General Theory of Photodetectors

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Photodetectors are one of the popular types of technology used in ultraviolet radiation research. They are widely used in the industrial area (flame detectors, fire alarm systems, extreme UV lithography), national security (missile defense, military recognition, explosives detection, forensic analysis, secure communications), in fields such as medicine (UV imaging, protein analysis, and DNA sequencing) or biology (biological agent detection), and when dealing with environmental issues (ozone detection, air pollution determination, disinfection, and decontamination).

Keywords: ultraviolet photodetectors ; photoemissive UV photodetectors ; silicon-based UV detectors

1. Introduction

Ultraviolet (UV) radiation is an important component of solar radiation (**Figure 1**a), which has a significant impact on the development and survival of mankind. Extreme UV radiation can cause various diseases, including cataracts and skin cancer, and accelerate the aging process. This radiation also has a significant impact on crop yields and the vitality of infrastructure. Recent studies have shown that a 1% reduction in the thickness of the ozone layer will increase UV radiation near the earth's surface by 2%, resulting in a 3% increase in melanoma incidence ^{[1][2]}. Accordingly, the search for UV photodetection has attracted the interest of scientists in related fields. The International Commission on Non-Ionizing Radiation Protection regulates UV radiation limits for humans, as shown in **Figure 1**b.



Figure 1. Solar irradiation spectrum: (**a**) threes spectra [the spectrum of a black body with a temperature of 5900 K similar to the solar spectrum, the actual spectrum of the sun at the outer edge of the earth's atmosphere (extra-terrestrial solar radiation), and the spectrum at sea level (terrestrial solar radiation), (**b**) human exposure limits as a function of wavelength in the UV spectrum, and (**c**) the UV spectral region and its subdivisions.

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Ultraviolet radiation is electromagnetic radiation from 10 nm to 400 nm (Figure 1c). It is shorter than radiation in the visible spectrum but longer than X-rays. The ultraviolet spectrum is also subdivided due to each band's effect on the

biosphere [3]:

- UV-A-wavelengths: 315-400 nm;
- UV-B-wavelengths: 280-315 nm;
- UV-C-wavelengths: 100-280 nm.

The sun emits the entire range of UV radiation; therefore, the radiation of the UV-A range and part of the UV-B radiation range reaches the Earth's surface (**Figure 2**). The ozone (triatomic oxygen) layer absorbs most of the UV-B, while the diatomic oxygen in the atmosphere absorbs all radiation below 200 nm $\frac{[4]}{2}$.



Figure 2. Blocking different bands of UV radiation.

UV radiation detection systems use photographic films (nowadays very rarely), thermal, and photon detectors. Historically, the first image-capturing method is one of the oldest and most efficient in the UV range for wavelengths above 200 nm, where gelatine shows noticeable absorption ^[5]. A single exposure allows for the capture of large amounts of data on photographic films. However, they have serious disadvantages: their sensitivity is lower than that of photon detectors, their responsivity has a non-linear dependence on the incident photon flux at a given wavelength, and their spectral response is broad.

On the other hand, the sensitivity of thermal detectors is much lower than that of photon detectors. They are absolute radiometric standards in the ultraviolet range of the spectrum. Photon detectors based on external or internal photoelectric phenomena overcome the abovementioned limitations and offer superior performance. The main objective is to develop surveillance imaging systems for military and civilian applications with the following criteria:

- Not light-sensitive (solar blind);
- High quantum efficiency;
- High dynamic range of operation;
- Low background and floor noise often dominate observations of the weak UV.

Figure 3 shows the classification of ultraviolet detectors.



Figure 3. Classification of UV detectors.

There are two main groups of photon detectors. The first includes those with the external photoelectric phenomenon, which means that photons excite the photocathode to generate photoelectrons, and the external anode registers these electrons. The procedure is used inside the vacuum tube photodetectors, particularly photomultipliers and amplifiers (microchannel plates—MCP).

The second group includes detectors based on the internal photoelectric phenomenon, in which absorbed electromagnetic radiation releases electrically charged particles (electrons and holes) within a material. In this group are included solid-state devices based on silicon (including CCD and CMOS) or detectors made of wide bandgap semiconductors such as AlGaN and SiC. In photovoltaic detectors, the electrical field (p–n junctions, Schottky barriers, or metal-insulator-semiconductor structures) separates the electron-hole pairs, generating a photocurrent. The photocurrent level increases proportionally to the intensity of the incident radiation.

