

Mechanism of Photocatalytic Water Splitting

Subjects: [Chemistry](#), [Physical](#)

Contributor: Svetlana Grushevskaya , Irina Belyanskaya , Oleg Kozaderov

The constant increase in the amount of energy consumed and environmental problems associated with the use of fossil fuels determine the relevance of the search for alternative and renewable energy sources. One of the most promising renewable fuels is hydrogen gas, which can be produced by sunlight-driven photocatalytic water splitting. The decisive role in the efficiency of the process is played by the properties of the photocatalyst. Oxide materials are widely used as photocatalysts due to their appropriate band structure, high-enough photochemical stability and corrosion resistance. However, the bandgap, crystallinity and the surface morphology of oxide materials are subject to improvement. In order to choose an appropriate oxide semiconductor photocatalytic material and strategies of its modification it is necessary to examine the mechanism of the photocatalytic water splitting.

photocatalytic water splitting

photoelectrochemical water splitting

hydrogen production

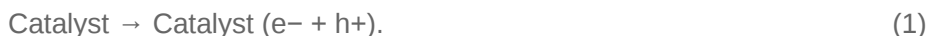
1. The Main Stages of the Photocatalytic Water Splitting

Photocatalysis includes four main stages ^{[1][2]}:

- the absorption of light by a semiconductor;
- excitation of charge carriers;
- separation and transfer of charge carriers;
- surface catalytic reactions.

The first stage is the irradiation of a semiconductor material with an energy higher or equal to its bandgap.

The absorption of light results in the second stage, which is the photogeneration of the electrons e^- and holes h^+ :



In case of a wide-bandgap semiconductor, the electrons and holes can be generated only in the high-energy ultraviolet (UV) region of the solar spectrum. In a semiconductor with donor- or acceptor-energy levels the bandgap

is narrowed. Therefore, in such semiconductors the photogeneration of nonequilibrium charge carriers becomes possible even under visible-light illumination.

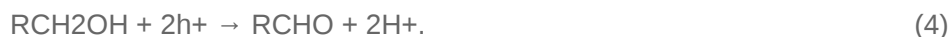
The next stage is the separation of electrons and holes between the valence band (VB) and conduction band (CB). The photogenerated electrons transfer to the CB while the photogenerated holes stay in the VB.

Finally, charge carriers take part in surface catalytic reaction. Photogenerated electrons participate in the multistage process of proton reduction to molecular hydrogen:



In Process (2), the initial stage, namely the proton adsorption on the active centers of the semiconductor surface, is crucial.

Photogenerated holes are also involved in the reactions. Being powerful oxidizing agents, they can oxidize water and organic substances, such as alcohols:



2. The Main Conditions for Water Splitting

In addition to the presence of photoexcited charge carriers, the correct arrangement of band edges relative to Fermi levels of $\text{H}_2\text{O}/\text{H}_2$ and $\text{O}_2/\text{H}_2\text{O}$ systems is necessary:

$$E_{\text{F}(\text{O}_2/\text{H}_2\text{O})} = E_{\text{F}(\text{O}_2/\text{H}_2\text{O})}^0 - kT \ln \left(\frac{a_{\text{H}^+}}{a_{\text{H}^+}^0} \right) - \frac{1}{4} kT \ln \left(\frac{P_{\text{O}_2}}{P_{\text{O}_2}^0} \right), \quad (5)$$

$$E_{\text{F}(\text{H}_2\text{O}/\text{H}_2)} = E_{\text{F}(\text{H}_2\text{O}/\text{H}_2)}^0 - kT \ln \left(\frac{a_{\text{H}^+}}{a_{\text{H}^+}^0} \right) + \frac{1}{2} kT \ln \left(\frac{P_{\text{H}_2}}{P_{\text{H}_2}^0} \right). \quad (6)$$

Here, $E_{\text{F}(\text{H}_2\text{O}/\text{H}_2)}^0$ and $E_{\text{F}(\text{O}_2/\text{H}_2\text{O})}^0$ are the standard Fermi levels of $\text{H}_2\text{O}/\text{H}_2$ and $\text{O}_2/\text{H}_2\text{O}$ systems, a_{H^+} and $a_{\text{H}^+}^0$ are the activity and standard activity of protons in solution, P and P^0 are pressure and standard pressure of gases.

