Electric Vehicle Batteries

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In electric and hybrid vehicles Life Cycle Assessments (LCAs), batteries play a central role and are in the spotlight of scientific community and public opinion. Automotive batteries constitute, together with the powertrain, the main differences between electric vehicles and internal combustion engine vehicles. For this reason, many decision makers and researchers wondered whether energy and environmental impacts from batteries production, can exceed the benefits generated during the vehicle's use phase. In this framework, the purpose of the present literature review is to understand how large and variable the main impacts are due to automotive batteries' life cycle, with particular attention to climate change impacts, and to support researchers with some methodological suggestions in the field of automotive batteries' LCA. The results show that there is high variability in environmental impact assessment; CO_2 eq emissions per kWh of battery capacity range from 50 to 313 g CO_2 eq/kWh. Nevertheless, either using the lower or upper bounds of this range, electric vehicles result less carbon-intensive in their life cycle than corresponding diesel or petrol vehicles.

Keywords: battery electric vehicles; environmental impacts; life cycle assessment; review

1. Life Cycle Inventory—LCI

Inventory includes data collection and calculation procedures to quantify relevant inputs and outputs in the assessed system. Data collection includes their validation, data and process units' relationship, the relationship between data and reference flow and functional unit. In our work, we focus our attention on data quality. Data for the analysis can be divided in two categories: primary data, directly collected from producers and users of the systems, and secondary data, derived from the existing literature (including databases). Although technical guidelines and two literature reviews realized by the authors and strongly recommend using primary data, most of the existing studies use secondary information. Only six of the analyzed works use primary data obtained thanks to direct collaboration with batteries manufacturers, which provided information about the amount of material for each component, energy consumption for battery production, waste, percentage of recycled material used and battery maintenance operations. One study, uses primary data only to evaluate battery energy consumption during the use phase, while only secondary data, obtained from the available literature and from the Ecoinvent database. In general, it is possible to observe a lack of primary data that either are absent or cannot be presented in the studies due to industrial confidentiality reasons. Although this critical issue is justified by the high rate of competition and innovation of the sector, the lack of information related to primary data affects the transparency and replicability of many studies. Furthermore, it is difficult to update studies based on outdated databases or to check the results against different energy mixes.

2. Life Cycle Impact Assessment—LCIA

In an LCA, the impacts evaluation phase (Life Cycle Impact Assessment-LCIA) allows the assessment of potential impacts extent using data collected in the LCI. This operation links inventory data with specific impact categories and indicators, in order to better evaluate these impacts. The LCIA phase gives important information for life cycle results interpretation. Since different studies rely on different hypotheses, make use of different databases for background data and, above all, use different Life Cycle Impact Assessment Methods with their own unit, results cannot be compared easily with each other [1]. Nevertheless, some general conclusions may be drawn. First of all, for almost all impact categories, results show that the environmental major impacts of batteries life cycle occur during the production phase [2] and are due to energy consumption during materials and component production [3][4]. In particular, anode production process is responsible for the greatest impacts for impact categories such as eutrophication and acidification, whereas the cathode has major impacts for global warming and abiotic depletion [5]. Coming to the amount of the environmental impacts, results show great variability. Variability is due to, as mentioned, the use of different hypotheses and databases, but it is also linked to the different batteries' chemistry. As discussed, global warming is the most investigated impact category, since EV market penetration is mainly driven by transport sector decarbonization. Figure 1 summarizes results variability linked to greenhouse gas emissions per kWh of batteries capacity, relating to batteries production phase. These values are extracted or inferred by the assessed studies in this literature review. Depending on the different technologies and on the age of the studies, greenhouse gas emissions per kWh batteries capacity can range from 53 kg CO₂eq/kWh to more than 300 kg CO2eg/kWh.

