

# Carbon Dots: Synthesis and Properties

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Carbon dots (CDs) are zero-dimensional optically active carbon-based nanomaterials with a size of less than 10 nm. The material property of the CD is largely linked to the various bottom-up & top-down synthesis approaches, including surface passivation and functionalization, and the carbon precursors. The CDs can be engineered to enhance the chemical and physical functional properties by doping with heteroatom such as nitrogen, phosphorus, sulfur, fluorine, and boron. Because of its various advantageous properties, CDs are utilized in the field of chemical/biological sensing, bioimaging, and drug delivery. These nanosized CDs can change their light emission properties in response to various external stimuli such as pH, temperature, pressure, and light. The CD's remarkable stimuli-responsive smart material properties have recently stimulated massive research interest for their exploitation to develop various sensor platforms.

Keywords: Carbon dots ; Synthesis ; Bioimaging ; Sensor ; Catalyst ; Nanomaterials ; Drug-delivery ; Photoluminescence

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## 1. Introduction

Since the fortuitous discovery of carbon dots (CDs) in 2004, the interest in these luminescent nanomaterials has been increasing exponentially <sup>[1][2]</sup>. The CDs are quasi-spherical nanomaterials having dimension of less than 10 nm. Compared to the different types of carbon nanomaterials, the CDs have gained enormous attention due to its certain advantages such as inexpensive and easy synthesis, facile surface functionalization, low toxicity, biocompatibility, high water-solubility, tunable luminescence property, and stability at room temperature <sup>[3]</sup>. Consequently, their applications are explored in bioimaging, drug delivery, and chemical/biological sensing <sup>[4]</sup>. Additionally, carbon dot's enzyme-like activity has also attracted wide interest because of their potential to replace the naturally occurring enzymes, as the latter is ordinarily unstable, expensive, and challenging to synthesize on a large scale <sup>[5]</sup>.

At present, the concept of employing CDs as smart material is of great interest in material and analytical sciences. A material is termed "smart" when it experiences variation in response to a significant external physical or chemical stimulus that includes light, temperature, pH, solvent, stress, and electric and magnetic field <sup>[6]</sup>. The synthesis and applications of these smart material or stimulus-responsive materials have been among the principal focus of research in recent times. Such materials can change the outlook of modern science & technology and engineering at large. In this context, CDs have increasingly appealing research interest as potential smart materials for their wide applications in bioanalytical sciences.

## 2. Synthesis

CDs being the rising star of fluorescent carbon nanoparticles have captivated the interest of the researchers for their application in various fields. Depending on the structure, CDs are classified as graphene quantum dots (GQDs), carbon nanodots (CNDs), and polymer dots (PDs). GQDs have graphene layer/s that can be connected with functional groups. CNDs are spherical shaped, and they can be further divided into carbon nanoparticles and carbon quantum dots based on the crystal lattice. PDs are formed due to aggregation or cross-linking of polymers <sup>[7]</sup>. Therefore, different CDs may be devised and engineered to obtain the desired property by following various synthetic routes.

Diverse methods for the synthesis of CDs are known. Typically, the synthesis process can be grouped into two: Top-down and bottom-up <sup>[8]</sup>. The top-down approach usually follows breaking-down/cleaving larger carbon molecules to smaller molecular structures, whereas the bottom-up approach involves building-up/step-wise chemical fusion of small carbon molecules by pyrolysis or carbonization. Some known top-down approaches include electrochemical/chemical oxidation, laser ablation, and ultrasonic treatment, whereas the bottom-up approaches include hydrothermal/solvothermal, microwave-assisted, thermal decomposition, carbonization, pyrolysis, and ultrasonic treatment. Some prominent reports on the synthesis of CDs via these two routes are mentioned in Table 1.

**Table 1.** Synthesis of carbon dots (CDs) following top-down and bottom-up approach.

Precursors	Synthesis Route/Temp/Time	Types of CDs	Size(nm)/QY (%)		Ref
QR, NaOH	Ultrasonic	CDs	2.99/27.7		<a href="#">[9]</a>
	RT/6 h				
CA, PEG	Ultrasonic	CDs	2.38/NA		<a href="#">[10]</a>
	RT/1 h				
Soybean	Ultrasonic	NBDs	24/16.7		<a href="#">[11]</a>
	2 h				
Graphite, ethanol	Laser ablation	CDs	F <sub>s</sub>	1.4/NA	<a href="#">[12]</a>
			2F <sub>s</sub>	2/NA	
	800 nm, 150 fs		F <sub>d</sub> -2	1.1/NA	
			F <sub>d</sub> -10	1.5/NA	
DEASA, H <sub>3</sub> PO <sub>4</sub>	Hydrothermal	CDs	4.69/19.4		<a href="#">[13]</a>
	200 °C/2 h				
Jeera	Hydrothermal	CDs	6–9/5.33		<a href="#">[14]</a>
	250 °C/6 h				
Tobacco	Hydrothermal	CDs	2.14 ± 0.3/27.9		<a href="#">[15]</a>
	300 °C/3 h				
<i>Cryptococcus</i>	Hydrothermal	CDs	4–9/14.13		<a href="#">[16]</a>
	160 °C/1 h				
TMA	Hydrothermal	CDs	4.3/13.4		<a href="#">[17]</a>
	260 °C/12 h				
<i>Agaricus bisporus</i>	Hydrothermal	CDs	NA/4.2		<a href="#">[18]</a>
	160 °C/12 h				

