

Passive Immunization Strategies in Animal Models

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Contributor: Nathalie Van Den Berge

Alpha-synucleinopathies are progressive neurodegenerative diseases that are characterized by pathological misfolding and accumulation of the protein alpha-synuclein (α syn) in neurons, axons or glial cells in the brain, but also in other organs. The abnormal accumulation and propagation of pathogenic α syn across the autonomic connectome is associated with progressive loss of neurons in the brain and peripheral organs, resulting in motor and non-motor symptoms. To date, no cure is available for synucleinopathies, and therapy is limited to symptomatic treatment of motor and non-motor symptoms upon diagnosis. Recent advances using passive immunization that target different α syn structures show great potential to block disease progression in rodent studies of synucleinopathies. However, passive immunotherapy in clinical trials has been proven safe but less effective than in preclinical conditions.

alpha-synuclein

passive immunization

disease stratification

1. Introduction

Twenty-five years ago, it was found that aggregated alpha-synuclein (α syn) is the major protein component of Lewy pathology ^[1]. Subsequent studies discovered that point mutations within or duplications/triplications of the α syn gene (*SNCA*) are linked to familial PD ^{[2][3][4]}. These findings indicate a central role of α syn in Lewy body diseases (LBD). Since then, Parkinson's disease (PD), dementia with Lewy bodies (DLB), pure autonomic failure (PAF) and multiple system atrophy (MSA) are classified as synucleinopathies, also called α -synucleinopathies, as they all are characterized by pathological accumulation of the protein α syn. PD, DLB and PAF predominantly present with intraneuronal and neuritic deposits of misfolded α syn, i.e., Lewy bodies and Lewy neurites. Furthermore, the accumulation of pathogenic α syn is associated with progressive disrupted cellular function, neuronal death and subsequent dysfunction in the central and peripheral nervous system ^[5]. MSA is a distinct case of α -synucleinopathies, as it is characterized by predominant glial cytoplasmic inclusions (GCIs) ^[6], later also called Papp-Lantos bodies ^[7].

Patients are classified as PD, DLB, PAF or MSA based on their clinical symptoms and later, post-mortem by the spatiotemporal distribution of pathogenic α syn ^[8]. The spatiotemporal distribution is likely dependent on a combination of different factors, disease onset site and neuroanatomical connections as well as cellular vulnerability and the presence of concomitant tau and/or A β pathology. The clinical representation of PD, DLB, PAF and MSA patients is highly heterogeneous esp. in early disease stages, and displays a large clinical overlap, as each α -synucleinopathy may include a wide range of motor, cognitive, gastrointestinal and/or other autonomic

disturbances, complicating early and accurate diagnosis. For example, DLB merely differentiates from PD diagnosis by the occurrence of cognitive dysfunction prior to motor dysfunction by only one year [9], which is very short, considering that non-motor symptoms occur up to 20 years prior to motor symptoms in PD [10]. PD, DLB and MSA show both central and peripheral nervous system involvement of α syn pathology [11][12]. In PAF, α syn pathology is confined within the autonomic nervous system (ANS) without motor dysfunction [13]. These patients also have an increased risk to pheno-convert into other α -synucleinopathies later in life, possibly indicating a pathophysiological disease continuum [12]. Furthermore, MSA patients with autonomic-only presentation in the early disease stage can be misdiagnosed as PAF. Moreover, MSA patients presenting with parkinsonism may be misdiagnosed as PD [14]. These α -synucleinopathies progress at different velocities with different intensities, but may evolve to similar advanced disease stages over time where the entire body is affected [15][16].

