Wittig Reactions under Sonication Conditions

Subjects: Chemistry, Organic

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Carbonyl olefinations are among the most important organic syntheses that form C=C bonds, as they usually have high yields and in addition offer excellent stereoselectivity. Due to these advantages, carbonyl olefinations have important pharmaceutical and industrial applications. These reactions contain an additional step of an α -functionalized carbanion to an aldehyde or ketone to produce alkenes, but syntheses performed using metal carbene complexes are also known. The Wittig reaction is an example of carbonyl olefination, one of the best ways to synthesize alkenes.

Keywords: Wittig reaction ; Wittig-Horner synthesis ; ultrasound

1. Introduction

The Wittig reaction is a chemical reaction between an aldehyde or ketone and a phosphonium ylide in the presence of a base to provide two compounds: an alkene, which has the position of the double bond well specified, and phosphine oxide. Triphenyl phosphorylide is often referred to as a Wittig reagent. This reaction was discovered in 1954 ^[1] by Georg Wittig. He received the Nobel Prize in Chemistry in 1979 for its discovery. It is a convenient chemical reaction for the synthesis of alkenes. Usually, disubstituted (cis, trans, or 1,1-disubstituted alkenes, **Figure 1**) and trisubstituted alkenes can be obtained with good yields, but for tetrasubstituted alkenes the yields are lower. Wittig reactions imply the coupling between aldehydes or ketones with monosubstituted triphenylphosphonium ylides. Interest in obtaining trisubstituted or tetrasubstituted alkenes (**Figure 1**) has increased in recent decades because of their higher stability in comparison with monosubstituted ones. Furthermore, tri- and tetrasubstituted alkenes are important synthetic targets and occasionally their synthesis can be difficult due to steric issues.



Figure 1. The structure of monosubstituted (1), disubstituted (2), trisubstituted (3), and tetrasubstituted (4) alkenes.

When Wittig synthesis is conducted in classic conditions the yields are rather low, but when using ultrasound, it was observed that the yield increases significantly, especially for obtaining tetrasubstituted alkenes. The Wittig reaction can give as products both E- and Z-alkenes or alkene derivatives. The ratio of E- and Z-isomers could be modified and controlled by changing several parameters (the electronic nature of the ylide carbanion, the presence of lithium salts, the use of phosphonium salt derived either from trialkylphosphine or triarylphosphine) ^{[1][2][3][4][5][6][7][8][9]}. The geometry of the double bond is depicted by the nature of the ylide. If the ylides are unstable (they contain an alkyl chain), the reaction product will usually be a Z-alkene. The selectivity of the reaction is moderate. On the other hand, if the ylides are stabilized (for instance by using an ester or a ketone), the product of the reaction will mostly be an E-alkene. The reaction that takes place in this case showed higher selectivity. In the case of semistabilized ylides (they contain an aryl substituent) the selectivity is rarely good and in general a mixture of E-/Z-isomers are obtained with different ratios ^{[1][2][3][4]}

The Wittig mechanism implies hypothetical betaine intermediates and lithium halide adducts. The stereoselectivity of the reaction depends on the formation of the covalent oxaphosphetane and on the result of the combination between steric, rehybridization effects of phosphorous, and on lithium salts ^{[10][11]}. In the first step, the alkylation of triphenylphosphine with the halogenated derivative takes place with the formation of a quaternary phosphonium salt. The deprotonation of the phosphonium salt in the presence of the base (as nBuLi, NaNH₂, NaH, alkoxides, KOH (NaOH), K₂CO₃, tertiary amine), produces the phosphorylide (phosphorane). After elimination, if an α -bromoester is used, an enolate ion equivalent is obtained as the phosphorylide is stabilized by conjugation. If the alkylation of triphenylphosphine is undertaken with α -chloroether, a vinyl ether is formed. This undergoes acid hydrolysis. Then the reaction of phosphorylide (the nucleophilic

