Atropisomers by Oxidative Aromatization

Subjects: Chemistry, Organic

Contributor: Clément Lemaitre , Stefania Perulli , Ophélie Quinonero , Cyril Bressy , Jean Rodriguez , Thierry Constantieux , Olga García Mancheño , Xavier Bugaut

Atropisomers are fascinating objects of study by themselves for chemists but also find applications in various subfields of applied chemistry. Among these strategies, oxidative aromatization with central-to-axial conversion of chirality has gained increasing popularity. It consists of the oxidation of a cyclic non-aromatic precursors into the corresponding aromatic atropisomers.

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1. Introduction

1.1. Interest of Atropisomers and Synthetic Methods to Prepare Them

Described for the first time 100 hundred years ago ^[1], atropisomers are conformers that gained enough stability because of the hindered rotation around a single bond. They are, thus, considered configurational stereoisomers ^[2], in which the bond with hindered rotation becomes a stereogenic axis. A half time life higher than 10^3 s is usually set as the limit to claim their existence, corresponding to a barrier to rotation of 93 kJ·mol⁻¹ at 300 K ^{[2][3]}. However, to be synthesized, stored, and used at ambient or biological temperature, higher barriers to rotation of ≥ 105 kJ·mol⁻¹ are generally required. The most frequently encountered atropisomers are biaryl compounds bearing *ortho* substituents to prevent the rotation around the biaryl bond. However, non-biaryl based atropisomers also exist ^{[4][5][6]}.

In view of their unique properties, atropisomers have received much attention from researchers in all sub-fields of chemistry (**Figure 1**) ^{[7][8][9]}. They are present in natural products ^{[10][11]}, such as gossypol (1) ^[12], and bioactive compounds ^{[13][14][15][16][17]}, such as HIV integrase inhibitor (2) ^[18] and kinase inhibitor (3) ^[19], but they also find applications as metal ligands, such as BINAP (4) ^[20], organocatalysts, such as chiral phosphoric acid (5) ^[21], in polymer science ^{[22][23]}, etc.



Figure 1. Atropisomers: examples and their fields of application.

Considering the importance of atropisomers and the fact that the two enantiomers are likely to have different behaviors when placed in a chiral environment (as is the case for biological applications), methods to obtain them in enantioenriched form have been actively pursued. While resolution of the racemate is often used, the development of enantioselective synthetic methods can be highly appealing since (i) they obviate the need to discard the unwanted enantiomer or recycle it by enantiomerization; and (ii) they stimulate the creativity of organic chemists.

Enantioenriched atropisomers can be synthesized by a variety of methods, which can be classified into six main categories (Scheme 1): [24][25][26][27][28][29][30]



Scheme 1. Synthetic strategies to prepare atropisomers (presented on biaryl atropisomers for better readability).

- Route 1: Kinetic resolution based on the difference of reactivity of the two atropisomers with a chiral reagent or catalyst. Dynamic kinetic resolution can be envisaged if the two atropisomers are in fast equilibrium in the reaction conditions [31][32][33].
- Route 2: Desymmetrization relying on the selective reaction of one enantiotopic group of the prochiral precursor [34][35][36].
- **Route 3:** Atropodiastereoselective construction of the stereogenic axis, for example, by cross-coupling or C-H activation, relying on preexistent stereocenter(s) at the periphery of the aromatic rings [37][38][39][40].
- Route 4: Atropoenantioselective construction of the stereogenic axis using a chiral catalyst [41][42][43].
- **Route 5:** Atroposelective ring construction, notably using [2+2+2] cycloaddition or alkyne hydroarylation reactions [44][45].
- Route 6: Control of central chirality followed by central-to-axial *conversion of chirality* during aromatization [46]
 [47][48][49]

It should be noted that routes 1 and 2 start from substrates where the bond of the stereogenic axis and the two aromatic rings already preexist. On the contrary, substrates where the bond does not exist are involved in routes 3 and 4, whereas routes 5 and 6 involve substrates where at least one aromatic ring has to be constructed.

1.2. Defining Conversion of Chirality

Researchers wish to define as *conversions of chirality* ^[50] all synthetic operations where a stereogenic element is forged in a molecule concomitantly with the destruction of a stereogenic element of a different type (Scheme 2a). The selection of this name requires an in-depth explanation:



Scheme 2. What is and is NOT a conversion of chirality?

- At first, chirality is used in a quite improper sense, as it is normally applied to a molecule or an object in its entirety, while the process discussed here falls within local stereochemistry discussions ^[51]. *Conversion of stereogenicity* should, in fact, be recommended, but it seems completely absent from the literature and perhaps more difficult to comprehend for those who are not specialists of this field.
- *Conversions* of chirality are also referred to in the literature by several other denominations, which all appear to researchers as less suited. *Transfer* has long been the most frequently used term ^{[52][53][54]}, but it brings the idea of relocation rather than transformation. For researchers, it should be restricted to reactions where the stereogenic element that is destroyed and the one that is created are of the same nature, such as in S_N2' reactions with allylic leaving groups (Scheme 2b) ^[55]. *Exchange* ^{[49][56]} and *interconversion* ^[47] can also be encountered, but they evoke the idea of reversibility, which is generally not the case in those transformations.