Currently, there is no cure for any of these α -synucleinopathies; hence, there is a great interest in targeting pathogenic α syn as a strategy to halt disease progression. To reduce levels of harmful misfolded α syn, a clearing process of the protein has to be established. This can be achieved with immunotherapies using vaccination strategies with antibodies directed against harmful α syn [17]. The aim of a particular immunotherapy is to reduce the amount of misfolded α syn in the body, and thereby block the spread of pathogenic α syn, consequently reducing progressive neurodegeneration and, therefore, symptoms [18]. Passive immunization with naturally occurring autoantibodies (nAbs) that are part of the innate immune system is considered more safe than active immunization or vaccination where an antigen is injected to induce the production of antibodies [19]. Preclinical studies using nAbs have shown reduced trans-synaptic spread of pathogenic α syn, as well as improved motor and cognitive deficits in PD mouse models. In contrast, preliminary data from on-going clinical phase I and phase II trials using passive immunotherapies targeting different forms of α syn are unable to demonstrate efficacy in reducing disease progression [20]. Whether nAbs provide protection against developing PD, increasing evidence suggests that anti- α syn nAbs may have a protecting effect in inhibiting α syn seeding and can recognize Lewy body pathology [21]. nAbs have been extensively evaluated in PD as reviewed by Scott et al. [22]; however, most studies have been restricted to assessing total IgG nAbs levels. A few studies have evaluated IgG nAb subclasses, IgM nAbs and the binding properties of these nAbs, showing a switched immunological response in PD and MSA patients and further a reduced binding towards α syn [23][24][25]. A more thorough evaluation is needed to fully map the immunological responses in PD and other synucleinopathies.

Discrepancy between animal and patient studies might be explained by a combination of poor α syn targeting and poor patient selection. The strain hypothesis in α -synucleinopathies postulates that each disease entity is characterized by a distinct conformation of pathogenic α syn; therefore, each α -synucleinopathy could be caused by a unique α syn structure or strain. This implies that different α -synucleinopathies require different nAbs targeting a specific α syn strain. Unfortunately, clinical trials lack accurate patient stratification and individual disease heterogeneity is often not considered during patient recruitment, as trials assume a common pathogenetic mechanism of disease across patients. The highly heterogeneous profile of the prodromal disease phase of α -synucleinopathies make early and accurate stratification very challenging. Consequently, patients are often misdiagnosed at early disease stages and may not benefit from a certain immunotherapy. Further, patients in advanced disease stages with established major neurodegeneration might benefit less compared to prodromal

patients. It remains to be elucidated whether the formation of mature dense α syn or Lewy pathology aggravates or protects against neurodegeneration [26]. It is hypothesized that endogenous α syn goes through four stages to ultimately form mature Lewy pathology: misfolding of endogenous α syn, oligomerization, formation of fibrils and, finally, development of dense inclusions. The immature oligomeric and fibrillary α syn appear to be most toxic compared to mature Lewy pathology [27], indicating such conformers could be particularly attractive as therapeutic targets instead of mature Lewy pathology. Lack of these considerations might have contributed to disappointing results. Future trials should focus on enrolment of prodromal patients after detailed stratification into different disease subtypes by using disease- and strain-specific biomarkers. Additionally, target biology should be optimized towards immature strain-specific pathology. For this purpose, it is crucial to gain insight in the earliest physiological to pathological events underlying α syn misfolding and abnormal aggregation using animal models of α -synucleinopathies.