To provide the Reaction (2) the upper edge of the valence band must be more positive as compared to the Fermi levels of $\text{O}_2/\text{H}_2\text{O}$ systems (5) (**Figure 1**). To provide the reaction (3) the lower edge of the conduction band must be more negative as compared to the Fermi levels of $\text{H}_2\text{O}(\text{H}^+)/\text{H}_2$ systems (6) (**Figure 1**).

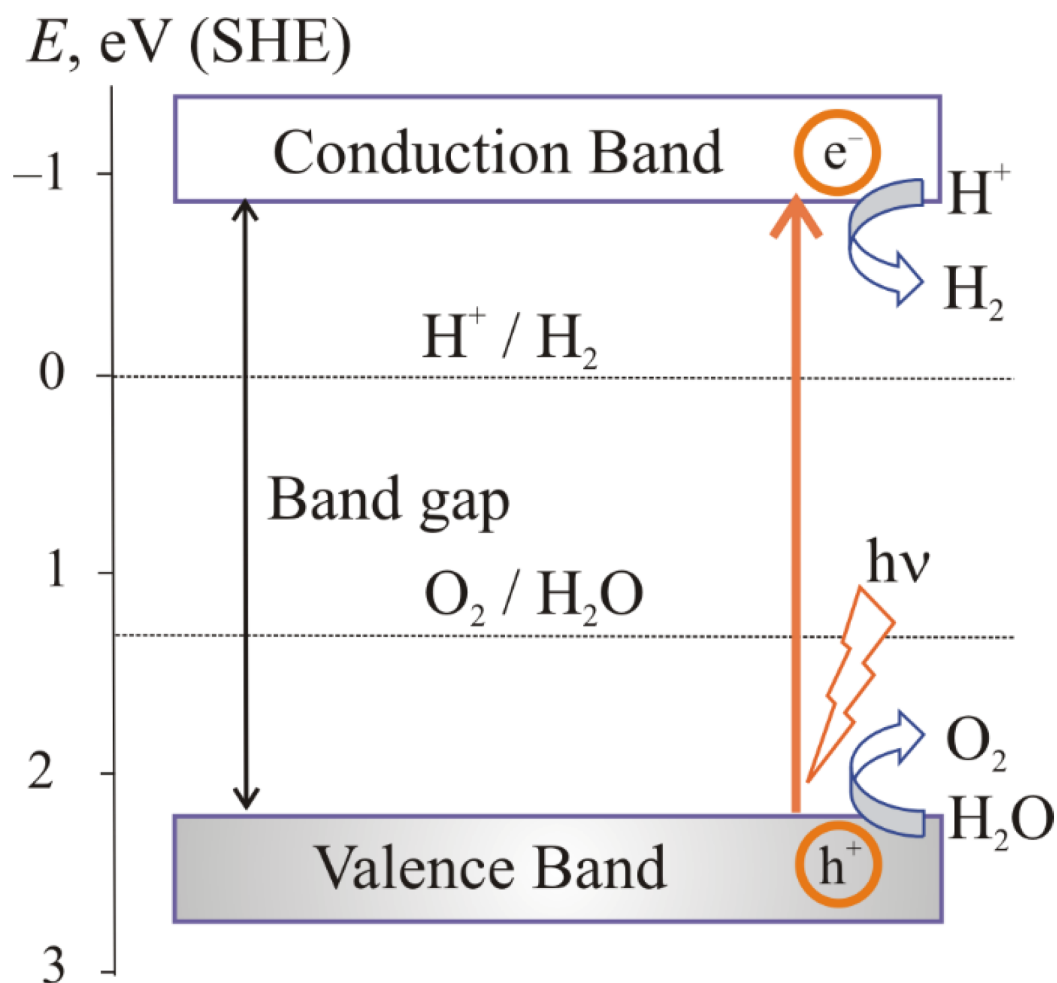


Figure 1. Generation of charge carriers in a semiconductor with band structure providing Processes (2) and (3) [3].

