

Nuanced Concept of the Evanescent Field Ratio

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Photonic sensors utilize light–matter interaction to detect physical parameters accurately and efficiently. They exploit the interaction between photons and matter, with light propagating through an optical waveguide, creating an evanescent field beyond its surface. This field interacts with the surrounding medium, enabling the sensitive detection of changes in the refractive index or nearby substances. By modulating light properties like intensity, wavelength, or phase, these sensors detect target substances or environmental changes. Advancements in this technology enhance sensitivity, selectivity, and miniaturization, making photonic sensors invaluable across industries. Their ability to facilitate sensitive, non-intrusive, and remote monitoring fosters the development of smart, connected systems.

evanescent field

photonic sensor

optical waveguide

light–matter interaction

1. Introduction

Photonic sensors based on optical waveguides (WGs) operate at the forefront of cutting-edge technology, leveraging the fundamental principle of guided light propagation to establish a sophisticated mechanism for exceptionally sensitive and specific environmental monitoring ^{[1][2]}. Photonic sensors based on evanescent field principles represent a sophisticated and versatile class of optical sensing devices ^[3]. These sensors exploit the evanescent field, an electromagnetic wave that extends just beyond the surface of a material, to detect changes in the surrounding environment. In these systems, light is typically coupled into a WG or optical fiber, permitting the evanescent field to interact with the adjacent medium. The interaction induces alterations in the transmitted light, such as changes in intensity or wavelength, which are then precisely assessed. This modulation in the optical signal serves as a sensitive indicator for variations in the targeted parameters, such as refractive index, temperature, or the presence of specific biomolecules. Photonic sensors based on evanescent field principles find applications in a myriad of fields, ranging from biochemistry and medical diagnostics to environmental monitoring and industrial sensing ^{[4][5][6]}. Their high sensitivity, real-time monitoring capabilities, and non-invasive nature make them vital tools for progressing our capabilities in precision sensing and measurement technologies.

Optical WG biochemical sensing represents a convergence of optical WG technology with biological and chemical methodologies. Within the realm of chemical sensors, biosensors specifically play a pivotal role in this interdisciplinary field. Optical biochemical sensing can be categorized into labeled and unlabeled approaches, with refractive index sensing being an example of the latter. In the context of optical WG biochemical sensors, alterations in the evanescent field induced by changes in parameters like the refractive index and absorption

coefficient occur. Typically, these changes stem from variations in the phase of transmitted light, leading to a distinct effective refractive index in the optical transmission mode. The mode coupling theory, applicable to sensors like surface plasmon resonance (SPR) and grating sensors, is employed to calculate the resultant change in the effective refractive index [7][8][9]. This calculation method extends to devices such as microring resonators (MRRs) [10][11], Mach–Zehnder interferometers (MZIs) [12], multimode interferences (MMIs) [13][14], fiber Bragg gratings [15], photonic crystals [16], and other differential interferometers [17].

Utilizing the refractive index change or absorption spectrum, optical WG refractive index biochemical sensors enable qualitative or quantitative analyses of gases, liquids, and solid substances in testing scenarios. Beyond that, these sensors find applications in detecting harmful gas concentrations in the environment, monitoring food and water quality, and identifying bacteria and viruses for public health concerns and chemical threat perception [8]. The label-free detection mechanism employed by biochemical sensors relies on the change in the refractive index caused by molecular interactions. This approach is particularly advantageous for measuring chemical substances, viruses, or bacteria, as it is directly correlated with the target sample concentration or surface density. Notably, this method is both cost-effective and straightforward, making it a valuable tool in various analytical applications [18].

Integrated photonic sensors offer numerous advantages in various applications. One significant advantage is their compact size, allowing for easy integration into small devices and systems. They also exhibit high sensitivity and accuracy, making them suitable for precise measurements in fields such as biomedical sensing, environmental monitoring, and telecommunications [2][19]. Additionally, integrated photonic sensors typically have low power consumption, enabling prolonged operation without frequent battery changes or recharging. However, these sensors also come with certain disadvantages. Fabrication processes can be complex and expensive, limiting their accessibility to some researchers and industries [20]. Furthermore, their performance may be susceptible to environmental factors such as temperature fluctuations and mechanical vibrations, requiring additional measures for robustness and reliability. Despite these drawbacks, ongoing research and advancements continue to address these challenges, paving the way for the wider adoption of integrated photonic sensors in diverse applications.