Chitosan, AA	Hydrothermal	CQDs	5/NA	[19]
	180 °C/12 h			
CA, EA	Hydrothermal	CDs	4/NA	[20]
	180 °C/8 h			
Folic acid, Glucose	Hydrothermal	CDs1	1.6/23.5	[21]
	210 °C/12 h	CDs2	2.6/42.8	
Xylose, H <sub>3</sub> PO <sub>4</sub> , m-PD	Microwave	CDs1	6.80/73.6	[22]
	220 °C/10 min			
Xylose, H <sub>3</sub> PO <sub>4</sub> , m-PD	Microwave	CDs2	7.23/56.1	
	200 °C/10 min			
Xylose, H <sub>3</sub> PO <sub>4</sub> , m-PD	Microwave	CDs3	6.80/40.9	
	180 °C/10 min			
Xylose, m-PD	Microwave	CDs4	9.88/65.3	
HNO <sub>3</sub>	220 °C/10 min	CDs5	8.83/49.5	
Xylose, m-PD	Microwave			
CH <sub>3</sub> COOH	220 °C/10 min	CDs6	6.87/42.8	
Xylose, m-PD	Microwave			
	220 °C/10 min	CDs7	16.37/8	
Xylose, m-PD, Na <sub>3</sub> PO <sub>4</sub>	Microwave			
	220 °C/10 min	CDs8	10.70/6.8	
Xylose, m-PD, NaOH	Microwave			
	220 °C/10 min	CDs	4/24	[23]
CA, EDA	Microwave			
	720 W/2 min			

CA, urea	Microwave	CDs	NA/NA	[24]
	750 W/5 min			
Anthracite coal, H <sub>2</sub> SO <sub>4</sub> , HNO <sub>3</sub>	Pyrolysis in oil bath	CDs	3.5/NA	[25]
	120 °C/24 h			
CA, Glu, Asp, lysine	Thermal pyrolysis	CDs	4/8.8	[26]
	200 °C/30 min			
CA, acrylamide	Solvothermal	CDs	9.5/NA	[27]
	200 °C/4 h			
CA	Oven	CDs	3/NA	[28]
	200 °C/1h			

DEASA: 4-(diethylamino) salicylaldehyde; CA: Citric acid; EA: Ethanolamine; AA: Acetic acid; SC: Sodium citrate; QR: quinaldine red; RT: room temperature; PEG: poly(ethylene glycol); NBDs: nano biomass dots; F<sub>s</sub>: single-pulse ablation ( $F_{\text{single}} = 50 \text{ J}\cdot\text{cm}^{-2}$ ); 2F<sub>s</sub>: single-pulse ablation ( $2F_{\text{single}}$ ); F<sub>d</sub>-2: double-pulse ablation ( $\tau_{\text{delay}} = 2\text{ps}$ ); F<sub>d</sub>-10: double-pulse ablation ( $\tau_{\text{delay}} = 10 \text{ ps}$ ); EDA: ethylene diamine; TMA: Trimellitic acid; m-PD: m-phenylenediamine; NA: Not Available.

The different physicochemical properties like the degree of carbonization, crystallinity, size, morphology, and photoluminescence properties are attained depending on the type of synthesis method and the precursor molecule. For instance, the hydrothermal method is less harsh than the pyrolytic method, but the former generally yields incomplete carbonization, molecular fluorophores, amorphous CDs, whereas the latter predominantly yields graphitic core structures. The functional groups like amine, carboxyl, and hydroxyl on the surface of carbon dot can modify the physicochemical properties, biocompatibility, and stability, thus influencing the selectivity, and sensitivity of the CDs in the analytical applications. Additionally, the molecular precursors used for the synthesis of CD influences the degradation process and other environmental behavior [29].

As stated before, various ranges of CD materials with different properties could be achieved by different synthesis conditions. It has been recently observed that if bottom-up synthetic approaches are followed, high PL quantum yield (QY) results. Due to the harsh conditions being applied in the bottom-up synthesis approach, multiple side-products may be produced along with the CDs. Citrazinic acid and other small fluorophore derivatives are produced while synthesizing the nitrogen-doped CDs from citric acid, contributing to the emission in the blue spectral range. Such molecular species and polymerized nanoparticles may attach to the CDs to enhance the optical properties [30][31].

## 2.1. Heteroatoms Doped-CD Synthesis

The inherent properties of the CDs can be modulated by doping with heteroatoms such as nitrogen (N), phosphorus (P), sulfur (S), boron (B), and fluorine (F), individually or in various combinations during the synthesis. The introduction of the heteroatoms further adds new electronic, chemical, and optical functionalities to the CD, thereby broadening its application. Depending on the type of element, doping is categorized into non-metal and metal doping.