Having defined the conversion of chirality, researchers now wish to point out which type of phenomenon should NOT be called so. Indeed, all the terms presented above are often misused to describe phenomena that are fundamentally different from conversion of chirality. *Conversions/transfers/interconversions/exchanges of chirality* are by nature potentially stereospecific processes and should be clearly distinguished from diastereoselective reactions. Researchers can, for example, cite those where the configuration of a stereogenic axis is controlled thanks to the presence of one or several stereogenic centers in the surroundings, which remain completely untouched during the transformation (Scheme 2c) ^{[57][58][59][60][61]}. Rather, they belong to the strategy exposed in

Route 3 above. Sometimes these terms are even used for reactions that involve only an inversion of configuration, such as in a S_N^2 reaction (Scheme 2d) ^[62].

Being a phenomenon of enantiospecific nature, the efficiency of a conversion of chirality should be evaluated by comparing the relative enantioenrichment of the product and the substrate. In order to normalize this evaluation, Bressy, Bugaut, and Rodriguez introduced the notion of conversion percentage (*cp*) ^[63], which can be calculated as such:

$$cp = \frac{ee (product) \times 100}{ee (substrate)} \tag{1}$$

2. Historical Background

2.1. A surprisingly Early First Example!

From an historical point of view, it is quite amusing to realize that the first central-to-axial conversion of chirality was achieved in 1905 by Freund, even before the description of axial chirality, during reactivity studies on the natural product thebaine (6) (Scheme 3) ^[64]. Of course, it could not be identified at that moment, and it took almost half a century before the real explanation of what happened could be given by Robinson and Berson ^{[65][66][67]}. Treatment of **6** with phenylmagnesium bromide resulted in a complex rearrangement with aromatization to deliver biaryl atropisomer **9** as a mixture of two diastereomers. The reaction proceeds through the opening of the oxa-bridge with rearrangement of the carbon skeleton to afford **7**, which can aromatize to **8**. At this stage, the three initially present stereogenic centers have been destroyed with the concomitant installation of the stereogenic axis. The reaction terminates with trapping of the iminium ion by the Grignard reagent, giving rise to the formation of **9** as two diastereomers, which complicated the understanding of the transformation.



Scheme 3. Rearrangement of thebaine: the first example of central-to-axial conversion of chirality during aromatization.

2.2. Formulating Research Hypotheses to Achieve Conversion of Chirality

With the understanding of this phenomenon, Berson formulated three fundamental conditions that must be satisfied to allow the synthesis of atropisomers with central-to-axial conversion of chirality ^[50]:

- · Centrally chiral non-aromatic precursors should be accessible in enantioenriched form;
- Steric hindrance around the stereogenic axis should be sufficient to ensure its configurational stability;
- Aromatization should occur with a preservation of the enantiopurity.

At that time, Berson could not design a suitable enantioenriched precursor (Scheme 4) and, apart from some other degradation studies in natural product chemistry ^{[68][69][70]}, the situation remained as such for almost thirty additional years.



Scheme 4. Model proposed by Berson to study aromatization with central-to-axial conversion of chirality.

2.3. Verification of Berson's Hypotheses by Meyers

Berson's hypotheses were first confirmed by the explicitly designed central-to-axial conversion of chirality during aromatization proposed by the group of Meyers in 1984 (Scheme 5) ^[52]. Chiral oxazoline containing quinoline **10** underwent an efficient dearomatization by addition of naphthyllithium to obtain the corresponding dihydropyridine (*S*)-**11** with a diastereomeric ratio of 88:12. The sequence went on with an oxidative rearomatization with DDQ, yielding 4-arylquinoline atropisomer (*aS*)-**12** with a 84:16 *dr*, indicating a conversion percentage of 90%. In order to confirm that the enantioselectivity was not induced by the chiral oxazoline, it was deprotected to afford aldehyde (*S*)-**13**, which was oxidized in the same condition to obtain the pyridine (*aS*)-**14** with an enantiomeric excess of 80%. Those experiments brought the first definitive evidence that central-to-axial conversion of chirality can be an efficient strategy for the preparation of enantioenriched atropisomers.



Scheme 5. First explicitly designed example of central-to-axial conversion of chirality during aromatization.

