## Extracellular Hsp60, Hsp70 and Hsp90

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The role of three members of the Chaperone Systems (CS)—heat shock protein (Hsp)60, Hsp70, and Hsp90—in Immune Systems (IS) modulation and neuroinflammation. These three chaperones occur intra- and extracellularly, with the latter being the most likely involved in neuroinflammation because they can interact with the IS.

chaperone system	molecular chaperones	Neurodegeneration	Inflammation	
Alzheimer's Disease	Parkinson's Disease	myotrophic Lateral Scl	erosis Hsp60 I	Hsp70

Hsp90

# 1. Immunomodulatory Function of Extracellular Hsp60, Hsp70, and Hsp90

Hsp60, Hsp70, and Hsp90 interact with the immune system in many ways and thereby have an impact on neurodegenerative diseases. Extracellular Hsp60, Hsp70, and Hsp90 influence both the innate and the adaptive immune responses. Generally, extracellular Hsp-receptor interaction involves specific receptors expressed on macrophages and dendritic and microglia cells, including toll-like receptors (TLRs), scavenger receptors (SR), and other molecules [1]. For example, Hsp70 and Hsp90 can interact with the SR LOX-1 [2], and Hsp70 interacts also with multiple members of the SR family <sup>3</sup>. The SR are expressed on different types of cells and they are involved in the binding and internalization of stress proteins <sup>[3]</sup>. Extracellular Hsp60, Hsp70, and Hsp90 can modulate the innate immune response, causing the secretion of proinflammatory cytokines by APCs <sup>[4]</sup>. This interaction elicits a proinflammatory response that involves mainly nuclear factor-kappa B (NF-kB). These chaperones are endogenous ligands for TLRs, and by interacting also with CD14 molecules, they can induce the production of cytokines (e.g., interleukin 1 beta (IL-1β), IL-6, inducible isoform of nitric oxide synthase (iNOS)) <sup>[5][6]</sup>. TLR4 is a receptor expressed on the microglia plasma cell membrane with a key role in the generation of immune responses in the nervous system, responses that are implicated in the development of neurodegenerative disorders  $\square$ . For instance, Hsp60 can mediate neuroinflammation through a MyD88-dependent pathway by interacting with TLR4 on the microglia surface <sup>[6]</sup> and by inducing the production of proinflammatory factors via microglial LOX-1 <sup>[8]</sup>. Intrathecal injection of Hsp60 lead to neurodegeneration and demyelination by the activation of TLR4-MyD88 signaling in microglial cells 9. Hsp70 can interact with microglia, dendritic cells, and macrophages through TLR2 and TLR4, leading to proinflammatory NF-kB activation and its associated pathways <sup>[10]</sup>. Hsp90 interacts with an extensive list of key mediators involved in pathways regulating inflammatory and immune responses. For example, among the protein clients of Hsp90, there is the receptor-interacting protein (RIP) kinase, which is involved in the

innate immune response and in the cell-death signaling pathway. <sup>[11]</sup> RIP, following TLR4 activation, induces the expression of proinflammatory cytokines by NF-kB signaling <sup>[12]</sup> (<u>Figure 1</u>).

