# **Blood–Brain Barrier Transport of Transferrin** and Insulin

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Biologics can be re-engineered for blood-brain barrier (BBB) transport as IgG fusion proteins, where the IgG domain is a monoclonal antibody (MAb) that targets an endogenous BBB transporter, such as the insulin receptor (IR) or transferrin receptor (TfR). The IR and TfR at the BBB transport the receptor-specific MAb in parallel with the transport of the endogenous ligand, insulin or transferrin.

blood–brain barrier brain drug delivery monoclonal antibody

transferrin receptor

insulin receptor

### **1. Structure of the Human Transferrin Receptor-Holo Transferrin Complex**

Transferrin (Tf) is a 679 amino acid bilobular protein comprised of an N-lobe (amino acids 1-331) and a C-lobe (amino acids 339-679), joined by a short linker (amino acids 332-338), and both lobes bind 1 ferrous (Fe<sup>+3</sup>) atom 1. There are two transferrin receptors, TfR1 and TfR2, which are products of separate genes 2. The TfR expressed at the BBB was identified with a BBB genomics investigation as TfR1  $^{[3]}$ . The crystal structure at a resolution of 3.2 angstroms was reported for the complex of the human TfR1 extracellular domain (ECD) and holo-Tf [1]. The human TfR1 ECD was expressed in baby hamster kidney (BHK) fibroblasts, and the human Tf was also expressed in BHK cells <sup>[1]</sup>. The Tf was mutated (Y426F, Y517F) to eliminate iron binding to the C-lobe, and the Tf was also mutated (N413D, N611D) to eliminate Tf N-linked glycosylation <sup>[1]</sup>. The hetero-tetrameric Tf-TfR complex is formed by two receptors and two holo-Tf molecules [1]. The TfR1 is a 760 amino acid protein comprised of multiple domains, including the intracellular amino terminal domain (amino acids 1-67), the transmembrane domain (amino acids 68-88), a stalk domain, which forms disulfide bonds between two receptors (amino acids 89-120), two protease-like domains (amino acids 121-188 and 384-606), an apical domain (amino acids 189-383), and a helical domain (amino acids 607-760) <sup>[1]</sup>. Amino acids 121-760 form the monomeric ECD of the TfR1. Transferrin in plasma exists in three forms: about 40% is apo-Tf, which does not bind to the TfR1 at physiologic pH; about 30% is diferric holo-Tf; and about 30% is mono-ferric Tf [1]. The affinity of diferric Tf for the TfR1 is ~6-fold greater than the affinity of monoferric Tf [4]. The concentration of Tf in human plasma is 45,000 nM [5], and the concentration of holo-Tf is about 25,000 nM. The plasma concentration of holo-Tf is nearly 1000-fold greater than the TfR1 concentration at the brain capillary endothelium in vivo, which is 40 nM <sup>[6]</sup>. The optimal TfRMAb binding site on the TfR is the apical domain, as holo-Tf binds to the protease-like and helical domains of the TfR1 as shown in Figure 1A.



**Figure 1.** (**A**) Three-dimensional structure of the complex of the human TfR ECD and holo-Tf. The tetrameric complex is comprised of 2 TfRs and 2 holo-Tf molecules. The cell surface is at the bottom of the structure and the apical domain (blue) is at the top; the 2 protease-like domains are shown in green and the helical domain is shown in brown/tan. The N-lobe and C-lobe of Tf are shown in gray/black and purple, respectively. The Fe<sup>+3</sup> bound within the N-lobe is shown in red; the linker between the N and C lobes of Tf is cyan. Reproduced with permission from <sup>[1]</sup>. (**B**) Two-dimensional structure of the human IR as a monomer (top) and a dimer (bottom). A single disulfide bond joins the alpha and beta chains of each monomer, and the dimer is formed by 2 disulfide bonds between each alpha chain. Reproduced from <sup>[7]</sup>, Copyright© 2011 licensed under Creative Commons Attribution License (CC-BY). (**C**) Three-dimensional structure of the complex of the human IR and insulin. The structure is comprised

of the IR dimer and 4 bound insulin molecules. Insulin bound to the second site formed by the FnIII-1/FnIII-2 domains is encircled. Reproduced with permission from <sup>[8]</sup>, Copyright© 2021 Elsevier, as reported in <sup>[9]</sup>. The IR domains in panels B and C are defined in the text.