The electric field formed at the interface as a result of achieving thermodynamic equilibrium provides the separation of photoexcited charge carriers [4]. The following ways for the distribution of electrons and holes are possible [1] (**Figure 2**):

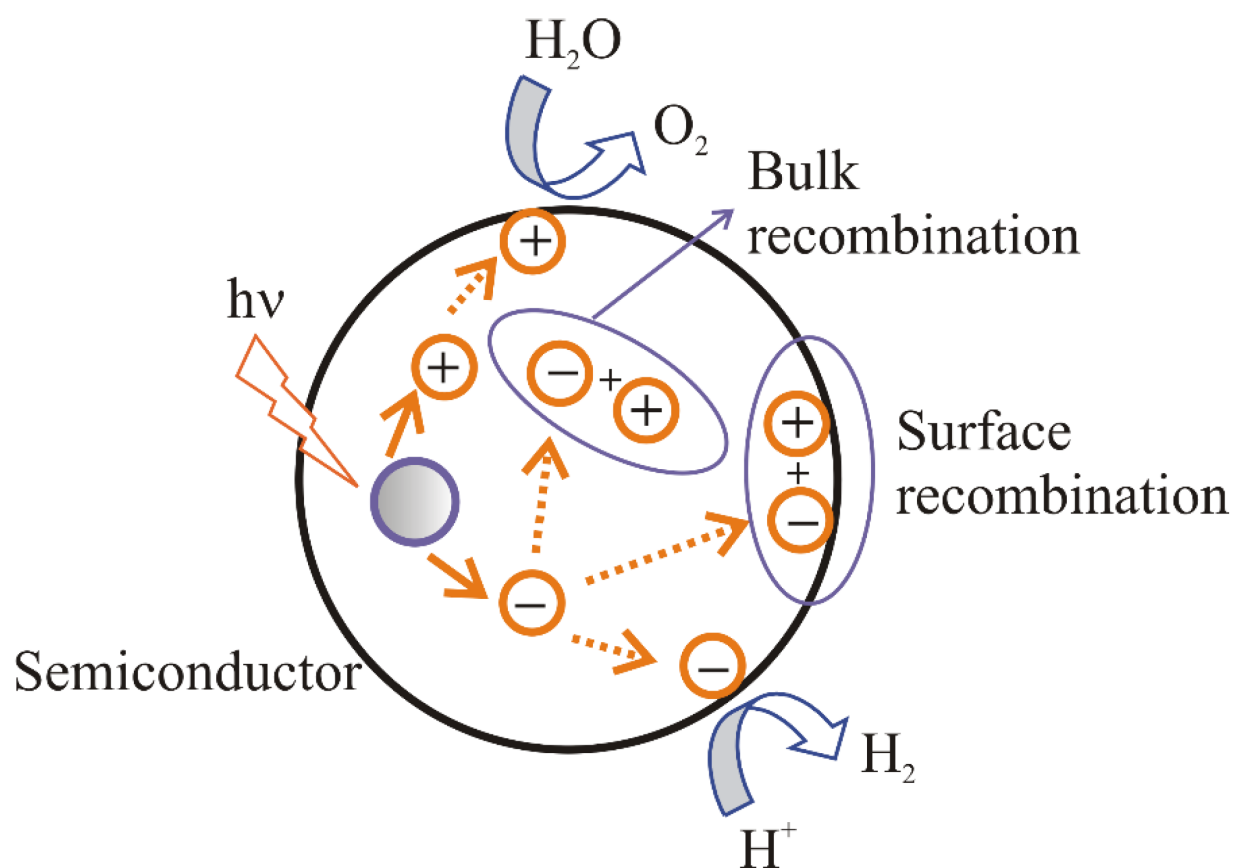


Figure 2. Photogeneration of charge carriers in a semiconductor (SC) and possible ways of their distribution ^[5].

- (i). recombination of electrons and holes on the surface of a semiconductor;
- (ii). recombination of electrons and holes in the bulk of a semiconductor;
- (iii). transport of the electrons to the surface and participation in the reduction reaction;
- (iv). transport of the holes to the surface and participation in the oxidation reaction.

In cases (a) and (b), Process (1) proceeds in the opposite direction, heat is released, and charge carriers are excluded from further operations. In cases (c) and (d), an electrochemical process is carried out.