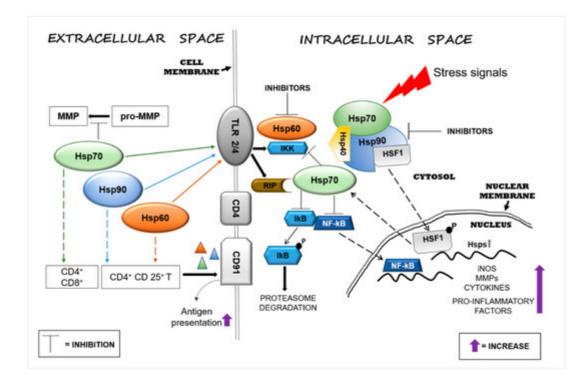


Figure 1. Heat shock protein (Hsp)60, Hsp70, and Hsp90 modulate inflammatory reactions by interacting with factors involved in the regulation of innate and adaptive immune responses. Stressors can activate the immune system and, in turn, promote neurodegeneration by inducing Hsps in brain tissue as a mechanism of protection. Extracellular Hsp60, Hsp70, and Hsp90 interact with receptors present on the surface of cells of the neural tissue's immune compartment (e.g., microglia) and elicit pro- or anti-inflammatory responses, depending on the local cellular status. The interaction of extracellular Hsp60, Hsp70, and Hsp90 with toll-like receptor (TLR)2/4 induces the activation of the nuclear factor-kappa B (NF-kB) inhibitor protein, which in turn triggers the activation of the NFkB pathway, promoting an inflammatory response. Hsp60, Hsp70, and Hsp90 form complexes with antigens (represented by triangles) mediating their presentation via the CD91 cell surface receptor on antigen-presenting cells (APCs) <sup>[13]</sup>. Hsp90 plays a proinflammatory role through the interaction with its client proteins, such as members of the receptor-interacting protein (RIP) kinases and, thereby, activates the NF-kB pathway. Under physiological conditions, intracellular Hsp90, by blocking heat shock factor (HSF)1, prevents the transcription of Hsp genes, such as Hsp70, or other genes that code for anti-inflammatory molecules. The pharmacological inhibition of Hsp90 can lead to upregulation of the transcription of intracellular Hsp70 and of anti-inflammatory molecules by its release. Abbreviations: MMP, matrix metalloproteinase; TLR, toll-like receptor; IKK, inhibitor of KB kinase; RIP, receptor interaction protein; CD, cluster of differentiation; IkB, inhibitory subunit I kappa B-alpha; NFkB, nuclear factor kappa-light-chain-enhancer of activated B cells; HSF1, heat shock factor 1; P, phosphate; Hsp, heat shock protein; iNOS, inducible isoform of nitric oxide synthase.

Extracellular Hsp60, Hsp70, and Hsp90 can also help antigen presentation in the adaptive immune responses by upregulating the expression of major histocompatibility complex (MHC) molecules and their load <sup>[14][15]</sup>.

Extracellular Hsp70 and Hsp90 complexed with antigens elicit the responses of cluster of differentiation (CD)8+ or CD4+ T cells by adaptive receptors <sup>[1]</sup>, while Hsp60 by itself can stimulate regulatory CD4+ and CD 25+ T cells (Tregs), leading to an immunosuppressive adaptive response without APC participation [1][16]. In addition, the chaperone-peptide complexes can also recognize the CD91 receptor of macrophages/dendritic cells and facilitate antigen presentation <sup>[13]</sup> (Figure 1). The activation of the adaptive response via Hsp70 might represent a negative reaction for the cell, but it could be considered advantageous for the development of immunological memory in preparation for rapid reaction against subsequent insults [17]. In contrast to the proinflammatory function of extracellular Hsp70, intracellular Hsp70 has an anti-inflammatory effect in the brain, especially when overexpressed following brain damage. Thus, Hsp70 can be anti-inflammatory because it can block the expression of proinflammatory molecules, such as matrix metalloproteinases [18], and it can also promote the reduction or the inhibition of NF-kB activity [19][20] (Figure 1). In addition, intracellular Hsp70 interferes also with genes involved in various neuronal pathways such as transmission of nerve impulses <sup>[21]</sup>. Therefore, extracellular Hsp70 could in principle have anti-inflammatory and neuroprotective effects similar to those of the intracellular counterpart <sup>[22]</sup>. Consequently, it is likely that an increase of intracellular Hsp70 will lead to an increase of functional extracellular Hsp70, contributing to the reduction of the inflammation associated with neurodegeneration. Pharmacological increase of the Hsp70 level in neurons and microglia by 17-N-allylamino-17-demethoxygeldanamycin (17-AAG) reduced the hemorrhagic volume in a mouse model of traumatic brain injury <sup>[23]</sup>. Likewise, 17-AAG inhibition of Hsp90 induced the expression of Hsp70 and Hsp60 <sup>[24]</sup>. Thus, it may be said that Hsp70, Hsp60, and Hsp90 promote inflammatory responses and, consequently, neuronal damage and are implicated in neuroinflammation and neurotoxicity. Cytosolic Hsp60 has been shown to directly interact with the inhibitor of KB kinase (IKK), promoting activation of NF-kB-dependent gene transcription by tumor necrosis factor- $\alpha$  (TNF $\alpha$ ) <sup>[25]</sup> (Figure 1). Hsp90 can induce a proinflammatory response in different ways, for example, by sequestering the regulator transcriptional factor heat shock factor (HSF)1 and thereby inhibiting the expression of Hsps (e.g., Hsp70) or activating the NF-kB pathway through the activation of its protein clients RIP <sup>[26]</sup> (Figure 1). In view of these results, Hsp60 and Hsp90 modulators appear as potentially useful agents for controlling inflammation in the nervous system [27][28]. Currently, numerous compounds have been designed to inhibit Hsp90 activity, but few have been developed for Hsp60 <sup>[29]</sup>. Hsp90 inhibitors have been developed to directly act on the chaperone or on its client proteins. Some inhibitors block the Hsp90 folding activity linked to adenosine triphosphate (ATP)-dependent conformation changes <sup>[30]</sup>, while others inactivate its client proteins via proteasomal degradation <sup>[31]</sup>. For example, geldanamycin induces the degradation of Hsp90 client proteins of the RIP family with the consequent inhibition of TNF-mediated IkB kinase and NF-kB activation <sup>[26]</sup>. Furthermore, Hsp90 forms a complex with HSF1, blocking its translocation to the nucleus and, thereby, impedes the upregulation of Hsp70 and other anti-inflammatory molecules [32] (Figure 1). Most of the compounds that inhibit Hsp70 function by targeting its ATP hydrolysis activity or specific cysteine residues [33].