### 2.1.1. Non-Metal Doping

Nitrogen-doped (N-doped) CDs are the most commonly explored type of non-metal doping because of the closer electronic structure with that of carbon. The N-doped CDs are fabricated by introducing the nitrogen element to the carbon dot core. For the first time in a study, multicolor emissive CDs were synthesized using inorganic ammonium salt via a solvothermal method. The synthesized CDs with increased nitrogen content resulted in high quantum yield of ~4% and led to the introduction of new functional groups like cyano. However, because of the carbon dot's multicolor emissive property,

these CDs have also opened up various applications for sensing and antibacterial activity [32]. The N-doped CDs developed by using *Lantana camara* berries via a simple green approach exhibited good quantum yield (QY) of 33.15%, high stability, high biocompatibility. Additionally, the N-CDs could also detect Pb<sup>2+</sup> with high sensitivity and selectivity [33]. Red emissive N-doped CDs was synthesised from citric acid and ethylenediamine through a microwave-assisted pyrolysis method. During the synthesis, the effect of the molar ratio of ethylenediamine to citric acid, pyrolysis time, and the concentration of the reactants was taken into consideration. The optimized molar ratio between amine/acid was found to be 0.75 with an exposure time of 88 s in 1100 W microwave power. The fabricated N-doped CDs displayed remarkable biocompatibility and can further be used in bioimaging applications [34]. In most instances, the CDs synthesis with co-doping enhances the properties of the nanomaterial. Interestingly, human fingernails as precursor was used to fabricate N, S co-doped CDs through a simple microwave irradiation procedure that exhibited good stability and a QY of 42.8%. More importantly, the method does not require any passivating agent or a passivation step for the synthesis. The N, S co-doped CDs were employed to detect a synthetic dye, sunset yellow and in cell imaging [35].

### 2.1.2. Metal Doping

Doping CDs with metal ions can modify the charge density and surface properties, thus effectively tuning the optical features of the nanomaterial. For instance, the Cu-doped CDs exhibited enhanced peroxidase-like activity due to the enhancement of the electronic properties and modification of the surface properties after the introduction of the metal ion [36]. In another study, the developed Cu-doped CDs using ethanediamine and copper chloride dihydrate (CuCl<sub>2</sub>·2H<sub>2</sub>O) as precursors possessed notable photostability for up to 60 min. Then again, manganese doped CDs (Mn-CDs) displayed excellent fluorescence QY (>54.4%). By using spectroscopic techniques and DFT-based frontier orbital calculation, it was found that the high QY is due to the change of the oxidation state of Mn from Mn-oxide to Mn-carbonate during the synthesis [37]. Some more examples of metal-doped CDs are given in Table 2 for further reference.

**Table 2.** Synthesis of heteroatoms doped CDs following top-down and bottom-up approach.

Precursors	Synthesis Route/Temp/Time	Types of CDs	Size (nm)/ QY(%)	Ref
Cryomilled graphite, DMF	Laser ablation	N-CDs	3/4.05	[38]
	800 °C/3 h			
2-aminopyrimidine-5-boronic acid	Laser ablation	N, B-CD	3/58	[39]
	170 mW/1014 Wcm <sup>-1</sup>			
Graphite rods and ammonia hydroxide	Electrochemical and ultrasonic	N-CDs	3–5/NA	[40]
	80 °C/3 h			
L-tryptophan, chlorhexidine acetate	Hydrothermal	N-CDs	~4.0/NA	[41]
	200 °C/12 h			
<i>P. acidus</i> , aq. ammonia	Hydrothermal	N-CDs	5/12.5	[42]
	200 °C/12 h			
PVP	Hydrothermal	N-CDs	6.5/6	[43]
	200 °C/6 h			

EDA, CuCl <sub>2</sub> ·2H <sub>2</sub> O	Hydrothermal	Cu-CDs	1.8/7.8	[44]
	180 °C/10 h			
Aphen, CA	Hydrothermal	AC-CDs	25/52	[45]
	200 °C/7 h			
OPD, ABPA	Hydrothermal	B,N-CDs	4.09/8.56	[46]
	160 °C/6 h			
Mn(III)(C <sub>5</sub> H <sub>7</sub> O <sub>2</sub> ) <sub>3</sub>	Hydrothermal	MnO <sub>x</sub> -CDs	5.65 ± 0.30/11.3	[47]
	200 °C/12 h			
Sucrose, nitrobenzene, nitrosobenzene	Hydrothermal	CD-NO, and CD-NO <sub>2</sub>	gCD 11/21	[48]
	180°C/12 h		rCD 13/18	
CA, EDA	Microwave	N-CDs	~5/95, 11	[49]
CA, EDA, sodium borate	300 W/10 min	B-CDs	~5/63, 9	
CA, EDA, K <sub>2</sub> PO <sub>4</sub>		P-CDs	~5/63, 6	
p-PDA, EDA	Microwave	N-CDs	4.8/14	[50]
	500 W/20 min			
TSCDH, Urea, DMF	Solvothermal	N-CD <sub>11</sub>	4.5/21.6	[51]
TSCDH, Urea, DMAC	160 °C/4 h	N-CD <sub>12</sub>	4.5/18.7	
TSCDH, Urea, DEF		N-CD <sub>21</sub>	4.5/17.6	
H <sub>2</sub> O <sub>2</sub> , ethanol, NH <sub>3</sub>	Solvothermal	N-CDs	2.15/56.1	[52]
	180 °C/NA			
CA, PD	Solvothermal	Y-CDs	7.2/24	[53]
	170 °C/4 h			
CA and DAN	Solvothermal	HCP-DB-CDs	2.4/70 ± 10	[54]
	160 °C/6 h			