In this seminal work, Meyers also proposed a stereochemical model inspired by Curtin–Hamett principle, which can be applied to all examples ^[52]. 1,4-Dihydropyridines (DHP) adopt very flat boat conformations ^[71], with the bulkiest substituent at position 4 on pseudo-axial position to escape gauche interactions with adjacent substituents. On the basis of analyses of the 1,4-dihydroquinoline (*S*)-**15** by X-ray diffraction and NMR, two stable conformers with a rotation barrier below 75 kJ·mol⁻¹ could be identified, allowing for a rapid interconversion at room temperature. One conformer is the *anti*-periplanar (*ap*)-(*S*)-**15** in which the non-connective benzene of the napthyl group is below the 1,4-dihydroquinoline core and *anti* to the hydrogen atom, and the other one is the *syn*-periplanar (*sp*)-(*S*)-**15** in which this benzene unit points towards to the hydrogen atom (Scheme 6). Despite the fact that the (*sp*)-(*S*)-**15** conformation is more stable ^[72], the configuration of the final product (*aS*)-**16** indicated that the (*ap*)-(*S*)-**15** *anti*-periplanar conformation, with the bulky group as far as possible from the hydride to be abstracted, was reacting. This result could be explained by the less important hindrance around the abstracted hydride in this conformation.



Scheme 6. Curtin–Hammett-type description of the stereochemical model during oxidative aromatization with central-to-axial conversion of chirality.

3. Synthesis of Pyridine Atropisomers

3.1. 4-Arylpyridineatropisomers

Following Meyer's initial achievement, other research groups applied similar strategies to the synthesis of pyridine atropisomers. The group of Straub was the first one to expose the strong dependence of conversion of chirality's efficiency on the choice of the oxidant in 1996 (Scheme 7) ^[73]. Indeed, starting from enantiopure (*S*)-**17**, obtained by preparative chiral HPLC on chiral stationary phase, they could convert it either to (*aS*)-**18** with 94% *ee* using MnO₂ or into its antipode (*aR*)-**18**, also with 94% *ee* using NOBF₄ as the oxidant. This enantiodivergence is likely to arise from the difference in mechanisms and steric requirements between the two oxidants. It should also be noted that other oxidants gave moderately or poorly efficient conversion of chirality (for example: 83% *ee* in favor of the (*aR*) enantiomer for KMnO₄ or 18% *ee* in favor of the (*aS*) enantiomer for Cu(NO₃)₂). Interestingly, electrochemical reduction of (*aR*)-**18** with axial-to-central conversion of chirality could turn it into (*R*)-**17** with 91% *ee*, achieving a formal inversion of configuration of the 1,4-dihydropyridine.



Scheme 7. Enantiodivergent oxidation of a 4-aryl-1,4-dihydropyridine in 4-arylpyridine atropisomer and subsequent inversion of configuration of the 1,4-dihydropyridine.

In this case, the two conformers of the DHP could be observed, and the X-ray structure confirmed that the *syn*-periplanar (*sp*)-(*S*) conformer is the most stable one. The calculation carried out a few years later showed an energy difference of 15 kJ·mol⁻¹ between the two conformations ^[74]. This follow-up study also disclosed that *N*-oxoammonium salt TEMPO⁺BF₄⁻ is an appealing oxidant for such processes.

A strong limitation for the implementation of oxidative aromatization with central-to-axial conversion of chirality has long been the preparation of enantioenriched substrates. The key to force this lock was the strategy introduced by Bressy, Bugaut, and Rodriguez in 2016, which combined the organocatalyzed enantioselective synthesis of non-aromatic heterocycles with oxidative aromatization with central-to-axial conversion of chirality ^[63]. This first example consisted of an enantioselective pyridine Hantzsch synthesis (Scheme 8). Takemoto thiourea catalyst **19** was used to perform the enantioselective Michael addition between cyclic 1,3-diketones **20** and highly congested enones **21**, followed by cyclodehydration in the presence of NH₄OAc to afford the 4-aryl-1,4-dihydropyridines **22** with good to excellent yields and enantiomeric excesses. Screening of numerous oxidants revealed that only MnO₂ was efficient at converting the stereochemical information. Cyclohexane was selected as the best solvent to perform the oxidation, and the corresponding 4-arylpyridine atropisomers **23** were obtained with good to excellent *ee* and *cp*. The difficulties in finding an oxidant that delivers high stereospecificities can be ascribed to the fact that **23** possesses one *ortho* substituent on the pyridine ring and two on the other ring, whereas it is the opposite for other systems presented previously.



Scheme 8. Atroposelective Hantzsch pyridine synthesis by Takemoto's thiourea catalysis to build chiral 1,4dihydropyridines/oxidation sequence.