### 2. Structure of the Human Insulin Receptor-Insulin Complex

There are two human insulin receptors, designated IR-A (short form) and IR-B (long form), which are derived from a single gene by alternate processing of the primary transcript. In IR-A, which is primarily expressed in cancer and fetal tissues [10], exon 11 is deleted, resulting in a 12 amino acid truncation at the carboxyl terminus of the alpha chain, which corresponds to the  $\alpha$ -CT domain of IR-B. IR-B is the isoform predominantly expressed in tissues <sup>[10]</sup>. Following removal of a 27 amino acid signal peptide, IR-B is encoded as a 1355 amino acid polypeptide, which is proteolytically cleaved to the alpha chain, amino acids 1-731 (not counting the signal peptide), and the beta chain, amino acids 736–1355 [11]. This separation into alpha and beta chains occurs at a furin cleavage site, RKRR [12], which corresponds to amino acids 732-735, and this sequence is removed in the cleavage. The cleavage into the separate alpha and beta chains is shown in Figure 1B (top). The alpha chain is formed by the first leucine-rich (L1) domain, the cysteine-rich (CR) domain, the second leucine-rich (L2) domain, the first fibronection III domain (FnIII-1), the first part of the second fibronection III domain (FnIII-2 $\alpha$ ), and the first part of the insert domain (ID $\alpha$ ); the final 12 amino acids of the alpha chain is the  $\alpha$ CT domain, which is involved in insulin binding <sup>[11]</sup>. The beta chain is formed by the second part of the insert domain (ID $\beta$ ), the second part of the FnIII-2 domain (FnIII-2 $\beta$ ), the third fibronectin domain (FnIII-3), the transmembrane (TM) domain, the juxtamembrane (JM) domain, the tyrosine kinase (TK) domain, and the carboxyl terminus (Figure 1B, top). An inter-chain disulfide bond joins the alpha and beta chains, and two additional disulfides between the two alpha chains form the hetero-tetrameric structure of the IR (Figure 1B, bottom). The ECD of the IR, which is approximately 900 amino acids in length, is formed by cleavage near the TM domain and includes all of the alpha chain and the amino terminal portion of the beta chain. The crystal structure of the ECD of the human IR complexed with monoclonal antibodies was originally produced [13]. Recently, the three-dimensional structure of the complex of insulin and the IR tetrameric structure was generated with cryo electron microscopy  $\frac{9}{14}$ , as recently reviewed  $\frac{8}{2}$ , and this structure is shown in **Figure 1**C. The structure of the insulin/IR complex reveals each IR monomer binds two insulin molecules, so that the IR dimer shown in Figure 1C binds four insulin molecules; two insulins are bound to the classical high-affinity binding site formed by interaction of the L1 and  $\alpha$ CT domains of each alpha subunit and two insulins are bound to a low-affinity second site formed by interactions of the FnIII-1 and FnIII-2 domains of each alpha subunit (Figure 1C). Insulin is synthesized as a proinsulin precursor in pancreatic beta cells, and proinsulin is cleaved to 2 insulin subunits, the 21 amino acid A-chain and the 30 amino acid B-chain, which are joined together by 2 disulfide bonds <sup>[8]</sup>. The fasting plasma insulin concentration is about 0.3 nM in humans and primates [15][16]. The plasma concentration of insulin is ~100-fold lower than the IR concentration at the brain capillary endothelium in vivo, which is 24 nM  $^{6}$ .