PAA, CuN, HH, (NH <sub>4</sub> ) <sub>2</sub> S <sub>2</sub> O <sub>8</sub>	Carbonization/polymerization and pyrolyzation	Cu-CDs	2.8/36	[55]
	Stirring/24 h and 400 °C/90 min			
Willow Catkin, Urea and H <sub>2</sub> SO <sub>4</sub>	Combustion	N,S-CDs	7.3/14.3	[56]
Na <sub>2</sub> [Cu(EDTA)] and Ascorbic acid	Thermolysis	Cu-CDs	3.48/9.8	[57]
	250 °C/2 h			

PAA: Poly(acrylic acid); CA: Citric acid; MA: Melamine; DTSA: Dithiosalicylic acid; EDA: Ethylenediamine; TSCDH: Trisodium citrate dihydrate, DMF: dimethylformamide, DMAC: dimethylacetamide; DEF: dimethylformamide; EA: Ethanolamine; Glu: Glutamic acid; Asp: Aspartic acid; AA: Acetic acid; TNP: 3,4,9,10-tetranitroperylene; PEI: poly(ethyleneimine); p-PDA: p-phenylenediamine; OPD: O-phenylenediamine; ABPA: 3-aminophenylboronic acid; PD: 2,3-phenazinediamine; Aphen: 5-amino-1,10-phenanthroline; Ru-Aphen: 5-amino-1,10-phenanthroline ruthenium (II) complex; AC: ammonium citrate; SBH: sodium borohydride; DAN: diaminonaphthalene; CuN: Copper nitrate; HH: Hydrazine hydrate; DMF: dimethylformamide; NA: Not Available.

## 2.2. Surface Passivation and Functionalization of CDs

Apart from doping, the intrinsic properties of the CD can be effectively tuned during their synthesis by tailoring the surface chemistry via surface passivation/functionalization. During the process of surface passivation, functional groups like -OH, -COOH, and -NH<sub>2</sub> are introduced onto the surface of the CDs, which further enhance the physical property such as solubility and specific chemical reactivity in general as well [58]. In a study, Bai et al. demonstrated that the polydopamine (PDA) passivated CDs exhibited triple times more QY than the original CDs in the absence of PDA and also displayed 1.5 times enhancement of nucleation site for CD formation [59].

The surface functionalization of the CDs with different precursors such as L-cysteine (N, S), ethylenediamine (N), and glycine (N, O) resulted in high fluorescence QY and improved selectivity for different metal ion detection due to different binding abilities [60]. Understanding the interaction between the CD system and the passivating agents is crucial to tailor the CD's fluorescent properties. For example, the synthesis routes influence the CD-polymer nanoparticle system's interaction, that ultimately influencing the photoluminescence property [61]. Ions like Zn<sup>2+</sup> was also used to develop passivated CDs using zinc gluconate by pyrolysis method of synthesis. The presence of Zn<sup>2+</sup> during the synthesis prevents the aggregation and improves the stability and optical property of the synthesized CD [62].

The passivation methods and surface functionalization are followed not only to produce better stability and improve the PL intensity but also to enhance other vital characteristics of CDs. Chirality is very important in terms of catalysis, chiral recognition, and even sensing. Recently, Rao and the group developed a strategy using the surface passivation method to prepare chiroptical carbon quantum dots via two steps pyrolytic route. They used tartaric acid and citric acid as carbonaceous sources while producing carbon core, whereas D/L-penicillamine molecules were used to surface passivating agent. In order to retain the chiral information, one of the critical findings of the study was to maintain the reaction temperature lower than the melting point of the ligand in the second pyrolytic step. Interestingly, the strategy did not depend on the chirality of the carbon source [63]. Another interesting study is the effects of surface functionalities of carbon dot during cellular uptakes. Here, the CDs which were passivated with two chemical moieties, 3-ethoxypropylamine (EPA-CDs) and poly(ethyleneimine) (PEI-CDs) were considered to evaluate their uptake mechanism, pathways, and effects in HeLa cells (human cervical carcinoma cells). As expected, the internalization pathways of the two CDs were different in the HeLa cells; however, the efficiency of the internalization process with PEI-CDs was higher in comparison to the EPA-CDs. The different moieties present on the surface of CDs could affect the overall behaviors of CDs uptake [64].