2. C-Terminal Targeting Approaches

The first candidate antibody tested in preclinical models was the monoclonal antibody (mAb) clone 9E4 targeting the C-terminal of human α syn [28][29]. The 9E4 murine mAb recognizes the amino acids (aa) 118–126 of human α syn (h α syn) and has been shown to reduce toxic truncated species of α syn, rescued behavioral deficits in PD-GF β - α syn transgenic mice and co-localizes with pathology in several brain regions [28]. These results were confirmed again by Masliah's group [18] in the Thy1 α syn (line 61) mice, further expanded to investigate the 9E4 analogs, the 5C1 and 5D12, and the 1H7 targeting the overlapping region of NAC and C-terminal. The 1H7 and 5C1 showed comparable decreased toxic α syn truncated species, proposed to be reduced by internalization and lysosomal degradation [28], as well as improved behavioral deficits and protected tyrosine hydroxylase (TH) cell loss [18]. The 1H7 mAb was further investigated in Thy1 α syn (line 61) mice, laterally injected with human α syn expressing Lentivirus [30]. The 1H7 reduced axonal aggregation of α syn and protected axonal integrity, as well as improved memory deficits and increased colocalization of α syn and Iba-1 positive microglia, suggestive for microglia phagocytosis of extracellular α syn [30]. The main difference is that 1H7 preferably binds aggregated α syn at the C-terminus but also monomers. Following the results of Masliah and colleagues [30][29], targeting the C-terminal has become an optimistic immunization targeting strategy. Thus, several other antibodies have been produced targeting the C-terminus of α syn. Parallel to the 9E4 mAb, another mAb targeting the C-terminal, the Ab274, was additionally investigated in collaboration between Masliah, Seung-Jae Lee and colleagues [31]. The Ab274, a IgG2a murine mAb, was investigated in PD-GF β - α syn mice (line M) showing reduced α syn in cortical and limbic brain regions by microglial phagocytosis, additionally improving behavioral deficits [31]. Two other mAbs have been produced to target the C-terminal of α syn, the Syn211 [32] and AB2 [33]. The Syn211 was tested in wild-type (wt) mice with intrastriatal injection of preformed α syn fibrils (PFFs) and reduced insoluble α syn and phosphorylated α syn aggregates [32]. The AB2 mAb similarly reduced α syn in brain homogenates in nigral α syn-overexpressing wt rats [33].

3. N-Terminal and NAC Targeting Approaches

Interestingly, Tran and Shahaduzzaman tested an N-terminal-targeting antibody in parallel: Syn303 (aa 1–5) [32] and AB1 (aa 16–35) [33]. It seemed that the mAbs targeting the N-terminal surpassed the effects of the C-terminal targeting mAbs. In addition to overall reduced α syn levels, the Syn303 reduced α syn spread in the SNpc with 30% and in the ipsilateral and contralateral amygdala with 40%, and further improved motoric deficits [32]. However, in a later study, Syn303 was found inferior to their novel syn9048 mAb targeting the C-terminal and preferably binding aggregated α syn structures [34]. The N-terminal-targeting AB1 additionally reduced DA and NeuN cell loss [33]. Very recently Chen and colleagues [35] (Chen et al., 2021) conducted a preclinical study using a NAC-targeting mAb (NAC32), which showed reduced α syn pathology in the SN (25%), prevented TH+ neuron degradation and further reduced behavioral deficits [35]. Targeting monomeric (soluble non-toxic) α syn proposes a different challenge, as reduction of functional α syn potentially could harm normal physiological properties. After all, studies investigating α syn knock-out or knock-down have shown aberrant dopamine synthesis and release, and even dopaminergic degeneration [36], and potential other physiological functions. It is therefore of utmost importance to ensure that mAbs targeting monomeric non-toxic α syn do not negatively affect normal dopamine synthesis and/or its release. A way to circumvent this challenge is to target extracellular toxic α syn conformers.