## 3. BBB Transport of Holo-Transferrin

The model solutions by numerical analysis of a partly flow-partly compartmental model of BBB holo-Tf transport have been described previously <sup>[6]</sup>, and the holo-Tf model is shown in **Figure 2**.



**Figure 2.** Model of transport of holo-transferrin (Tf) from the blood to the brain extracellular space (ECS) through the brain capillary endothelium, which forms the BBB in vivo. Holo-Tf in plasma binds the transferrin receptor (TfR) on the luminal endothelial membrane to form the luminal Tf-TfR complex, which is followed by endocytosis into the intra-endothelial compartment. Following dissociation of the Tf within the endothelium, the Tf undergoes exocytosis into the brain extracellular space (ECS). The model allows for estimations of the concentrations of Tf, or the TfR, in each pool in the transcytosis pathway, and these concentrations are shown in the light-yellow boxes. Adapted from <sup>[G]</sup>, Copyright© 2021 licensed under Creative Commons Attribution License (CC-BY). Image created with <u>Biorender.com</u>.

The dissociation ( $k_{off}$ ) and association ( $k_{on}$ ) rate constants of holo-Tf binding to the human TfR are 0.06 min<sup>-1</sup> and 0.1 nM<sup>-1</sup>min<sup>-1</sup>, respectively, which corresponds to a K<sub>D</sub> = 0.6 nM <sup>[17]</sup>. The rate constants of endocytosis ( $k_{endo}$ ), exocytosis ( $k_{exo}$ ), receptor recycling ( $k_{recycle}$ ), and cerebral blood flow ( $k_{CBF}$ ) are 0.07–0.14 min<sup>-1</sup> ( $T_{1/2} = 5$ –10 min), 0.14 min<sup>-1</sup> ( $T_{1/2} = 5$  min), 0.035 min<sup>-1</sup> ( $T_{1/2} = 20$  min), and 42 min<sup>-1</sup> [6]. The initial conditions of the model set [Tf] = 25,000 nM and [Tf] = 0 for the concentration of Tf in the plasma and brain ECS, respectively. The experimentally observed concentration of Tf in the brain is 114 ug/gram <sup>[18]</sup>, which is equal to 2000 nM, as the brain water volume is 0.7 mL/g <sup>[19]</sup>. Given a rate constant of Tf degradation in the brain of  $\mu_{K} = 0.00014 \text{ min}^{-1}$  ( $T_{1/2} = 82 \text{ h or } 3.4 \text{ days}$ ), model analysis showed the Tf in the brain ECS reached an equilibrium concentration of 1900 nM. This  $T_{1/2}$  of Tf removal from the brain of 3.4 days corresponds to the plasma  $T_{1/2}$  of Tf, which is 2.5 days <sup>[20]</sup>. At steady state, the

concentration of free TfR on the luminal membrane was ~0 (**Figure 2**), owing to the vastly greater concentration of holo-Tf in plasma, 25,000 nM, as compared to the total concentration of TfR, 40 nM, at the brain capillary endothelium <sup>[6]</sup>. Most of the endothelial TfR, 30 nM or 75% of total endothelial TfR, was localized to the intraendothelial compartment as a Tf-TfR complex; the concentration of free Tf and free TfR within the endothelial compartment was estimated to be 2 and 8 nM, respectively (**Figure 2**). The concentration of the Tf-TfR complex at the endothelial luminal membrane is 2 nM, which is only 5% of the total endothelial TfR (**Figure 2**). The absence of free TfR at the endothelial luminal membrane indicates a TfRMAb in the plasma binds the tetrameric complex of holo-Tf and the TfR (**Figure 1**A), which is embedded in the endothelial plasma membrane.

# 4. BBB Transport of Insulin

A partly flow-partly compartmental model of BBB transport of insulin is outlined in Figure 3.