## 2.3. Characterization of CDs

The unique and typical properties of CDs are exhibited due to their size, shape, chemical skeleton/composition, and structure. So, there have been continuous attempts to explore robust and reliable techniques for their characterization. In this section, some of the updated characterization techniques for CDs are discussed briefly as the characterization of CDs

has been discussed more in recent reviews and chapters [65][66][67].

### 2.3.1. Microscopic and Diffraction Technique

Non-destructive imaging and microscopic techniques are used to study morphology and different dimension of nanosized particles. Some of the techniques which are being routinely explored for the measurements of CDs are transmission electron microscopy (TEM), scanning electron microscopy (SEM), atomic force microscopy (AFM), X-ray diffraction (XRD).

The morphology, size distribution, or particle size of carbon dots can be investigated using TEM and SEM. These techniques can be used to determine agglomeration or dispersion of the prepared particles as well. SEM technique is employed to investigate if the particle size ranges from 1–20 nm, and in case if the measurement exceeds the resolution of SEM, TEM, which could offer better-resolving power, is more advised. Nowadays, high-resolution TEM (HRTEM) is extensively used to study the structure and crystalline nature. To understand dimensional surface images of CDs, AFM is being used to obtain 2D images and 3D information of the surface morphology and topography of CDs. Depending on their diffraction patterns, crystalline materials can be characterized by XRD. Thus, the particle size, crystal structure, and purity of carbon dots are investigated through this technique. It is a valuable characterization technique to obtain crystallite features, but the technique could also be used to study amorphous CDs [71][68].

### 2.3.2. Spectroscopic Technique

Spectroscopic techniques can characterize the synthetic features of carbon dots. Some of the commonly used spectroscopic tools are ultraviolet-visible spectroscopy (UV-Vis), Fourier transform infrared spectroscopy (FTIR), nuclear magnetic resonance spectroscopy (NMR), photoluminescence spectroscopy (PL), and Raman spectroscopy.

FTIR determines and identifies the functional groups such as carbonyl ( $\text{-C=O}$ ), amine/amide ( $\text{-NH}_2/\text{-CN}$ ), hydroxyl ( $\text{-OH}$ ), ether/epoxy ( $\text{-O-}$ ), and others that are present on the surface of the CDs. The presence of moieties and heteroatoms in CDs such as boron (B), nitrogen (N), sulfur (S), silicon (Si), and phosphorus (P) could be identified using such technique. The fine structure information of metal-doped CDs such as aluminium (Al), nickel (Ni), or magnesium (Mg) can be further characterized by X-ray photoelectron spectroscopy [65].

Another important spectroscopic technique is Raman spectroscopy, a non-destructive and non-invasive method to identify the CDs state. Generally, Raman spectra of carbon dots have two first-order bands, i.e., D- and G-band. D bands signify the vibration of carbon atoms of disordered graphite or glassy carbon, whereas the G band represents the vibration of  $\text{sp}^2$  carbon atoms. The degree of purity or graphitization of CDs could be estimated by the ratio of D band and G band (D/G). A high D/G ratio indicates the amorphous nature, and a high degree of graphitization gives a relatively lower D/G ratio.

NMR usually employs to understand the structural information of CDs further. The presence of  $\text{sp}^2$  carbon,  $\text{sp}^3$  carbon, functional groups like  $\text{-C=O}$ ,  $\text{-NH}_2$ , and  $\text{-OH}$  can be distinguished with the resonance spectroscopy.  $^{13}\text{C}$ -NMR could be used to distinguish further and confirm the presence or absence of aliphatic carbon or aromatic carbon on the CDs skeleton. The optical properties of CDs are extensively investigated with the help of UV-Vis and PL spectroscopic techniques. The absorption and the photoluminescence properties of CDs are explained more in the later part of the review.

One of the powerful tools to elucidate the chemical structure of smaller-sized nanoparticles is mass spectrometry (MS). Some of the MS techniques which have been applied and used to characterize CDs are electrospray ionization quadrupole time-of-flight tandem mass spectrometry (ESI-Q-TOF-MS/MS), inductively coupled plasma-mass spectrometry (ICP-MS), and matrix-assisted laser desorption/ionization time-of-flight mass spectrometry (MALDI-TOF-MS) [69][70].

## 3. Properties

CDs are fascinating nanomaterials with remarkable inherent physical and chemical properties that allow their application in diverse fields. Therefore, an in-depth study and understanding of the CD's optical, physical, and chemical properties are essential to further improve its characteristics in order to broaden its applications.