reactant) with the carbonyl compound takes place with the formation of an oxaphosphetane intermediate with a four-atom ring, which, by elimination, leads to the formation of the two stereoisomeric alkenes. In order to obtain the more stable alkene, a phosphorylide stabilized with electron-withdrawing groups, such as vinyl, phenyl, or ester, should be used. For the less-stable alkene, a phosphorylide not stabilized by conjugation is recommended. The obtained Z:E ratios, can be better explained as a function of the level of stabilization (charge delocalization) of the ylide by a mechanism based on a cycloaddition process ^{[12][13]}.

An important application of the Wittig reaction with industrial importance is the synthesis of juvenile hormones, vitamin A, β -carotene, or other aromas and flavors ^[14]. In Wittig syntheses, various phosphorus reagents can be used. The "classic" Wittig reaction uses a phosphonium ylide, the Horner–Wadsworth–Emmons reaction uses a phosphonate anion, and the Horner–Wittig uses a phosphine oxide anion ^{[15][16]}. For a nitrogen analogue of a Wittig reagent, phosphazenes (λ^5 phosphazenes, iminophosphoranes, or phosphine imines) are used in the aza-Wittig reaction ^[12].

The Wittig and aza-Wittig syntheses become powerful tools in organic synthesis and allow the construction of some acyclic and cyclic compounds. These reactions produce a high yield and require mild conditions (neutral solvents, absence of catalysts, generally at mild temperatures) ^{[18][19]}.

The Wittig syntheses have been strongly developed and improved during recent decades. Several extensions of the method recently developed are the phospha-Wittig reaction $^{[20]}$, the thia-Wittig reaction $^{[21]}$, and the phospha-bora-Wittig reaction $^{[22]}$. The phospha-bora-Wittig reaction is used for the direct preparation of phosphaalkenes, starting with aldehydes, ketones, esters, or amides. The intermediate phosphaborene reacts with the carbonyl compounds to form 1,2,3- phosphaboraoxetanes, which then undergoes thermal or Lewis acid-promoted cycloreversion, leading to the formation of phosphaalkenes $^{[22]}$.

2. Wittig Reactions under Sonication Conditions

Phosphorus is an essential element in life, found in many biogenic molecules (DNA, RNA, and adenosine triphosphate (ATP)). Phosphorus is also found in many other important biomolecules, including cell membrane phospholipids such as sphingomyelin. There are also many examples of active pharmaceutical ingredients (APIs) containing phosphorus with important applications in the treatment of different afflictions. Consequently, a growing interest in the synthesis of phosphorus compounds has occurred in recent decades. One of the main goals of the researchers involved in this field was to conduct these syntheses under green conditions. Therefore, green syntheses in the field of phosphorus compounds were developed significantly using ultrasounds, microwaves, green solvents, sol-gel, and others. When ultrasounds (US) or microwaves (MW) were used, an increase in the yield was observed ^{[23][24][25][26][27][28][29]}.

As already mentioned, one common synthetic route for the synthesis of alkenes is the Wittig reaction (together with its modified versions, as Horner–Wittig and aza-Wittig processes). First described in 1954, the Wittig reaction $^{[1][2][3][4]}$ has two main steps:

- the first step is the deprotonation reaction of a phosphonium salt (5) to obtain a phosphorous ylide (6)
- the phosphorous ylide (6) reacts with a compound containing a carbonyl group, an aldehyde (7), or a ketone to give the corresponding alkene (8) and phosphine oxide (9) (Figure 2)



Figure 2. A general scheme of the classic Wittig reaction.