2. Concept of Evanescent Field Ratio

The evanescent field of a mode propagating in a WG is a crucial concept in the study of electromagnetic waves and their behavior within confined structures. The evanescent field refers to the portion of the electromagnetic field that extends beyond the core of the WG into the surrounding medium. Unlike the propagating field, which carries energy along the WG axis, the evanescent field decays exponentially as it moves away from the core [21]. This phenomenon arises due to the total internal reflection of the mode within the WG, causing the electromagnetic field to extend into the adjacent medium. The evanescent field plays a crucial role in various applications, including sensing and WG-based devices, where interactions with the surrounding medium or nearby structures are essential for functionality [22][23][24]. A graphical illustration of the evanescent field of the WG mode interacting with the ambient medium is shown in **Figure 1**. Understanding and manipulating the evanescent field is fundamental for optimizing the performance of such devices [25].

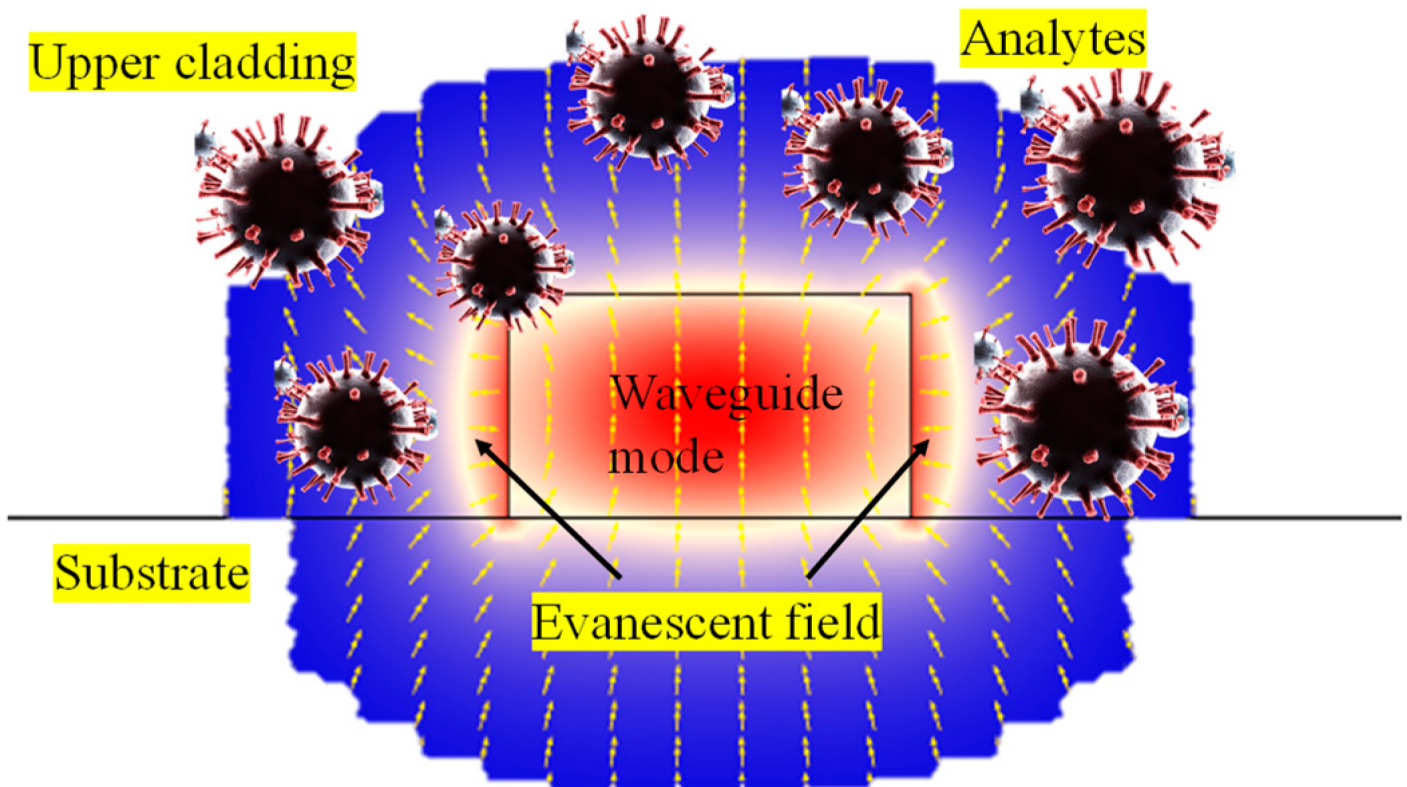


Figure 1. Illustration of the WG evanescent field interacting with the analytes in the ambient medium.

