSR* genes were significantly higher than the background-expressed genes (**Figure 4**B). Of note, many *SR* genes showed an observably higher expression than the well-known housekeeping gene (**Figure 4**A). The results together suggested that *PtSR*s were constitutively and abundantly expressed in different tissues of *P. trichocarpa*.

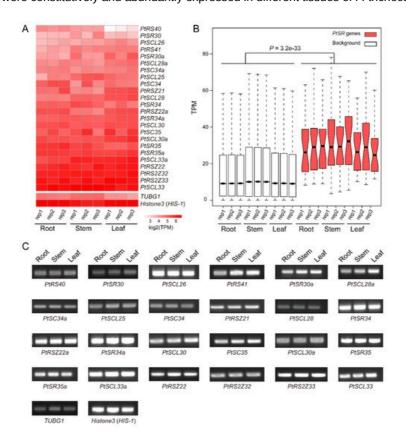


Figure 4. The expression profiles of *PtSR* genes in *P. trichocarpa* tissues. (**A**) A heatmap of the expression profiles of *PtSR* family genes in roots, stems, and leaves. Transcripts per million reads (TPM) was used to represent the expression of each gene and log2 transformed to generate the heatmap; (**B**) A boxplot showing expression differences between *PtSR* genes and background genes. Except for *PtSR* genes, the other genomic expressed genes were selected as background, and the differential level in expression between *PtSR* genes and the background was assessed by Wilcoxon test.

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