4. Conformational Targeting Approaches

Numerous antibodies have been developed targeting different α syn conformational structures, from small oligomeric to larger fibrillary structures. Lindstrøm and colleagues [37] were the first to report on a mAb selective for conformational α syn structures, this mAb47 is an IgG1 mAb which only reduces α syn protofibrils in the spinal cord, but not in the brain, of Thy-1-H[A30P] mice [38]. Kallab and colleagues [39] later worked with a different clone of mAb47, called Rec47, in an MSA mouse model, the PLP- α syn tg mouse model, which, in contrast to Lindstrøm and colleagues [37], showed reduced microglia signal and reduced activated microglial cells, correlated to reduced oligomeric α syn. Furthermore, they observed reduced GCIs in the spinal cord, colocalization of phosphorylated α syn pathology and correlation between Iba-1 positive microglia and oligomeric α syn. They suggested an autophagy-directed elimination of α syn [39]. Very recently, Nordström and colleagues thoroughly investigated the mAb47 (murine version of ABBV-0805), firstly establishing the binding region of the mAb to the C-terminal (121–127 aa) of α syn, but more selective for aggregated α syn species [40]. Nordström and colleagues extensively evaluated mAb47 in three different PD mice models with and without injection of preformed fibrils (to induce seeding) in both a prophylactic and therapeutic manner. They observed in wt mice, as well as in Thy-1-h[A30P] mice injected with 10 μ g fibrils in the gastrocnemius muscle, a prolonged survival with the mAb47 treatment. In a Thy-1-h[A30P] mice injected with 1 μ g fibrils, they further observed a reduced soluble and insoluble α syn in the brain and reduced levels of phosphorylated α syn in the CSF in both a prophylactic and therapeutic regime. Moreover, both soluble and insoluble levels were reduced in the brain in a dose-dependent administration of mAb47, more effective towards soluble α syn. Lastly, they investigated the efficacy of mAb47 in an A53T+/- intracerebral fibril-seeding mice model with fibril injection into the anterior olfactory nucleus. After 16 weeks of weekly mAb47 intraperitoneal administration, spreading of phosphorylated α syn was reduced in the CA1 hippocampal region [40]. El-Agnaf and colleagues studied three antibodies selective for oligomers and aggregates (Syn-01, Syn-02 and Syn-04) and two for mature aggregates (Syn-F1 and Syn-F2) [41]. Weekly injections over a 3-

month period in mThy1 α syn (line 61) mice showed that the Syn01, Syn-04 and Syn-F1 exhibit an overall similar effect by reducing α syn in central brain regions (striatum, SN, and neocortex). Moreover, they reduced total α syn, oligomeric α syn and Syn-01, Syn02 and Syn-04 also reduced 5G4-aggregated α syn. Only the Syn-01, Syn-04 and Syn-F1 rescued neuronal degradation and behavioral deficits. Syn-01 and Syn-04 further reduced astro- and microgliosis [41]. As for the 1H7, Schofield and colleagues from AstraZeneca among others developed a high-affinity monoclonal anti- α syn antibody, MEDI1341, which binds the C-terminal monomeric form and aggregated α syn [42]. Weekly administration of MEDI1341 in mThy1 α syn mice with intra-hippocampal α syn injections [30], reduced α syn in hippocampal and neocortical areas [42]. As mentioned, Henderson and colleagues [34] tested the preferred binding of the novel Syn9048 mAb. Comparable to the previously tested mAb, Syn303 [32], Henderson et al. demonstrated reduced spread of α syn pathology in the brain and attenuated dopamine reductions in the striatum of wt mice with PFF unilateral injection in the dorsal striatum [34]. Huang and colleagues used a different approach, isolating anti- α syn nAbs from IViG using column chromatography, and administered them weekly at low (0.8 mg/kg) and at high (2.4 mg/kg) dosages in a A53T transgenic PD mouse model [43]. In both low and high dosages Huang and colleagues showed that nAbs reduced phosphorylated α syn and soluble α syn in the brainstem. Both dosages reduced astrocytes in the striatum and increased α syn and microglia co-localization, as well as rescued motoric deficits. The rescuing effects were shown to be effective in a dose-dependent manner, with further reduced phosphorylated α syn in cortical areas and reduced total human insoluble, soluble and oligomeric α syn as in the brainstem. The effect of higher dosage further rescued behavioral deficits, in addition to the rescuing effect of pathological alterations e.g., reduced activated microglia and rescued TH+ positive neurons among others [43]. The BIIB054, also called cinpanemab, is a monoclonal mAb targeting the N-terminal (aa 1–10) with 800-fold greater affinity towards aggregated α syn produced by Weihofen and colleagues in collaboration between Biogen Ltd. and Neurimmune AG Ltd. [44]. Weihofen and colleagues tested the BIIB054 in three different mouse models: (1) in female wt seeded contralateral with fibrils, they observed reduced truncated α syn at 100 days and improved hangwire test at 60 days; (2) in male transgenic A53T mice (M83) seeded with fibrils in the striatum, they showing less severe paralysis at day 5, reduced paralysis at day 7 and weight loss at day 9; and (3) in male and female fibril-seeded BAC α syn A53T mice [45], they reported rescuing effects of the contralateral DAT signal at 90 days post seeding [44].