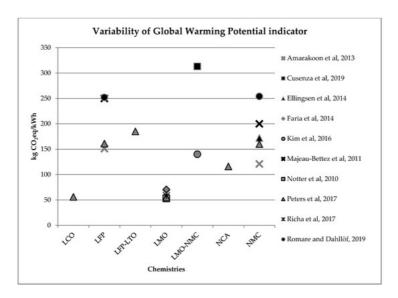


Figure 1. Variability of the global warming potential indicator (kg CO₂eq/kWh) for batteries production phase (LCO: Lithium Cobalt Oxide; LFP: Lithium iron phosphate; LFP-LTO: Lithium iron phosphate-Lithium Titanate; LMO: Lithium Manganese Oxide; LMO-NCM: Lithium Manganese Oxide-Lithium Nickel Cobalt Manganese; NCA: Lithium Nickel Cobalt Aluminum Oxide; NCM: Lithium Nickel Cobalt Manganese Oxide).

Considering a modern EV equipped with a 40 kWh battery lasting for 210,000 km $^{[\underline{6}]}$, the lower and the upper values in Figure 1 correspond to an emission per km ranging from less than 10 g CO₂eq/km to almost 60 g CO₂eq/km. Nevertheless, despite this high variability, if we consider for the other vehicles life cycle phases, the CO₂eq/km reported in reference $^{[\underline{6}]}$, the total CO₂eq/km life cycle emissions of an average middle size EV equipped with a 40 kWh battery, are lower than those of similar diesel or petrol cars, no matter if we consider the upper or the lower bound of battery CO₂eq emission variability (see Table 1).

Table 1. Effects of the variability of CO_2 eq emission per kWh of battery on the life cycle comparison among a middle size electric, diesel and petrol car. Battery CO_2 eq emission per km derives from Figure 1, considering 40 kWh of capacity and 210,000 km of life. CO_2 eq emission per km of remaining life cycle phases are taken from reference [\overline{L}].

	Vehicle Production	Battery Production		Maintenance	Road	Fuel/Electricity Production	Use	Total	
g CO₂eq /km	(w/out battery)	Min	Max			IT marg. Mix	Urban Cycle	Min	Max
Diesel	38.2	0.0	0.0	7.7	0.6	41.1	198.5	286.1	286.1
Electric	37.7	9.5	59.6	6.2	0.6	92.5	0.0	146.6	196.7
Petrol	41.5	0.0	0.0	7.4	0.5	59.1	221.6	330.0	330.0

A similar range of variability can be found for other, less investigated, environmental impact categories (see Figure 2, Figure 3, Figure 4 and Figure 5). Again, if we consider a 40 kWh battery lasting for 210,000 km, and we consider the results from reference $^{[Z]}$ for the other life stages, we can see that while for some impact categories for which EV perform worst, like eutrophication $^{[Z]}$, the variability of the impacts associated with battery production does not affect the environmental ranking among EV and the corresponding ICE Vehicle. For categories like acidification, the use of the lower bound value implies that EV performs better than ICE Vehicle, while the use of the upper bound value implies that the ICE Vehicle is the best performer (see Table 2).

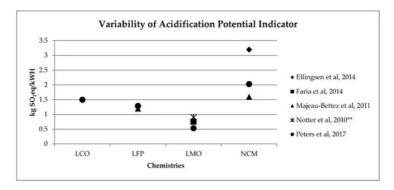


Figure 2. Variability of acidification potential (kg SO2eq/kWh) for batteries production phase (LCO: Lithium Cobalt Oxide; LFP: Lithium iron phosphate; LMO: Lithium Manganese Oxide; NCM: Lithium Nickel Cobalt Manganese Oxide); ** data from reference [3] have been updated using Ecoinvent v 3.5.

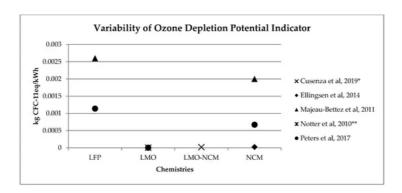


Figure 3. Variability of ozone depletion potential (kg CFC11eq/kWh) for batteries production phase (LFP: Lithium iron phosphate; LMO: Lithium Manganese Oxide; LMO-NCM: Lithium Manganese Oxide-Lithium Nickel Cobalt Manganese; NCM: Lithium Nickel Cobalt Manganese Oxide); * data from reference [2] are calculated on the basis of the total amount and the percentage for battery production, ** data from reference [3] have been updated using Ecoinvent v. 3.5.