The photodiodes become a more significant type of detector over time. They have many advantages, such as low power dissipation, inherently high impedance, and negligible 1/*f* noise. Due to the fact that they can be easily multiplexed using the ROIC, the number of pixels in two-dimensional (2D) arrays is limited by existing technologies. Due to the higher doping levels in the absorber of the photodiode and the fast collection of the carriers, the photodiode output signal remains linear for substantially higher photon flux compared to photoconductors.

Type of Detector	Detector Structure	Diagram of Energy Band
Photoemissive detector The photoelectric effect involves the emission of electrons when optical radiation hits a photocathode with sufficiently high kinetic anergy, greater than the vacuum level barrier, to leave the photocathode and be emitted as a free electron. Suppose a large electric field is placed between the cathode and the anode. In that case, the emitted electrons are accelerated in the space between the detector electrodes, and the collecting anode produces a photocurrent proportional to the intensity of the incoming photon. In the photomultiplier tube (PMT), photoemitted electrons by a secondary emission process.	Forming professional and the second s	E _x E _y Semicondcutor E _x Vacuum
Photoconductor It is an optical radiation-sensitive photoresistor in which incident radiation creates electron-hole pairs in a homogeneous semiconductor material directly across the band gap. This band gap determines the spectral response. During the same phenomenon, a quantum-well photoconductor photoexcites electrons or holes from the potential well in the band-gap regions of the semiconductor.	Dense meter contact Surface personalizer r(p)-type layer All conting	E second for the seco
P–N junction photodiode This is a widely used photodetector in a typical p-on-n configuration with a shallowly diffused p-region on the n-type active layer. An n-on-p structure is also available. An electric field separates photo-created electron-hole pairs on either side of the junction in the space charge region. The generated photocurrent changes the open-circuit junction voltage or the short-circuit junction current.	Maint contact entre contact of signal signal signal of signal signal signal signal signal signal signal si	Alger region Alger region Property Property Dependent Alger region Compared to the second seco



2. General Theory of Photodetectors

Photon detectors exhibit a selective sensitivity depending on the incident radiation wavelength related to their unit power (**Figure 4**a). This is because, ideally, each photon with an energy higher than the bandgap of the semiconductor generates an electron-hole pair (e-h pairs). For a constant radiation power, the number of photons reaching the detector is directly proportional to the wavelength:

$$N_{photons} = \frac{\Phi}{E} = \frac{\Phi\lambda}{hc},$$

(1)

where ϕ is the photon flux, *E* is the photon energy, *h* is the Planck constant, *c* is the light speed, and λ is the wavelength.



Figure 4. Relative spectral response for photon and thermal detectors analyzing (a) radiation power and (b) photon flux.

Thus, the current responsivity is also directly proportional to the wavelength:

$$R_i(\lambda) = \frac{I_{ph}}{\Phi} = \frac{q\lambda}{hc},\tag{2}$$

where q is the electron charge.

Optical radiation irradiated onto the active surface of the photodetector generates a photocurrent. For a small radiation signal, this current proportionally increases with the radiation power:

$$I_{ph} = q\eta A \Phi g$$
, (3)

where η is the quantum efficiency and *g* is the photoelectric current gain.

The quantum efficiency η of a detector is defined by the number of e-h pairs generated by the photodetector per incident photon. Its standardized value is generally less than unity, expressed in percentage. Some absorbed photons may not be registered based on the collected free e-h pairs because some carriers recombine or become immediately trapped. If the radiation penetration depth $1/\alpha$ (where α is the absorption coefficient) is comparable with the thickness of the semiconductor layer, all photons will not be absorbed. The reflectance of the detector surface and device structure also influences quantum efficiency. That is why reducing the reflections on the semiconductor surface, increasing absorption, and preventing carrier recombination or trapping increase the photodetector's quantum efficiency.

The number of carriers passing through the contacts per generated pair determines the photoconductive gain. The gain quantitively describes the photodetector's current response. For example, the photoelectric current gain equals unity for a typical photovoltaic detector.

Finally, the spectral current responsivity can be expressed by:

$$R_i(\lambda) = \frac{\eta q \lambda}{hc} g. \tag{4}$$

The output signal of infrared detectors can be presented as a function of wavelength ^[6]. In **Figure 4**a, photon detectors are characterized by wavelength-selective response dependence, and the signal is proportional to the photon arrival rate. Since energy per photon is inversely proportional to the wavelength, the spectral response increases linearly with the wavelength. This growth is possible at the so-called cut-off wavelength, which is determined by the material. It is usually defined when the detector's response is reduced by 50% of the peak value.