Huang and Weihofen investigated α syn-specific IViG nAbs and the BIIB054 mAb respectively [43][44], and both incorporate the idea that healthy individuals have antibodies resisting pathology. Huang and colleagues isolated anti- α syn nAbs from IViG, containing immunoglobulins gathered from a large healthy population [43]. Weihofen and colleagues went a step further, investigating the paratopes from a repertoire of B cell receptors (BCRs) from healthy individuals and produced α syn-specific nAbs from the repertoire [44]. In both studies, the nAbs showed significant rescuing effects in preclinical animal PD models. **Table 1** shows an overview of PD animal studies investigating the different passive immunization strategies.

5. Passive Candidates Translated into Clinical Trials

Of the preclinical evaluated passive immunization candidates, a few have been translated into clinical trials (**Table 1**). *Prasinezumab* (PRX002) is a humanized IgG1 antibody from the murine version of 9E4 [18][28]. Although it did not meet its primary outcome (MDS-UPDRS), the antibody significantly showed decline on the UPDRS-III and patients with fast progressive and severe symptoms benefited more from the treatment and is currently running phase II, the PASADENA study. The second antibody tested in clinical trials is the mAB47or rec47 [37][39], now called ABBV-0805, however, the company AbbVie cancelled the phase Ib trial due to strategic reasons. MEDI1341 from AstraZeneca and Takeda Pharmaceuticals are currently running its phase Ib in early PD patients; the study will run into 2022. BIIB054, also called *Cinpanemab*, classified as a human-derived mAb made through reverse translational engineering, started a large phase II study, SPARK, but halted the development of *Cinpanemab* after it missed its primary and secondary endpoint. A fourth mAb, called LU AF82422, a humanized IgG1 monoclonal antibody, did not report any preclinical report, and no results from its phase I study are available yet. However, they recently released a phase II initiation press release.

Table1. Passive immunization candidates currently in clinical trials.

Target (αsyn)	Name	Companies	Antibody/Clone	Binding Site (aa)	Clinical Groups	Current Clinical Phase	Clinical Trial ID
Aggre.	PRX002/(<i>Prasinezumab</i>)–PASADENA study	Hoffman-La Roche; Prothena Biosciences Limited.	Humanized IgG1 mab version of murine 9E4	Preferable aggregated αsyn within the C-terminal at aa 118–126 (VDPDNEAYE)	PD patients (H&Y < 2)	Phase II; active; recruitment completed.	NCT03100149
Aggre. (Oligo/proto-fibrils)	ABBV-0805	AbbVie; BioArctic Neuroscience AB	Humanized mAB47 mab	Preferable aggregated αsyn within the C-terminal at aa 121–127 (DNEAYEM)	PD patients (<5 years from diagnosis and H&Y < 3)	Phase I; recruiting.	NCT04127695
Aggre.	MEDI1341	Astra Zeneca; Takeda Pharmaceuticals	Humanized IgG1 mab	Preferable aggregated αsyn within the C-terminal (within the aa 103–129 region)	Healthy individuals (MEDI1341 vs. placebo)	Phase I; recruitment completed.	NCT03272165
Aggre.	BIIB054 (<i>Cinpanemab</i>)–SPARK study	Biogen; Neuroimmune	Healthy human memory B cells derived mab	Preferable aggregated αsyn, oxidized at N-terminal aa: 4–10 (FMKGLSK)	PD patients (<3 years from diagnosis and H&Y < 2.5)	Phase II; Terminated	NCT03318523