The evanescent field ratio (EFR) is a parameter that characterizes the strength of the evanescent field compared to the total field in a WG. It is particularly significant in the study of guided electromagnetic modes, such as those in optical fibers or other confined structures. The EFR is calculated by determining the proportion of the total field that comprises the evanescent field. Mathematically, it is expressed as the square of the amplitude of the evanescent field divided by the square of the amplitude of the total field. This ratio provides insights into the extent to which the electromagnetic energy associated with a mode is confined within the WG core and the degree to which it penetrates the surrounding medium. A higher EFR indicates a more pronounced influence of the evanescent field, which is crucial in applications such as sensing, where interactions with the external environment play a vital role in device performance [26][27]. Researchers often use numerical simulations or experimental techniques to measure and analyze the EFR in practical WG configurations [28].

3. Deciphering Evanescent Wave Patterns in Diverse WG Geometries

Rib WGs, ridge WGs, and slot WGs are different types of optical WGs, and their properties, including the characteristics of the evanescent field, can vary. Rib WGs typically consist of a thin strip (rib) of high-refractive-index material on a lower-refractive-index substrate. The evanescent field in rib WGs is generally confined within the high-index region of the WG. The field extends into the cladding but is typically more localized compared to other types of WGs. Ridge WGs have a raised section (ridge) on the WG core, which helps in confining and guiding light. Like rib WGs, the evanescent field in ridge WGs is primarily localized within the high-index region.

The dimensions of the ridge can affect the characteristics of the evanescent field. The normalized E-field distribution in a standard ridge WG determined by the finite-element method (FEM) is shown in **Figure 2a**.