Obtaining alkenes is of great interest to researchers because alkenes could be used further as reagents for several chemical syntheses in coupling reactions and asymmetric transformations, hydrogenation, cyclopropanations, cycloadditions, epoxidations, diol formation, and so on. Most research on the Wittig reaction has been focused, especially in recent years, on triphenylphosphine-derived phosphonium salts ^[6]. Non-stabilized ylides generally have an alkyl group as the side chain. Under lithium-salt-free conditions, these ylides showed a significant Z-selectivity ^{[3][4][11][12]}. Ylides that were stabilized with neighboring vinyl or aryl groups showed rather low selectivity and usually lead to the formation of mixtures with E and Z isomers ^{[6][23][30][31][32]}.

Triphenylphosphine-derived ylides are the most common phosphorous reagents involved in the Wittig reaction. The synthesis of E-alkenes, starting from non-stabilized or semi-stabilized triphenylphosphoranes, is not sustainable by using the standard Wittig process. In this case, if used, the Wittig synthesis requires several modifications. If the phenyl substituents from a triphenylphosphorane were replaced with short-chain alkyl substituents (i.e., ethyl, propyl) with lower hydrophobic character, a significant increase in E-alkene isomer production was observed ^{[33][34][35]}. Thus, the non-stabilized and semi-stabilized ylides derived from trialkylphosphines showed high E-isomer selectivity when used in the Wittig reaction ^[6]. More recently, the Wittig reaction proved to be very useful in the field of organocatalysis ^{[36][37]}. Compounds as styrenes, dienes, vinyl ethers, or allenes, synthesized by using the Wittig reaction, are used in organocatalysis ^[6]. Organocatalysis includes a variety of chemical transformations and is used to describe any process that is facilitated by the use of a non-metallic organic catalyst ^{[38][39][40][41][42][43]}.

The Wittig synthesis could be conducted using ultrasound in order to increase the interface area (and therefore the contact) between the reagents (i.e., ylide and aldehyde or ketone). Classic Wittig methods $\frac{[1][2][3][4]}{2}$ are usually performed at low temperatures using strong bases. The ultrasound plays the role of a solvent by increasing the mixing process. In addition, the effects of the sonic waves are higher when the reaction is performed in small channels (diameter from 10 µm to 100 µm) than in a standard flask $\frac{[44]}{2}$.

For example, the synthesis of several cinnamic esters and their derivatives using the Wittig reaction is of great interest because such compounds are further employed in several chemical industry areas of great interest (flavors, synthetic dyes, perfumes). Moreover, cinnamic moiety is found in many biologically active molecules. From this class of compounds, 4-methoxy-ethyl-cinnamate **12** (**Figure 3**) is a monoamine oxidase inhibitor. Monoamine oxidase inhibitors were the first type of antidepressant medication developed ^{[44][45]}.



Figure 3. Synthesis of ethyl 4-methoxy-ethyl-cinnamate.

It was observed that cinnamic esters are synthesized easier, faster, and with a higher yield when the entire reactor is immersed in an ultrasonic bath. Several methods for the synthesis of this class of compounds have been published $\frac{[44][45]}{[46][47][48][49][50]}$. One example is the reaction of anisaldehyde **10** with (ethoxycarbonylmethyl)-triphenylphosphonium bromide **11** for obtaining ethyl 4-methoxy-ethyl-cinnamate **12** (**Figure 3**) $\frac{[44][50]}{[44][50]}$.

El-Batta et al. proved that water is an effective environment for Wittig reactions by using stabilized ylides and aldehydes ^[51]. P-anisaldehyde slowly reacts with the ylides to obtain a mixture of E/Z cinnamic ester isomers after four hours at 20 °C, with a yield of 66% at a ratio E/Z 92/8. When the temperature of the reaction increased to 90 °C, after 30 min the yield of cinnamic ester increased to 90% without affecting or changing the E/Z-ratio. The water efficiency in the reaction environment in comparison with organic solvents is obvious, as the same reaction has been reported in refluxing DCM (four hours, 8% yield), in refluxing benzene (two days, 73% yield), and in ionic liquids at 60 °C (three days, 82% yield) ^[52] ^{[53][54]}. On the other hand, when this synthesis procedure was performed in an ultrasonic bath, the product was obtained with a 70% yield in a shorter time. The protocol can be applied to different aldehydes, alkyl phosphonium salts, and bases for the Wittig synthesis of E-cinnamic esters under ultrasound in moderate to very good yields in the absence of any other phase transfer catalyst and in a shorter reaction time ^[44].