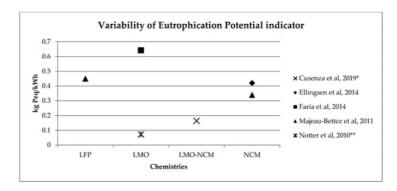


Figure 4. Variability of eutrophication potential (kg Peq/kWh) for batteries production phase (LFP: Lithium iron phosphate; LMO: Lithium Manganese Oxide; LMO-NCM: Lithium Manganese Oxide-Lithium Nickel Cobalt Manganese; NCM: Lithium Nickel Cobalt Manganese Oxide); * data from reference [2] are calculated on the basis of the total amount and the percentage for battery production, ** data from reference [3] have been updated using Ecoinvent v 3.5.

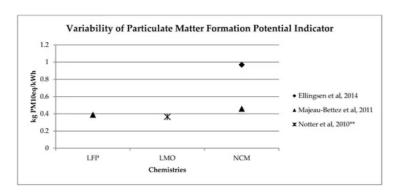


Figure 5. Variability of particulate matter formation potential (kg PM10eq/kWh) for batteries production phase (LFP: Lithium iron phosphate; LMO: Lithium Manganese Oxide; NCM: Lithium Nickel Cobalt Manganese Oxide); ** data from reference [3] have been updated using Ecoinvent v 3.5.

Table 2. Effects of the variability of acidification potential (g SO_2eq) and eutrophication potential (g PO_4eq) per kWh of battery on the life cycle comparison among a middle size electric, diesel and petrol car. Battery Emissions per km derives from Figure 2 and Figure 4, considering 40 kWh of capacity and 210,000 km of life. Emissions per km of remaining life cycle phases are taken from reference [I].

	Vehicle	Vehicle Production & – Disposal	Battery Production & Disposal		Fuel/Electricity Production & Supply	Use & Maintenance	Total	
Impacts/km	Туре	– Disposai	Min	Max	_		Min	Max
Acidification Potential	EV	0.10	0.10	0.70	0.23	0.02	0.46	1.00
g SO ₂ eq	ICE	0.14	-	-	0.55	0.11	0.79	0.79
Eutrophication Potential	EV	0.06	0.01	0.12	0.06	0.01	0.27	0.25
g PO ₄ eq	ICE	0.06	-	-	0.07	0.03	0.16	0.16

Of course, many of the impacts associated with battery production could be lowered by recycling battery components and using recycled materials for battery production. Recycling may reduce material production energy demand up to 50% and can help to decrease environmental impacts for all the impact categories assessed [8]. Although there are a number of technologies and combinations of technologies being developed for batteries recycling (hydrometallurgy is close at hand, and can potentially extract more materials than pyrometallurgy) [9], battery recycling options are not always included in the analysis, due to the lack of relevant and reliable information [1]. Recently, a very careful recycle phase analysis has been realized in reference [2]. This work states that the environmental credits associated with materials recovered through battery recycling processes exceed the environmental impacts associated with recycling processes in all the impact categories examined, with the exception of ozone depletion, ionizing radiation and freshwater ecotoxicity. The environmental credits are particularly relevant for some impact categories such as: marine eutrophication (-27%), human toxicity (about -20% for human toxicity no cancer effect and -40% for human toxicity cancer effect), particulate matter (-17%) and abiotic depletion (-16.4%). In particular, the environmental credits related to cobalt, nickel and manganese sulphates, copper and steel are really significant and rise up to almost 80% for an important category such as abiotic depletion. Moreover, the environmental benefits linked to recycling could be increased if other cell components/materials, such as graphite, electrolyte and aluminum, are recovered, i.e., by designing battery cells to make disassembling and separating the cell components easier and more secure [2]. Additionally, for climate change impact, recycling can gain relevant positive effects, and the saved emission can be in a range of 16-32 kg CO₂eq/kWh [10]. For what concerns lithium recycling, instead, further research is still needed [11].

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