Thermal detectors have a constant responsivity value for analyzing radiation power. However, their photon flux response decreases with increasing wavelength (a decrease in absorbed energy) (**Figure 4**b). Photon detectors have a higher responsivity and response rate than thermal detectors.

Assuming the same gain values for photocurrent and noise current, the noise current caused by statistical processes of carrier generation and recombination equals ^[6]:

$$I_n^2 = 2\left(G + R\right)A_s t \Delta f q^2 g^2,\tag{5}$$

where *G* and *R* are generation and recombination rates, respectively; Δf is the frequency band; and *t* is the detector thickness.

Detectivity D^* is the most important parameter of the detector, and it is usually used to determine the detector's signal-tonoise ratio. Its value is normalized to the detector area and the noise bandwidth and is described by:

$$D^* = \frac{(A_d \Delta f)^{1/2}}{V_n} R_v = \frac{(A_d \Delta f)^{1/2}}{I_n} R_i = \frac{(A_d \Delta f)^{1/2}}{\Phi_e} (SNR).$$
(6)

Therefore, according to Equations (4) and (5), reaching the following:

$$D^* = \frac{\lambda}{2^{1/2}hc} \left(\frac{A_o}{A_e}\right)^{1/2} \frac{\eta}{t^{1/2}} \frac{1}{(G+R)^{1/2}}.$$
(7)

For a given wavelength and operating temperature, the best detector performance has a maximum value of $\eta/[t(G + R)]^{1/2}$ term, corresponding to the highest quantum efficiency in the thinnest detector. In addition, the total number of generation and recombination acts per time unit [equal to (G + R) $(A_e t)$] should be as small as possible. At equilibrium, the generation and recombination rates are equal (G = R), and for $A_o = A_{e_o}$ obtaining:

$$D^* = \frac{\lambda}{2hc} \frac{\eta}{t^{1/2}} \frac{1}{(G)^{1/2}}.$$
(8)

The noise performance of the detector can also be described by a noise equivalent power (*NEP*). *NEP* corresponds to the incident power on the detector that gives a signal-to-noise ratio (*SNR*) of unity. In terms of responsivity, *NEP* equals:

$$NEP = \frac{I_n}{R_i} = \frac{V_n}{R_v},\tag{9}$$

where I_n and V_n are the current and voltage noises.

Since the noise voltage root mean square (RMS) value is proportional to the square root of the bandwidth, the *NEP* uses a spectral density with a unit of W/Hz^{1/2}. The lowest values of *NEP* (*NEP*_{min}) are obtained at the wavelength λ with the maximum detector responsivity $R_{max}(\lambda)$. Thus:

$$NEP_{min} = NEP(\lambda) \frac{R}{R_{max}}.$$
(10)

The minimum detectable power P_{min} can be calculated using the following equation:

$$P_{min} = NEP(\lambda)\Delta f^{1/2},\tag{11}$$

where Δf is the measurement bandwidth.

The best performance of the photodetector can be achieved when its noise is lower than photon noise. This type of noise is essential because of the discrete nature of the optical radiation. The radiation that illuminates the detector includes the radiation of the object and the background. The limits of most photodetectors' operation can be practically described by the signal fluctuation limit (SFL) and the background fluctuation limit, also known as the background limited infrared photodetector (BLIP).

The values of *NEP* and D^* in the SFL operation equal [7][8]:

$$NEP_{SFL} = \frac{2^{3/2} h c \Delta f}{\eta \lambda},\tag{12}$$

$$D_{SFL}^* = \frac{\eta \lambda}{2^{3/2} hc} \sqrt{\frac{A}{\Delta f}}.$$
(13)

For the application of infrared detectors, the signal radiation is usually lower than the thermal background. If the thermal generation is reduced much below the background level, the background radiation determines the device's performance.

Thus, the mean square value of the noise current is:

$$I_n^2 = 2q^2g^2\eta A\Phi_B\Delta f, \qquad (14)$$

where Φ_{B} is the flux density of the background photon reaching the detector determined by its field of view (FOV) and the temperature of the background.