The main problem that arises during photocatalytic water splitting is the irreversible recombination of charge carriers with the release of heat. To prevent the recombination, it is necessary to maintain the stability of the separation of charge carriers due to the electric field. For this purpose, photocatalytic water splitting is usually studied in electrolyte solutions (for example, Na_2S and KI) in the presence of a sacrificial reagent ^{[6][7][8][9][10]}. Some examples of the sacrificial reagents are presented in **Table 1**.

Table 1. The efficiency of some sacrificial reagents in hydrogen production.

Year, Ref.	Photo-Catalysts	Bandgap, eV	Light Irradiation Parameters	Reagents	Sacrificial Reagent	Hydrogen Production, mmol g ⁻¹ h ⁻¹
2014, [6]	Au/TiO ₂	2.77–3.26	Set of 3 Solarium Philips HB175 lamps each equipped by 4 15 W Philips CLEO florescent tubes	1 g L ⁻¹ photocatalyst 25 vol.% methanol in water pH ~5	Methanol	0.303–1.543
2015, [7]	Au/TiO ₂	3.03–3.33	Spectroline model SB-100P/F lamp 100 W 365 nm	10 vol.% sacrificial reagents	Glycerol	1.9–27.9
					Ethylene glycol	1.4–20.9
					Methanol	0.9–13.5
					Ethanol	0.4–9.8
					Triethanol amine	1.197
					Formic acid	0.845
2017, [8]	Zn _{0.5} Cd _{0.5} S g-C ₃ N ₄ TiO ₂	-	300 W Xe lamp, wavelength ≥ 420 nm	Aqueous solution 0.2 g L ⁻¹ photocatalyst powder + 20 vol.% sacrificial reagents	Methanol	0.599
					Methyl amine	0.279
					Ethylene glycol	0.116
					Ethanol	0.111
					Ethylamine	0.101
					Ethylene diamine	0.084
2020, [9]	Cu/In ₂ O ₃ /TiO ₂ NPs Cu/In ₂ O ₃ NRS /TiO ₂ NWS	2.69 2.90	35 W HID lamp, light intensity 20 mW cm ⁻² , wavelength 450 nm	0.01 g of photocatalyst was dispersed in 130 mL aqueous solution + 10 vol.% sacrificial reagent	Glycerol	6.09
					Ethylene glycol	4.85
					Methanol	4.39
					Ethanol	2.84

Year, Ref.	Photo-Catalysts	Bandgap, eV	Light Irradiation Parameters	Reagents	Sacrificial Reagent	Hydrogen Production, mmol g ⁻¹ h ⁻¹
2020, [10]	TiO ₂ NPs TiO ₂ MPs	3.20 3.10	35 W HID Xenon lamp, 20 mW cm ⁻² , wavelength ~420 nm	0.1 g of photocatalyst catalyst was dispersed in 100 mL water containing sacrificial reagent	Glycerol	9.073
					Methanol	4.574
					Phenol	0.146
					0.2 M Na ₂ S/Na ₂ SO ₃	0.508
					0.1 M Na ₂ S/Na ₂ SO ₃	0.124

transformations. Alcohols are oxidized based on their standard oxidation potentials, which are lower than that of water [12], and they can participate in the processes of photoreforming into hydrogen and CO₂ in the presence of H₂O. The effectiveness of the most frequently used sacrificial agents with concentration of 20 vol.% increases in the following order: ethanol < methanol < ethylene glycerol < glycerol [6][8]. In [13], another row was observed for sacrificial agents with concentration of 1000 mg L⁻¹: ethanol < glycerol < glucose < methanol. In accordance with [12], the efficiency of organic compounds depends on the number of hydroxyl groups, which defines the polarity, binding mode on the catalyst, adsorption strength and oxidation potential. In general, the higher the polarity of organic compound, the higher the efficiency of hydrogen evolution. Furthermore, hydrogen production is stimulated in the presence of α-hydrogen atoms in alcohols; therefore, ethanol with fewer carbon atoms attached to α-hydrogen atoms showed less efficient H₂ formation as compared to other alcohols.