The Wittig synthesis (sometime called the Wittig olefination) is one of the most famous phosphine-based reactions. The development of continuous flow processes for Wittig olefination reactions has undergone intensive study in recent decades, with the aim of increasing the yield. The combination of flow chemistry with microwave irradiation or ultrasonication opens a new perspective from this point of view. Many pharmaceutical compounds were synthesized through the Wittig olefination ^[55] by using a combination of ultrasound technology and continuous flow ^{[56][57][58]}. Riccaboni et al. ^[44] developed a catalyst-free continuous flow biphasic system for the Wittig synthesis of disubstituted alkenes **15** (**Figure 4**) ^{[59][60]}.



Figure 4. Ultrasound-assisted Wittig olefination in biphasic media.

The synthesis was performed starting from an aldehyde (**13**), triphenyl-phosphonium bromide (**14**), and NaOH at a ratio of the used reagents **13**:**14**:NaOH of 1:2:5 (an excess of phosphonium bromide **14** and of NaOH was used). The reaction mixture was immersed in an ultrasonic bath for enhancing the interfacial interactions in the absence of a phase-transfer catalyst (**Figure 4**) [44][59][60][61].

Modest to quantitative yields were obtained at room temperature after five minutes. The authors reported the in situ preparation of the phosphonium salt **14** by mixing triphenylphosphine (PPh₃) and ethyl 2-bromoacetate. When the phosphonium salt **14** was prepared in situ, the yields of the synthesis increased ^[24]. A similar Wittig synthesis was proposed by Krajnc et al. ^[62]. Benzyl-triphenyl-phosphonium bromide salt (**17**) and *o*- or *p*-methoxy-benzaldehydes (**16**) were mixed at a 1:1 eq. ratio in CH₂Cl₂ and injected together with an aqueous solution of 0.1 M NaOH. The corresponding stilbene derivative **18** (**Figure 5**) was obtained after a maximum reaction time of 9–10 min. The yields changed from 68% for *o*-methoxybenzaldehydes to 90% for *p*- methoxybenzaldehydes.



Figure 5. Continuous flow process for the synthesis of stilbene derivatives **18** by Wittig reaction with a benzyltriphenyl-phosphonium bromide salt **17** acting both as reactant and phase-transfer catalyst.

Viviano et al. synthesized different active pharmaceutical ingredients using a Wittig olefination process ^[63]. Starting from the aldehyde **19**, different synthetic routes were used in order to synthesize the 4-aryl-3-buten-2-one intermediates **21a–c**. The reaction can be conducted as a continuous flow process (**Figure 6**A) as follows: aldehyde **19** reacts with (acetylmethylene)triphenyl-phosphorane **20** in DMF and the products 4-aryl-3-buten-2-one intermediates **21a–c** in good yields (around 98%) at 210 °C for 10 min. Then, 4-aryl-3-buten-2-ones **21a–c** were further converted under pressure using a Raney-Ni catalyst through a hydrogenolysis reaction (**Figure 6**B) ^[24]. The hydrogenolysis represents a chemical reaction where a carbon–carbon or carbon–heteroatom bond is cleaved or undergoes "lysis" by hydrogen.



Figure 6. (A) The continuous flow Wittig olefination (B) the further reduction of the compounds 21a-c.