### 2. Extracellular Hsp60, Hsp70, and Hsp90 in Acute Nervous System Injury and Chronic Neurodegenerative Diseases

Neurodegenerative diseases are accompanied by inflammatory responses aimed at eliminating dead and damaged neuronal cells to restore the compromised area to its normal status <sup>[34]</sup>. It should be borne in mind that while short-lived inflammatory responses generally have a beneficial effect, excessive and persistent release of inflammatory mediators can be harmful to brain tissue [35]. Moreover, prolonged activation of microglia and astrocytes could also lead to the alteration of their beneficial functions, which they display under normal conditions [36]. Therefore, it is not surprising that neuroinflammation contributes to CNS diseases [37]. Although different in their origins, many neurodegenerative conditions are characterized by shared cellular responses that promote the upregulation of molecular chaperones as the first line of defense against misfolded, dysfunctional, and aggregation-prone proteins [38]. There is increasing evidence for the release of Hsp60, Hsp70, and Hsp90 into the extracellular environment, with functions that are complementary or independent of those of their intracellular counterparts. Since these chaperones lack a secretion signal in their sequences, the mechanisms by which they are released are poorly understood. In vitro and in vivo studies with Hsp60 have unveiled secretion pathways, involving lipid rafts and exosomes, which would explain the presence of Hsp60 in extramitochondrial sites such as interstitial space, cellular membrane, and biological fluids [39]. Similarly, nontraditional secretion mechanisms participate in the membrane delivery and release of Hsp70, involving lipid rafts <sup>[40]</sup> and lysosomes <sup>[41]</sup>, in line with its role as a lysosomal stabilizer <sup>[42]</sup>. Secretion of Hsp90 via exosomes depends on its ATPase function and on the open or closed conformational state of the Hsp90 dimer: the open state promotes Hsp90 release via exosomes, whereas the closed state blocks this process [43]. Different types of CNS cells, including neurons and glial cells, can release exosomes with their cargo of specific molecules that could affect the function of acceptor cells [44]. At the extracellular level, Hsp60 is known to contribute to neuroinflammation with possible negative implications: this chaperone is highly expressed in activated microglia, and when released extracellularly, it induces neuroinflammation with neuronal cell death [45]. For this reason, inhibition of Hsp60 expression and its release represents a possible therapeutic mechanism applicable to neurodegenerative diseases. The pro- and antiinflammatory effects of extracellular Hsp60, Hsp70, and Hsp90 in AD, PD, ALS, HD, and MS are summarized in Table 1 and discussed in the following paragraphs.

<b>Table 1.</b> Anti- and proinflammatory effects of extracellular Hsp60, Hsp70, and Hsp90 in neurodegenerative
diseases.