More recently, a different approach for the synthesis of axial chiral 4-arylpyridines was developed by Li and Tang from commercially available symmetrical 1,4-dihydropyridines **24** (Scheme 9) ^[75]. The first step of this synthesis relies on an enantioselective desymmetrization by mono-substitution at one of the methyl groups of the 2,6-dimethyl 1,4-dihydropyridines **24** by C3-bromination—[1,3]-Br atom shift. 1,3-Dibromo-5,5-dimethyl hydantoin (**25**) was used as the brominating reagent, while the presence of the chiral phosphoric acid catalyst **29** allowed for an efficient transfer of chirality to a remote position from the bromination site.



Scheme 9. Atroposelective synthesis of 4-arylpyridines from commercially available, symmetric 1,4dihydropyridines by enantioselective phosphoric acid catalyzed desymmetrization–oxidation–substitution sequence.

After achieving moderate to excellent yields and enantiomeric excesses (up to 98% ee) in the first bromination reaction, they focused on the following oxidation step to obtain the axial chiral 4-arylpyridines **27** by central-to-axial chirality conversion. As in the previous work, MnO_2 was selected as the oxidizing agent and DCM as the most suitable solvent for the reaction.

Finally, the subsequent functionalization of **27** by a SN2/cyclization sequence using different nucleophiles was performed. Thus, when employing amines such as BnNH₂, the corresponding lactams **28** were obtained in moderate yields (49–81%) and good to high enantioselectivities (80–94% ee) and chiral conversion percentages (86–98% cp). It should also be noted that this strategy opens a route toward the enantioselective synthesis of BMS-767778, a potent DPP4 inhibitor.

3.2. Nicotinamide Atropisomers

In addition to 4-arylpyridine atropisomers, 3-carbamoylpyridine congeners, also known as nicotinamides, have also been the center of an intense attention, notably because of their structural similarity to NAD(P)H. Numerous contributions came from the research group of Ohno (Scheme 10). In 1986, they reported the synthesis of the enantioenriched 3-carbamoyl-1,4-dihydropyridine **30** containing a stereogenic center on the amide chain to facilitate analysis by the formation of diastereomers ^[76]. This substrate was oxidized using methyl phenylglyoxylate (**31**) as a hydride acceptor in presence of Mg(ClO₄)₂, delivering highly enantioenriched methyl (*R*)-mandelate (**33**) but with no diastereocontrol of the atropisomeric pyridinium salt **32** (Scheme 10a). This disappointing result was interpreted in terms of low configurational stability, which was confirmed by the fact that the subsequent reduction with Na₂S₂O₄ afforded 3-carbamoyl-1,4-dihydropyridine **30** with 1:1 *dr*.



Scheme 10. Initial studies on the stereospecific oxidation of NAD(P)H mimics: (a) without diastereocontrol of atropisomeric salt **32**, and (b) by employment of a configurationally stable substrate.

To solve this issue, tertiary 3-carbamoyl-1,4-dihydroquinoline **34** was synthesized, and it exhibited a substantial configurational stability at the stereogenic axis, even before oxidation (Scheme 10b). The two diastereomers could be separated by flash chromatography and characterized, even though the relative configurations and the barriers to rotation could not be determined. Treated under the same reaction conditions, it delivered the 3-carbamoylquinolinium salt **35** with >20:1 *dr*. This transformation does not exactly match the definition of the conversion of chirality since the stereogenic axis was already present in the substrate and could, instead, be seen as a stereoablative process ^[77]. Subsequent studies evaluated the effect of different reaction parameters, such as the nature of the organic oxidant and the metal ion on the reaction outcome, sometimes leading to an inversion of the stereoselectivity ^{[78][79]}. Alternative substrates and oxidation methods (Fe(III) or Co(III) anions, electrochemistry) could also be used ^{[80][81][82][83]}.

In 1989, Vekemans and co-workers reported similar studies, but on enantiomeric instead of the diastereomeric systems used by Ohno, simplifying the stereochemical description of the transformation (Scheme 11) ^[84]. Enantioenriched 3-carbamoyl-1,4-dihydroquinoline (R)-**36** was obtained by repeated flash chromatography on chiral stationary phase (cellulose triacetate) until reaching 96% *ee*. Its oxidation by methyl phenylglyoxylate (**31**) delivered configurationally stable pyridinium salt (aS)-**37** with an enantiomeric excess of 93%, corresponding to a cp of 97%. Its absolute configuration could be determined, which allowed proposing a mechanism where the

magnesium salt is complexed by the carbonyl groups of both reaction partners, forcing the C=O of the amide function to point towards the oxidizing reaction partner. Oxidation then proceeds by hydride transfer from position 4 to the *si* face of the activated ketone function. A large diversity of electrophilic compounds were evaluated as hydride acceptors, enabling low (*p*-quinone, acetone), moderate (acetonitrile), or high (benzoin, activation ketones and imines) stereospecificities [84][85][86][87].



Scheme 11. Studies on the enantiospecific oxidation of NAD(P)H mimics.

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