### 3.1. Physical Property

#### 3.1.1. Chemical Structure

Carbon dots usually are less than 10 nm with quasi-spherical nano-sized carbon particles. However, as mentioned earlier, synthesis routes dictate the various chemical structure of carbon dots. For instance, GQDs are anisotropic with a crystalline structure of one or more graphene layers. Different analytical techniques such as microscopic, spectroscopic,



spectrometric, and diffraction methods are employed to confirm and ascertain the morphology, functional groups, size distribution, and crystalline nature of CDs. For example, the morphology of microstructure CDs-based lubricants following the ultrasonic approach was studied with TEM and HRTEM and found to be 2.38 nm on average and highly crystalline with 0.21 nm lattice spacing and (100) graphene plane [10]. The structural elucidation of defects and or graphitization could be further confirmed with Raman spectrometry by analyzing the G band and D band. By following the pulsed laser ablation synthesis method, nitrogen-doped GQDs were prepared and obtained a 3 nm particle size by Neon and co-workers. The as-prepared N-GQDs exhibited 1565  $\text{cm}^{-1}$  as G band and 1311  $\text{cm}^{-1}$  as D band in Raman spectrum, confirming the disordered structure [38]. XRD is a powerful instrument to characterize the physical state of the synthesized carbon dots. The amorphous character of CDs is observed as a broad hump, which is centered in and around  $2\theta = 26^\circ$  in the XRD profile. Nearly spherical amorphous clusters of ~4–18 nm diameters were observed in the self-passivated CDs from dextrose by following the ultrasonication approach [71].

## 3.2. Chemical and Optical Property

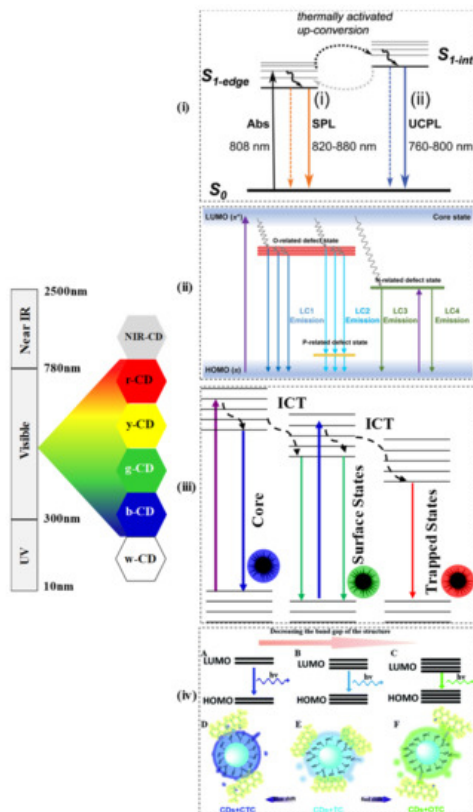
### 3.2.1. Ultraviolet-Visible Absorption

The basic chemical structure of CDs can be significantly elucidated by the typical UV-vis spectral analysis. The presence of  $\pi$ - $\pi^*$  ( $\text{C}=\text{C}$ ) and  $n$ - $\pi^*$  ( $\text{C}=\text{O}$ ,  $\text{C}-\text{N}$ ,  $\text{C}-\text{S}$ , etc.) transition of the CDs skeleton indicates the type of surface functional groups, routes of CD synthesis, precursors, and chemical environment. For example, the absorption bands of CDs at around 273 nm and 342 nm may imply  $\text{sp}^2$  hybridization of the  $\pi$  electrons and  $n$ - $\pi^*$  transition, respectively [13]. Again, the combination of the same carbon but different nitrogen sources also influences the absorption bands position [54][72]. The presence of heteroatoms (such as N, O, P, B, S, and Se) in the CD's molecular structure also results in the fluctuations of the UV-vis peaks. The N, S-CDs fabricated from 3-aminothiophenol via a one-pot hydrothermal method showed two absorption shoulders at 298 nm, and 354 nm attributed to  $n$ - $\pi^*$  transition and heteroatoms N and S surface states defect, respectively [73].