Disease	Hsp60	Hsp70	Hsp90
Alzheimer's disease (AD)	Anti-	Anti-	Pro-
Parkinson's disease (PD)	Pro-	Anti-	Pro-
Huntington's disease (HD)	Anti-	Anti-	Pro-
Amyotrophic lateral sclerosis (ALS)	Not reported	Anti-	Not reported
Multiple sclerosis (MS)	Pro-	Pro-	Pro-

#### 3.1. Alzheimer's Disease

AD is a neurodegenerative disorder in which the amyloid- $\beta$  peptide (A $\beta$ ) accumulates in extracellular deposits named plaque, whereas neurofibrillary tangles (NFTs) occur intracellularly with hyperphosphorylated tau [46][47]. Under pro-aggregating conditions (37 °C and stirring), extracellular Hsp60 inhibits the onset of Aβ cross-β-structure formation that typically accompanies the peptide assembly toward higher ordered structures [48]. The hypotheses formulated on the possible role of Hsp60 in the formation of protein deposits are mainly based on its holding activity. For instance, Hsp60 could act as a noncatalytic inhibitor of polypeptide aggregation by sequestering unfolded monomers via hydrophobic interactions. However, the stoichiometric ratio of the Aßpeptide/Hsp60 and the limitation of the methods applied for these measurements put a question mark on the validity of the results. In fact, the inhibition of amyloid formation appears discontinuous when passing from a 75:1 to 50:1 molar ratio. Furthermore, the method used, size-exclusion chromatography, cannot distinguish between AB monomers or peptide oligomers of very low molecular weight, such as dimers or trimers, nor can it discriminate between onpathway and off-pathway species. These data suggest that Hsp60 exerts its inhibitory action only under stress conditions and, in particular, in the presence of other factors such as high temperature and stirring, which favor the formation of on-pathway seeding species. [48]. Higher levels of Hsp60 were found in lymphocytes isolated from AD subjects [49][50]. αβ immunization with peptides derived from Hsp60 induced a decrease of cerebral amyloid burden in a mouse model [51]. Like Hsp60, extracellular Hsp70 also interacts with Aß oligomers, blocking their oligomerization into fibers and reducing their toxicity <sup>[52]</sup>. The engineered form of secreted Hsp70 (secHsp70) in Drosophila protects against the toxicity induced by A $\beta$ 42 deposits in the extracellular milieu <sup>[53]</sup>. Exogenous Hsp90 was found to induce microglial activation and to facilitate phagocytosis and clearance of Aß directly via the TLR4 pathway, but when bound to the A $\beta$  oligomers, it induced the production of IL-6 and TNF- $\alpha$  [54]. In another work, it was revealed that Hsp90 modulates the formation of the STIP1 (or Hsp70/Hsp90 organizing protein (HOP))/PrPC complex, which inhibits the neuroprotective role of STIP1 against amyloid-beta peptide [55]. However, it is still unclear whether extracellular Hsp70/Hsp90/STIP1 in AD brain exists separately or as a complex with the Aß aggregate [55]. All these observations indicate that the understanding of Hsp90's role in neurodegeneration deserves further investigation.

#### 3.2. Parkinson's Disease

PD is characterized by movement disorders and loss of dopaminergic neurons in the brain's substantia nigra pars compacta <sup>[56][57]</sup>. The disease is also characterized by aggregated  $\alpha$ -synuclein that forms nuclear inclusions called Lewy bodies <sup>[58]</sup>. A study in yeast cells has shown that null mutations in the Hsp60 gene are linked with defects in the folding of mitochondrial proteins, with accumulations of misfolded peptides analogous to the  $\alpha$ -synuclein aggregates of PD <sup>[59]</sup>. Hsp60, Hsp70, and Hsp90 interact with  $\alpha$ -synuclein in the Lewy bodies in PD patients. These inclusions consist not only of  $\alpha$ -synuclein aggregates but also contain molecular chaperones which have been sequestered in the aggregates while attempting to impede or correct protein misfolding and aggregation <sup>[60]</sup>. This sequestration leads to a deficit of chaperones available for maintaining protein homeostasis, namely, a chaperonopathy by defect occurs, which contributes to the aggravation of the pathologic process leading to neurodegeneration. The interaction between Hsp70 and  $\alpha$ -synuclein involves the central hydrophobic region of the pathological protein and the substrate-binding domain Hsp70 and is crucial for inhibiting assembly before the elongation stage <sup>[62]</sup>. The neuroprotective function of overexpressed Hsp70 has been confirmed in experimental