Using Equations (4), (6) and (14), the NEP is given by $[\underline{9}]$:

$$NEP_{BLIP} = \frac{hc}{\lambda} \left(\frac{A\Phi_B \Delta f}{\eta}\right)^{1/2}.$$
(15)

The expression for the BLIP detectivity limited by shot noise is equal to [8][10][11][12]:

$$D^*_{BLIP} = \frac{\lambda}{hc} \left(\frac{\eta}{\Phi_B}\right)^{1/2}.$$
(16)

The exemplary graph of the spectral detectivity for a background temperature of 290 K and a 2π steradian detector FOV is presented in **Figure 5** [6][13]. It can be seen that the SFL curve crosses the BLIP line near 1.2 µm. From this, it follows that SFL dominates at wavelengths below 1.2 µm, and that the wavelength dependence of detectivity is weak. However, above 1.2 µm, the influence of background radiation is more significant, and detectivity strongly depends on the wavelength.



Figure 5. Spectral dependence of detectivity for photodetectors operating at room temperature in the wavelength range of 0.1–2 µm. Designations adopted: PC—photoconductive detector; PV—photovoltaic detector; and PM—photomultiplier. Additional data for AlGaN photodiodes and other various types of photodetectors (pink color) are from the literature.

The influence of background noise on UV detector performance is related to the solar spectral irradiance and a declining tail of the detector's responsivity characteristics. The solar background noise for solar-blind UV detection is as important as BLIP for infrared photodetectors. The detectivity of background-limited UV photodetectors (BLUP) describes maximum values in the presence of solar irradiance background ^[13]. The so-called lowpass background limit (LBL) performance is calculated for an ideal solar-blind UV detector assuming negligible dark current and an ideal sawtooth-like output signal curve (**Figure 4**a).

For photons below 285 nm, N_{Sun} is very low because of the ozone absorption in the atmosphere. An exponential decrease in BLUP and LBL detectivities from 9.5×10^{17} cmHz^{1/2}W⁻¹ at 285 nm to ca. 10^{12} cmHz^{1/2}W⁻¹ at 300 nm can be noticed due to the increase in solar background noise. They approach a plateau above 300 nm, corresponding to solar spectral irradiance. Moreover, the LBL detectivity becomes lower than the BLUP, which can explain the accumulation of solar irradiance leakage in the range of low-pass responsivity. What is more, AlGaN photodiodes have the highest detectivity (close to the SFL) at 260 nm ^[13], but filters reducing solar-irradiance leakage are required to obtain such high values. The papers signaling the highest detectivities (data highlighted in pink) do not indicate that optical filters were used in the detectors whose characteristics were measured. In addition, some of these papers reported detectivity values exceeding the physical limit of the SFL. On this basis, it can be thought that these published parameters of UV detectors are unreliable or overestimated.

It is believed that these overestimations of detector parameters are a consequence of the:

- Inadequacy of detectivity specifications based on responsivity and noise (shot noise and generation-recombination noise);
- Lack of properly refined protocols for accurately determining figure-of-merit for photodetectors (especially for the newgeneration of two-dimensional (2D) and quasi-2D material ones).

The correct formulas for shot noise and g-r nose include internal gain, g:

$$I_{sh} = \sqrt{2qI_dg\Delta f},$$

$$I_{gr} = \frac{4qI_dg\Delta f}{1+\omega^2\tau^2},$$
(17)
(18)

where I_d is the dark current and τ is the carrier lifetime. The g-r noise is frequency-related, ω .

The highest *D**-values, including unrealistic ones (exceeding SFL limits), are marked for Ga₂O₃ FET phototransistors and a new generation of photodetectors with active areas containing low-dimensional solids, as shown in **Figure 5**.

The last figure of **Table 1** shows a diagram of how a phototransistor works. Its operation is similar to that of a photoconductor. In a photoconductor, the signal is caused by the generation of an electron-hole pair when one type of carrier is trapped by localized states (nanoparticles and defects). The photoconductive gain can be easily determined by the ratio of the lifetime of the free carriers to their transit time between the electrodes of the detector. If the drift length of the carriers is greater than the inter-electrode distance, the free charge swept from one electrode is immediately replaced by the injection of an equivalent free charge on the opposite electrode to maintain charge neutrality in the detector. In this way, the free charge will circulate in the detector circuit until recombination causes signal amplification—it is the so-called photoelectric gain. However, in the case of a phototransistor, the active region of the detector is separated from the substrate by an insulator, which allows the application of a gate voltage, V_G , to tune carrier transport in the active region. The active region is more susceptible to the local electric field than conventional bulk materials, and then the photogeneration effect can strongly modulate the conductivity of the channel by the external gate voltage, V_G . Under such conditions, much higher optical gain can be achieved.

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