Target (αsyn)	Name	Companies	Antibody/Clone	Binding Site (aa)	Clinical Groups	Current Clinical Phase	Clinical Trial ID	
Aggre.	Lu AF82422–AMULET study	H. Lundbeck A/S; Genmab A/S	Humanized IgG1 mab	Preferable aggregated αsyn within the C-terminal at aa 112–117 (ILEDMP)	MSA-P and MSA-C patients (<5 years from diagnosis, UMSARS ≤ 16, MoCA ≥ 22)	Phase II; recruiting	NCT05104476	not, H.; with

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Several mechanisms have been implicated to trigger the initiation of pathogenic αsyn in the gut. Besides regulating the uptake of nutrients and water, the gut also provides an essential barrier against harmful or toxic substances from the external environment entering the body. About 400 m² of gut internal membranes are exposed to environmental factors, compared to ~2 m² of total skin surface area, meaning the gut is the main organ protecting against pathogens and toxins.

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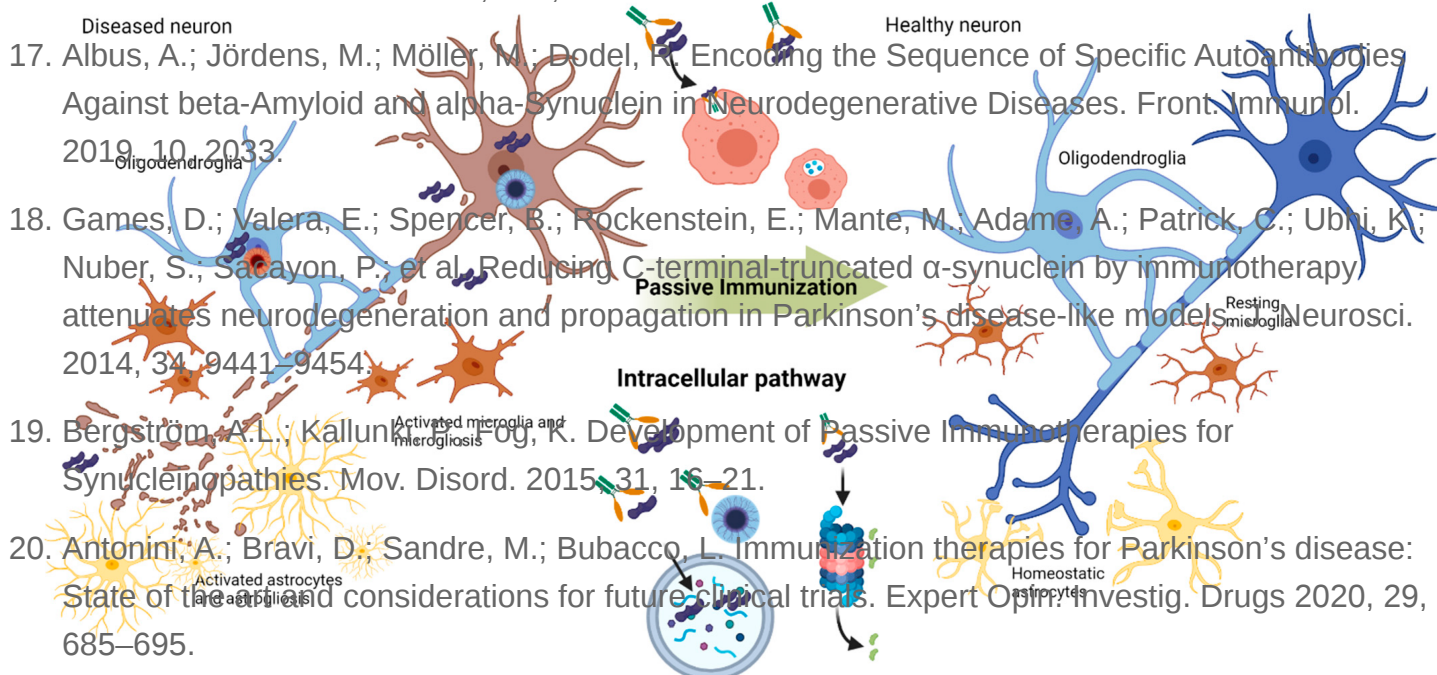
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