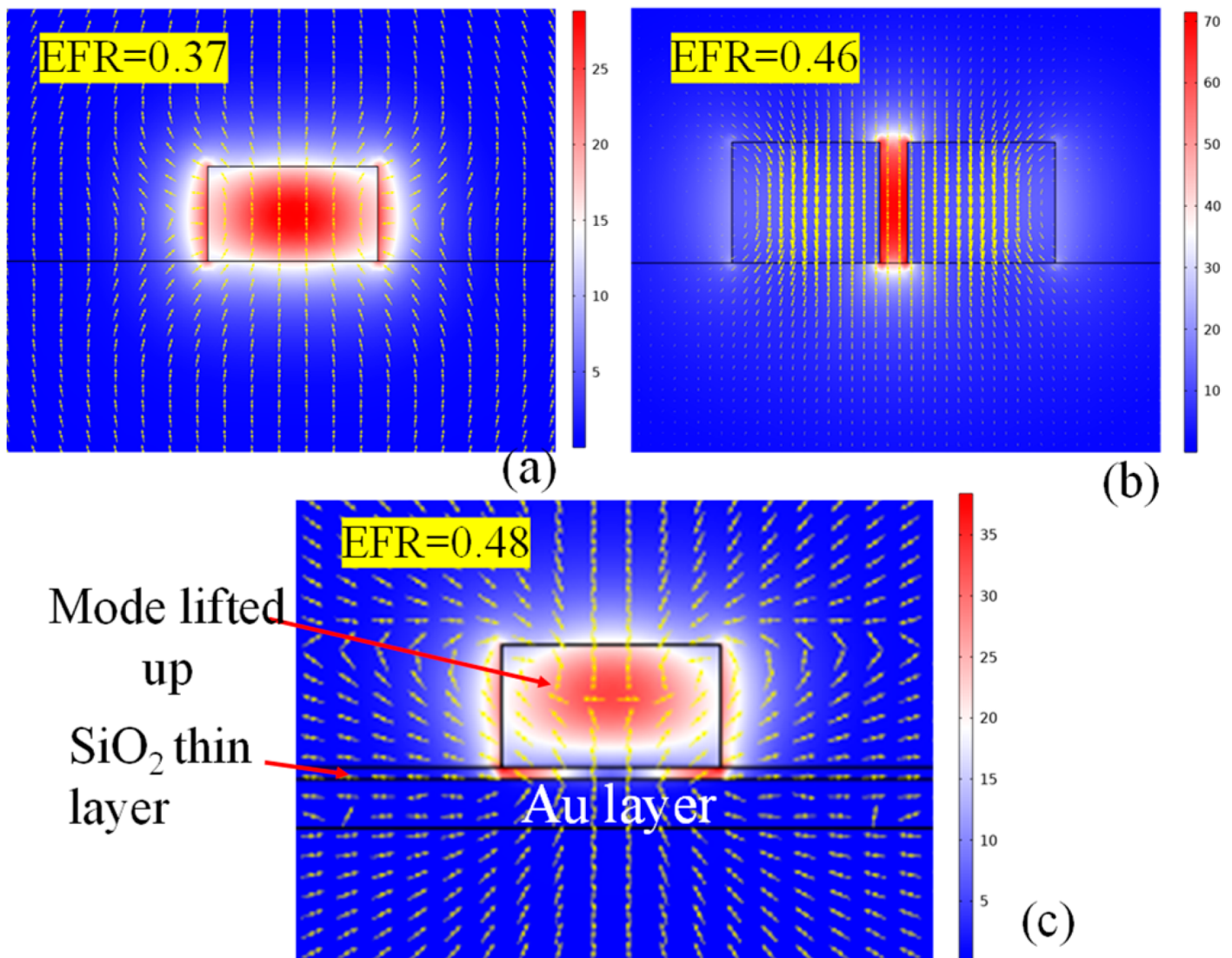


Figure 2. Normalized E-field distribution in the WG geometry at an operational wavelength of 1550 nm: **(a)** ridge WG of dimensions $W \times H = 450 \text{ nm} \times 250 \text{ nm}$, **(b)** slot WG of dimensions $W \times H = 270 \text{ nm} \times 250 \text{ nm}$ and a gap = 50 nm, **(c)** modified ridge WG geometry of dimensions $W \times H = 450 \text{ nm} \times 250 \text{ nm}$ with a 25 nm buffer layer between gold layer and WG core.

Whereas slot WGs consist of a low-index region (slot) between two high-index regions ^[29], these WGs are designed to have a substantial part of the optical field concentrated in the low-index slot region as shown in **Figure 2b**. This design allows for strong light–matter interactions in the slot, making them advantageous for applications such as sensing ^[4]. While rib and ridge WGs primarily confine the evanescent field within the high-index regions, slot WGs intentionally utilize a low-index slot to enhance the interaction between light and the surrounding medium. The choice of WG depends on the specific requirements of the application, such as the desired level of confinement, interaction strength, and the nature of the surrounding medium.

In [26], Butt et al. proposed a novel metal-assisted silicon strip WG configuration aimed at enhancing the EFR, thereby making it suitable for highly sensitive sensor applications. The metal-assisted WG structure incorporates a thin SiO₂ layer positioned between the gold layer and the silicon strip WG. The mode in the metal-assisted silicon strip WG is heightened, leading to an approximately 10% increase in power within the upper cladding, while the power in the substrate experiences a reduction of approximately 5%. The normalized E-field distribution in the metal-assisted silicon strip WG is shown in **Figure 2c**. These results showcase a significant improvement in the evanescent field within the upper cladding region of a straight strip WG, positioning it as an ideal choice for gas absorption sensors relying on evanescent field interactions.

The subwavelength grating (SWG) WG is recognized for its ability to enhance the evanescent field, a crucial feature in various optical applications [30]. By leveraging periodic structures with dimensions smaller than the wavelength of the guided light, subwavelength gratings facilitate strong coupling between guided modes and the surrounding medium. This design leads to a significant increase in the penetration depth of the evanescent field beyond the WG core. The subwavelength grating's unique geometric configuration allows for fine-tuning of the effective refractive index and dispersion characteristics, providing engineers with a versatile tool to tailor the evanescent field's strength and distribution [31]. This enhanced evanescent field is particularly advantageous in applications such as sensing, where interactions with the surrounding environment play a critical role. The subwavelength grating WG's capability to amplify the evanescent field opens up new possibilities for achieving heightened sensitivity and improved performance in optical devices [32][33][34].

In addition to dielectric waveguides, another type of optical waveguide that facilitates strong light–matter interaction through a high evanescent field is a plasmonic WG [35]. These WGs are designed to guide SPPs, which are collective oscillations of electrons coupled to photons at a metal–dielectric interface. The widely deployed plasmonic WGs for sensing applications are metal–insulator–metal (MIM) WG [36], insulator–metal–insulator (IMI) WG [37], wedge SPP WG [38][39], metallic strip [40][41], etc. The evanescent field in plasmonic WGs extends into the surrounding medium, allowing for strong light–matter interactions in the adjacent regions. This property is particularly advantageous for applications such as sensing, where the evanescent field can be used to probe changes in the refractive index or absorbance of the surrounding medium. Other types of WGs, such as dielectric or photonic crystal WGs, may also offer high evanescent fields depending on their specific design parameters and configurations [16][42][43]. However, plasmonic WGs are often specifically engineered to enhance the evanescent field and enable efficient light–matter interactions in their vicinity.

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