### 3.2.2. Photoluminescence

The most impressive characteristic feature of CDs is the photoluminescent (PL) or the fluorescent property, which has allowed them to expand their field of applications. The PL of CDs is influenced by surface chemistry, quantum size effect, and molecular states of the carbon core. The up-conversion photoluminescence (UCPL) in the near infrared region carbon dots (NIR-CDs) may be because of the thermally activated electron transition from  $\text{S}_{1\text{-edge}}$  to  $\text{S}_{1\text{-int}}$  in the excited state as shown in Figure 1i [74]. The various synthetic approaches (as shown in Tables 1 and 2) along with different starting materials result in the generation of CDs with unique structures and different luminescent behaviors such as white, blue, green, yellow, red, and deep ultraviolet emission. Since the CDs are fabricated from variable carbon sources via different routes, the PL behavior also depends on the size, solvent, pH, and many more. Generally, due to the diverse electronic transition pathways, the CDs exhibit broad, symmetrical luminescence spectra across the whole wavelength scale. Various energy levels may be created by O-containing groups (named as O-related defect state), P-containing groups (named as P-related defect state), and N-containing groups (named as N-related defect state) on  $\text{sp}^2$  hybridized carbons of the synthesized CDs as shown in Figure 1ii [22]. Moreover, compared to the quantum dots and other organic dyes, the CDs usually exhibit large Stokes shifts. The QY of the CDs depends on the surface chemistry and preparation methods. Yellow-emissive CDs from anhydrous citric acid and 2,3-phenanzinediamine were prepared that exhibited large Stokes's shift (188 nm), excellent stability, and 24% quantum yield [53]. In another study, multi-color PL emissive CDs were synthesized from m-phenylenediamine (m-PD) and o-phenylenediamine (o-PD) and in the presence and absence of tartaric acid as the starting materials. Tartaric acid played a crucial role in tuning the surface state of CDs, such as the increase in surface oxidation and carboxylation. In the presence of tartaric acid (TA), the CDs from m-PD and o-PD exhibited green color and red color respectively whereas, in the absence of TA, CDs from m-PD and o-PD exhibited blue color and yellow-green color respectively. In particular, the red-CDs exhibited a high QY of up to 22.0%. [75]. The doping of CD changes the excitation-dependent PL. In a study, the emission of a bare CD that was found to be excitation-independent, showed a large red shift when it was doped with nitrogen. The reason is ascribed to the superimposition of blue (intrinsic molecular centers) and green emission bands (extrinsic molecular centers). In the emission process, the energy is transferred from the electron-hole pair formation at the intrinsic centers of the core to the extrinsic surface centers. Due to the surface defects and hybrid nanostructure in the mesoporous matrix, the contribution of the two bands modified, enhancing the tunability of the emission, thus promoting the green PL from blue emission. Besides, the QY of the CDs was found to vary, for the bare CDs (1.4%), N-doped CDs (22%), and purified N-CDs (28%) [76]. In another study by Kipnusu and co-researchers, nitrogen and boron doped carbon dots exhibited enhanced intersystem charge transfer (ICT) due to the presence of donor-acceptor moieties. Due to the ICT, the synthesized CDs produced triple color emission as shown in the Figure 1iii [39]. The properties of PL are controlled by the surface functionalization of CDs as well. Recently, surface functionalization was shown to enlarge and narrow the band gap energy. The fluorescence of

synthesized CDs could be quenched by tetracycline (TC) due to inner filter effect, whereas by introducing chlorotetracycline (CTC), blue-shift fluorescence was induced which may be due to enlarged energy band gap, and upon introducing oxytetracycline (OTC), fluorescence experienced red shift which could be because of the narrowed band gap as shown in Figure 1iv [15]. In a recent report, the addition of a long-alkyl chain to the CDs promoted the emission of white luminescence under UV light (365 nm), which may be due to the inhibition of the aggregation-caused quenching effect. Additionally, the alkyl chains can effectively interact with the lipophilic fatty residues that can increase the potential applications of the developed white-CDs [77]. Metal ion-dependent PL quenching of CDs has become a known phenomenon. In a report, the quenching mechanism has been identified as the photoinduced transfer of electrons from amine functional groups of the CDs to the respective metal ions [78].



**Figure 1.** The band gap and transition of various color exhibited by CDs (near infrared CD: NIR-CD, red-CD: r-CD, yellow CD: y-CD, green CD: g-CD, blue CD: b-CD, white CD: w-CD). (i) Proposed mechanism of up-conversion PL in Near IR (NIR) CDs [74] (Reproduced with permission), (ii) Proposed mechanism of CDs with O-defects, P-defects and N-defects states [22] (Reproduced with permission). (iii) Energy diagram of the CDs indicating the role of intersystem, charge transfer [39] (Reproduced with permission). (iv) Band gap transitions of CDs by introducing CTC, TC, and OTC [15] (Reproduced with permission).

### 3.2.3. Electron Transfer of CDs

Typically, CDs can act as both an electron acceptor and donor. In an interesting study, considering the exceptional electron mobility property of the CDs at room temperature, CDs functionalized with ionic liquid were fabricated for their application as nanofluid in the field of energy. The nanofluids comprising of organic/inorganic hybrid systems could be used as electrolytes and separators for energy storage. Highly conductive Cdots, Cdots/[Bmim]Cl/[Tmi][Trif] and CdotsCHI/[Bmim]Cl/[Tmi][Trif], could be entrapped in poly(vinyl alcohol) membrane, which exhibited high proton conductivity. Moreover, the nanofluid's features remain constant for four months, even on wetting/drying cycles [79]. One of the most common applications of CDs is in the field of photocatalysis. The photocatalytic performance of the graphitic and amorphous CDs, which were synthesized using fructose, glucose, and citric acid, was investigated. In this study, the type of carbon source and the synthesis route that ultimately determines the potential of the photoelectron transfer determined the CD's structure and optical properties [80]. Pure carbonaceous CDs (without dopants) in graphitic form exhibited better photoactivity than the amorphous one, whereas, in the case of nitrogen-doped CDs, the amorphous CDs exhibited better photoredox-activity due to the presence of photo-active molecule. The graphitic defects and the dopant can quench each other, which reduces the photoactivity [81].