The hydrogenolysis reaction of the alkene **21a** takes place in ethanol and the hydrogenolysis process of alkenes **21b** and **21c** takes place in DMF. At temperatures ranging from 20 °C to 100 °C, the final products with active pharmaceutical properties were obtained in good yields, as follows: **22a**—91%, **22b**—90%, **22c**—94%. The compounds **22a** and **22b** are

commonly used in cosmetics. On the other hand, the compound **22c**, currently named nabumethone, is a nonsteroidal anti-inflammatory drug used to reduce pain, swelling, and joint stiffness from arthritis. Nabumethone can be used only with a doctor or pharmacist's recommendation ^[63].

The previously discussed examples showed the Wittig reaction employed alone on different syntheses. Moreover, the Wittig reaction could be involved in the synthesis of phosphorus compounds in tandem with other types of chemical processes in a one-step procedure. For instance, the halogenation of an ylide and the oxidation of an alcohol with the common reagent MnO2 as the oxidant and a Wittig reaction together could be conducted in a one-step procedure using ultrasounds. In the work published by Karama et al. ^[64] the (carboethoxymethylene)triphenyl-phosphorane **23** reacted with a reactive alcohol (as for instance aromatic, allylic and propargylic alcohols) in the presence of *N*-bromosuccinimide (NBS) and manganese dioxide, in CH_2Cl_2 (**Figure 7**).



Figure 7. The tandem halogenation-oxidation-Wittig reaction in one-step, conducted under ultrasonication.

Another example of using the Wittig reaction as a green method (in this case also the use of ultrasounds) using phosphonium ylide as reagent is the work of Maity et al. $^{[65]}$. The Wittig process was performed under ultrasonication, starting from aldehydes (**26**, **29**) and ylides (**27**). The products **28** and **30** were further obtained with high yields as a mixture of E- and Z-isomers (E/Z = 76/24 in the case of the product **28**, and 84/16 in the case of the compound **30**) $^{[65]}$ (**Figure 8**a,b).



Figure 8. Examples of two Wittig reactions used as green methods, performed under ultrasound.

The ultrasound irradiation for the Wittig reaction is usually performed in a water bath of an ultrasonic cleaner with a frequency of approximately 40 KHz and a power of approximately 250 W ^[66]. Currently, several products of growing interest are synthesized in this way. Benzoquinones, for instance, represent an important class of biologically active compounds, which were also obtained by the Wittig reaction performed under ultrasound. ^{[61][66]} 2-methoxy-6-alkyl-1,4-benzoquinones are compounds that occur in nature (usually in plants) and most of them have significant biological activity (anti-cancer activity and 5-1ipoxygenase inhibitory activity). Lipoxygenase enzymes catalyze the deoxygenation processes of polyunsaturated fatty acids to obtain lipids. The ultrasound-assisted Wittig reaction of *o*-vanillin **31** with alkyltriphenyl phosphonium bromides **32** in the presence of K_2CO_3 leads to the formation of styrene **35** in 72–81% yields (**Figure 9**) ^{[5][61]}.



Figure 9. The Wittig synthesis of 2-methoxy-6-alkyl-1,4-benzoquinones, performed under ultrasonication.

This reaction requires a mixture of DMSO (**34**) and water as solvents at 90–100 °C. Then, the2-methoxy-6-alkenyl-1,4benzoquinones can be obtained through the hydrogenolysis reaction. If the hydrogenolysis of the styrene **35** is performed directly to obtain 2-methoxy-6-alkenyl-1,4-benzoquinone, the double bond from its structure is actually reduced. Consequently, the styrene **35** was first treated with metallic sodium in *n*-butanol. In this way, the 2-methoxy-6-alkylphenols **36** were further successfully synthesized in 74–84% yields after one hour at 80–90 °C. The conjugated olefin was reduced but the isolated olefin was not affected $\frac{[5][61]}{.}$

This step of the synthesis was followed by the oxidation of 2-methoxy-6-alkylphenols **36** with Fremy's salt $(KSO_3)_2NO$ (**37**). Then, 2-methoxy-6-alkyl-1,4-benzoquinones **38** was obtained as a solid yellow product in 79–92% yields [61].

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