Apart from the type of sacrificial agent, its concentration influences the rate of the photocatalytic process. In [14], the increase in concentration of trimethylamine from 0.1 to 1.0 mol L⁻¹ was observed to promote proper use of the catalyst active sites for improved mass transfer.

In general, the photocatalytic hydrogen generation increased by growing concentration of sacrificial agent because of its more diffusion [13]. However, this effect is limited by an optimal concentration of the sacrificial reagent. For example, for methanol the maximum efficiency of hydrogen production was observed in 5% solution; a further increase in the concentration does not lead to an increase in the amount of H₂ due to the saturation of the photocatalyst surface [6].

The best way to choose an optimum sacrificial agent is to combine the high efficiency, low price, and possibilities of recycling. It is important to note that alcohols such as methanol and ethanol are rather valuable compounds in industry, so their consumption during H₂ production can lead to unreasonable costs [15]. Other substances have also been tested including some renewable biomass, organic waste, or pollutants as electron donors, such as glycerol, triethanolamine, and glucose [16]. Their efficiency is less compared with methanol yet. However, utilizing the biomass-derived substances and waste organic materials as sacrificial agents can enhance photocatalytic hydrogen production reducing the costs of the technology, excluding use of valuable alcohols, and ensuring waste recycling.

3. The Mechanism of Photoelectrochemical Water Splitting

Photoelectrochemical (PEC) water splitting can be realized in a photoelectrochemical cell without sacrificial reagents. A voltage bias is an effective way to facilitate electrons and holes' separation and their transfer during the PEC water-splitting process. [17]. A necessary condition is the excess of the minimum voltage for the decomposition of a compound [18]. For water, it is equal to the difference of potentials corresponding to the Fermi levels of $\text{H}_2\text{O}/\text{H}_2$ and $\text{O}_2/\text{H}_2\text{O}$ systems (5) and (6). The theoretical voltage of water splitting for unity activities and pressures should be at least 1.23 eV:

$$\Delta E = E_{\text{F}(\text{H}_2\text{O}/\text{H}_2)}^0 - E_{\text{F}(\text{O}_2/\text{H}_2\text{O})}^0 = 1.23 \text{ eV}. \quad (7)$$

Considering the overvoltage of partial processes (2) and (3), it can reach ~2.3 eV.

The photoelectrochemical cell can be of three types:

- a photoanode (n-type semiconductor electrode) and a metal (platinum) cathode (**Figure 3a**);