Heteroatom doping in carbon materials has long been used to create active catalytic sites and increases oxygen reduction reactions (ORR) in electrocatalysis. The performance of carbon-based catalysts, composites of doped CD and reduced graphene oxide (CD/rGO), and directly doped rGO were studied. In the finding, CD/rGO outperformed in ORR

measurement to their corresponding counterparts, and it is noted that N, S-co-doped too performed better than the individual doped N-CDs or S-CDs. Some of the reasons for such behaviour could be the synergistic effects of N, S-co-doping providing four-electron transfer pathways in ORR, active sites of on the CD surfaces and located at the edges/defects in abundant, which may have more accessibility to oxygen molecules. Another reason could be the effective components like graphitic N atoms and C-S-C/S-N species where current density and half-wave potential could be improved [82].

#### 3.2.4. Cytotoxicity and Photostability of CDs

CDs are mostly known for their fascinating biocompatibility and relatively less toxicity, thus fulfilling the required conditions for diverse applications. The cytotoxicity of CDs, both in vitro and in vivo conditions, are extensively investigated. Moreover, CD's photostability is a crucial characteristic that can be explored for its efficient use as stable fluorescent probes. As the name suggests, photostability here means the labelled cell's fluorescence intensity remains stable for a considerable time. With this property, CDs are growingly used in cell imaging. Semi-conductor quantum dots were used in bioimaging methods because of their better photophysical properties than organic chromophores. The use of the conventional metal based QDs for biomedical applications are limited because of toxicity of the heavy metals like Pb and Cd, present in these nanomaterials. Since CDs are free from toxic heavy metals and possess high photostability, these are used in the bioimaging fields and medical diagnosis as well. However, a report of low toxicity of metal chelated CQD is available. A CQDs from citric acid and polyethylenimine was synthesised and covalently conjugated with 1,4,7,10-tetraazacyclononane (DOTA) to chelate lanthanide ion ( $\text{Ln} = \text{Eu}, \text{Tb}, \text{Yb}, \text{and Gd}$ ). The CQDs-DOTA-Ln exhibited low cytotoxicity against Hela cells even at its high concentrations (500  $\mu\text{g/mL}$ ) [83]. However, the synthesis of metal-doped CDs is time-consuming, complicated, involves multiple steps, and requires post-synthetic treatments. In a recent development, ruthenium-containing CDs (Ru-CDs) were fabricated using a simple and efficient strategy. The developed CDs exhibited enhanced red fluorescence compared to the bare CD and Ru-complex and were employed as bioimaging agents for tumour cells and as photodynamic nanoagents for cancer therapy [84].

#### 3.2.5. Emerging property: Chirality of CDs

More recently, efforts have also been made to develop chiral carbon dots for their application in a myriad of exciting areas ranging from sensing of chirality, separation of chiral molecules, chiral catalysis, bioimaging, and biomedicine. Among the two types of CD synthesis approaches, the "bottom-up" approach usually generates better chiral CDs as the precursor molecules themselves are chiral and therefore do not need the introduction of chiral ligands during the synthesis process [85]. A hybrid CD/CNC (cellulose nanocrystal) nanoparticle synthesized via hydrothermal route showed a dissymmetry factor of 0.2, which is higher than that of the reported dye/CNC hybrids. Furthermore, the nanoparticle displayed higher left-handed circularly polarized luminescence (CPL) emission than right-handed CPL emission. Based on the significant findings, these hybrids have a promising application to remove autofluorescence drawbacks in bioimaging. The CD/CNC hybrids can also be effectively employed in sensing, drug delivery, and photonic applications as mirror-free cholesteric lasers [86]. In another interesting study, using the concept of donor-acceptor complex formation between CDs and porphyrins, the chirality of the carbon nanodots was transferred to the porphyrin. This experimental finding provided the possibility of forming chiral composites for different applications [87]. In another approach to enhance the material property for biomedical applications, chiral CDs derived from glutamic acid were doped into gels as the latter display superior biocompatibility. An important aspect of such a doping process is the requirement of chiral match ability between the CD and the gelator, which would otherwise lead to the disintegration of the gel. It was shown that the doping of the chiral CD with the gelator (N,N-bis(octadecyl)-D-aminoglutamic diamide) to form gel resulted in the fluorescence enhancement of the CD. The enhancement was assumed to be the restriction of Brownian motion in the gel system that otherwise is responsible for decreased fluorescence intensity in the liquid system due to collisions between the CDs [88]. Fluorescence off-on sensors have an enormous demand for the development of smart monitoring systems. Using chiral CD synthesized from citric acid and L-aspartic acid, an on-off and off-on fluorescence sensor was developed to detect both  $\text{Sn}^{2+}$  ion and L-Lysine enantiomer. While the binding of  $\text{Sn}^{2+}$  on the surface of the CD resulted in fluorescence quenching (on-off), the addition of L-Lysine to the CD-Sn complex resulted in the enhancement of the fluorescence (off-on) as the L-Lysine preferentially has a stronger binding affinity for  $\text{Sn}^{2+}$  thereby recovery of the CD's fluorescence [89].

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