**Figure 3.** Model of transport of insulin (INS) from the blood to the brain extracellular space (ECS) through the brain capillary endothelium, which forms the BBB in vivo. INS in plasma binds the insulin receptor (IR) on the luminal endothelial membrane to form the luminal INS-IR complex, which is followed by endocytosis into the intraendothelial compartment. Following dissociation of the INS within the endothelium, the INS undergoes exocytosis into the brain ECS. The model allowed for estimations of the concentrations of INS, or the IR, in each pool in the transcytosis pathway, and these concentrations are shown in the light-yellow boxes. Adapted from <sup>[6]</sup>, Copyright© 2021 licensed under Creative Commons Attribution License (CC-BY). Image created with <u>Biorender.com</u>.

The differential equations and insulin (INS) model solutions by numerical analysis have been described previously <sup>[6]</sup>. The dissociation ( $k_{off}$ ) and association ( $k_{on}$ ) rate constants of INS binding to the human IR are 0.26 min<sup>-1</sup> and 0.1 nM<sup>-1</sup>min<sup>-1</sup>, respectively, which corresponds to a  $K_D = 2.6$  nM <sup>[21]</sup>. The rate constants of endocytosis (k<sub>endo</sub>), exocytosis ( $k_{exo}$ ), and receptor recycling ( $k_{recvcle}$ ) are 0.023 min<sup>-1</sup> ( $T_{1/2}$  = 30 min), 0.035 min<sup>-1</sup> ( $T_{1/2}$  = 20 min), and 0.035 min<sup>-1</sup> ( $T_{1/2}$  = 20 min), respectively, as described previously <sup>[6]</sup>. The rate constant of cerebral blood flow  $(k_{CBF})$ , 42 min<sup>-1</sup> ( $T_{1/2} = 1$  s), was derived from the Vp/CBF ratio, where the brain plasma volume (Vp) is 0.01 mL/g <sup>[22]</sup>, and the rate of cerebral blood flow (CBF) is 0.6 mL/min/g <sup>[23]</sup>. The rate constant of INS degradation within the endothelium,  $\mu_J$ , was fixed at 0.0058 min<sup>-1</sup> ( $T_{1/2}$  = 2 h), as prior work showed no insulin degradation by isolated human brain microvessels within 60 min at 37 °C [3]. The initial conditions of the model set [INS] = 0.3 nM and [INS] = 0 for the concentration of INS in the plasma and brain ECS, respectively. If the model was run from 0 to 6 h, and the rate constant of INS degradation in brain was set at  $\mu_{\rm K}$  = 0.138 min<sup>-1</sup> (T<sub>1/2</sub> = 5 min), then the INS in brain ECS reached an equilibrium concentration of 0.3 nM (Figure 3), which corresponds to the experimentally observed insulin concentration in the brain. The brain insulin concentration is 9.6  $\pm$  3.4  $\mu$ U/g [24], which is equivalent to 48  $\mu$ U/mL, given an ECS volume in the brain of 0.2 mL/g <sup>[25]</sup>. Converting  $\mu$ U of insulin to fmol of insulin, based on 1  $\mu$ U = 6 fmol <sup>[26]</sup>, the experimentally observed brain insulin concentration is 0.3 nM. A T<sub>1/2</sub> of 5 min of INS removal from brain ECS corresponds with the  $T_{1/2}$  of INS removal from plasma, which is 4–6 min [27]. At steady state, the concentration of free IR on the luminal membrane was 20 nM (Figure 3), which is 83% of the total IR, 24 nM, in the brain capillary endothelium <sup>[6]</sup>. The high concentration of free IR at the luminal membrane (**Figure 3**), compared to the very low level of free TfR at the luminal membrane (Figure 2), is due to the nearly 5 log orders of magnitude difference in the plasma concentration of INS, 0.3 nM, and holo-Tf, 25,000 nM. The concentration of free INS and free IR within the endothelial compartment was estimated to be 0.8 and 1 nM, respectively (Figure 3). These modeling studies for INS indicate an IRMAb in the plasma primarily binds to the unbound IR, rather than the INS-IR complex, where IRMAb is a MAb against the IR.

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