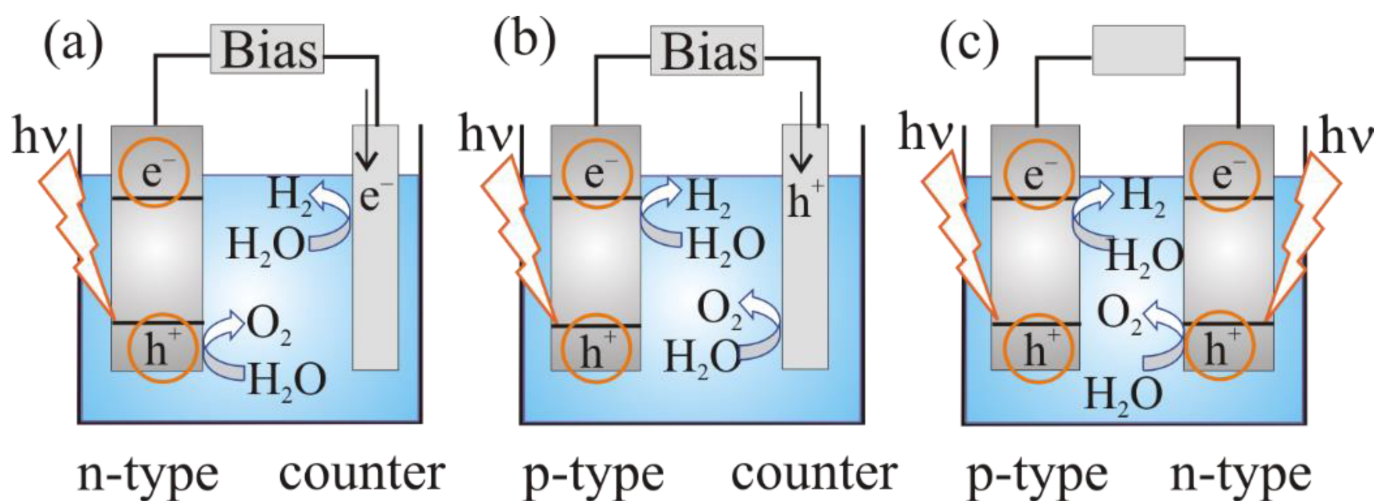


Figure 3. Photoelectrochemical cell for water splitting: (a) n-type semiconducting (SC) photoanode and Pt cathode; (b) p-type semiconducting (SC) photocathode and Pt anode; (c) semiconducting photoanode and photocathode (tandem system) [19].

- a photocathode (p-type semiconductor) and a metal (platinum) anode (**Figure 3b**);
- a photoanode (n-type semiconductor electrode) and a photocathode (p-type semiconductor (**Figure 3c**)).

On the first step of the PEC water-splitting process charge carriers of semiconductor electrodes are photogenerated. The solar illumination causes the Fermi level rise in the n-type semiconductor bulk [18]. The flat-band potential E_{fb} corresponds to the maximum Fermi level in the photoelectrochemical cell. Hydrogen can be

evolved at the counter electrode only if E_{fb} is above the Fermi level of H^+/H_2 system. Therefore, an external anodic bias is applied to increase the band bending in the semiconductor to maintain the required charge separation, and also to provide the overvoltage at the metal cathode, which is required to sustain the electric current flow. The further E_{fb} lies below the Fermi level of the H^+/H_2 system, the greater the bias [18].

After the electron–hole pairs appeared in the n-type photoanode, illuminated with photons with energies higher than the bandgap of the semiconductor, the minority charge carriers (holes) move to the interface and participate in oxidation reaction on the surface of the semiconductor photoanode. The main carriers (electrons) move into the bulk of the semiconductor, then through the external circuit to the counter electrode and participate in reduction reaction with the formation of hydrogen (**Figure 3a**).

The reduction in protons leads to the formation of hydrogen on a photocathode (p-type semiconductor), whereas on the counter electrode, H_2O is oxidized (**Figure 3b**) [4][20]. In case of a cell constructed of n-type and p-type photoelectrodes (**Figure 3c**) the simultaneous reduction and oxidation of water molecules can be realized.

Economical hydrogen production requires an efficient interaction between the light, catalyst, and reagents [1]. The most important parameters by which the efficiency of photocatalytic water splitting is estimated include the stability of the photoelectrochemical cell and the rate of production of H_2 or O_2 . There are some of the main characteristics for the quantitative assessment of photocatalytic water splitting [21]:

1. The turnover frequency (TOF) is the amount of hydrogen n_{H_2} , formed per unit of time t per gram of catalyst m_{SC} :

$$TOF = \frac{n_{H_2}}{m_{SC}t}. \quad (8)$$

2. The turnover number (TON) is the amount of hydrogen n_{H_2} formed per mole of catalyst n_{SC} :

$$TON = \frac{n_{H_2}}{n_{SC}}. \quad (9)$$

3. The quantum yield (QY) is the ratio of the number of reacted electrons n_{e^-} , to the number of absorbed photons n_{ph}^{ads} :

$$QY(\%) = \frac{n_{e^-}}{n_{ph}^{ads}} \cdot 100\%. \quad (10)$$

4. Apparent quantum yield (AQY) is the ratio of the double amount (mol) of hydrogen n_{H_2} to the number of emitted photons n_{ph} :

$$\text{AQY}(\%) = \frac{2n_{\text{H}_2}}{n_{\text{ph}}} \cdot 100\% . \quad (11)$$

The minimum level for commercial application of photocatalytic water splitting is usually considered to be 10% quantum yield, 1000 h of stable operation and high rates of hydrogen and oxygen production [3][17][19][22][23][24]. For the achievement of such characteristics, the potential of the energy level at which holes are located in a semiconductor electrode must be at least 1.6 V [25], and the photocurrent density, measured in a photoelectrochemical cell under illumination, must not be less than 8.2 mA cm⁻² [19]. The photocatalyst material plays a decisive role in the achievement of a high efficiency of water splitting.

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