models in vivo <sup>[63]</sup>. There is less information regarding the protective role of Hsp90 in the regulation of  $\alpha$ -synuclein aggregation. Like in AD, Hsp60, Hsp70, and Hsp90 contribute to neuronal toxicity in PD. Hsp90 abolishes the binding of  $\alpha$ -synuclein to vesicles and promotes the formation of fibrils <sup>[64]</sup>. In in vivo and in vitro models of PD, it was found that Hsp60 expression gradually decreased after 6-hydroxydopamine (6-OHDA) injection into dopaminergic neurons (DA). This result may be explained by the release of Hsp60 by the damaged neurons, as suggested by its presence in the cell culture medium <sup>[65]</sup>. In PD models and patients, activation of microglia plays a key role in the release of proinflammatory factors that aggravate the loss of DA neurons <sup>[66]</sup>. Astrocytes, which are the predominant glial cell type in the CNS, are also critically affected by stressors. The expression of Hsp60 on the surface of activated microglia suggests that Hsp60 is involved in the progression of PD. Extracellular release of Hsp60 from CNS cells undergoing necrotic or apoptotic death activates microglia in a TLR4- and MyD88-dependent manner <sup>[6]</sup>. Hsp60 was released from degenerated neurons to activate microglia in a rat PD model, providing a novel idea for developing a therapeutic strategy to slow or stop PD progression by preventing the release of Hsp60 or interfering with the interaction between Hsp60 and microglia <sup>[65]</sup>.

#### 3.3. Amyotrophic Lateral Sclerosis

ALS is a chronic inflammatory demyelinating disease that affects motor neurons and is characterized by atrophy and paralysis of muscles, with progressive aggravation over the years [67]. This disease occurs sporadically, but a small percentage is familial with mutations in specific genes, such as the gene encoding the free-radicalscavenging enzyme superoxide dismutase-1 (SOD1)<sup>[68]</sup>. An important aspect of SOD1-associated ALS is the deposition of SOD1 in large insoluble aggregates in motor neurons. The SOD1 mutated protein mediates the induction of the disease through the dysregulation of the heat shock response (HSR)-apoptosis axis [69]. The development of ALS is linked to the formation of intracellular aggregates of misfolded proteins [67]. Few data are available regarding the involvement of molecular chaperones in ALS onset. Motor neurons of ALS patients have an intrinsic deficit in the ability to activate the HSR and, consequently, do not readily regulate Hsp expression, as shown, for example, for Hsp70 <sup>[70]</sup>. It has been observed that the Hsp70/Hsp40 pair is complexed with the mutant form of the SOD1 protein in cultured neuronal cells <sup>[71]</sup>. However, data indicate that the increase of Hsp70 level alone is not sufficient to ameliorate mutant SOD1-protein-mediated toxicity in mouse models [72]. Histamine is neuroprotective through the HSR in motor neurons and microglia cell cultures, and in vivo in spinal cord and cortex from symptomatic SOD1-G93A mice [73]. These results emphasize the relevance of histidine-induced Hsp70 stimulation for preserving motor function [73]. Further, the intraperitoneal administration of human recombinant exogenous Hsp70 increased lifespan, delayed the onset of symptoms, preserved locomotor function, and prolonged motoneuron survival in a mouse model of ALS [74]. Extracellular Hsp70 stimulates the survival of neurons following injury [75] and overexpressed Hsp70 induces the survival of astrocytes [76]. Under stress, astrocytes increase the release of exosomes enriched in Hsp70, with positive implications on the survival of nearby neurons [77]. Interestingly, exosomes derived from cancer cells express Hsp70 on their surface, which allows their interaction with target cells carrying surface Hsp receptors <sup>[29]</sup>. Hsp70 (DnaJC5/Hsc70 complex) is also believed to be involved in the extracellular release of proteins associated with neurodegenerative disease as part of its chaperoning functions [78]. Exogenous Hsp70 protects from oxidative damage death in motor neurons through binding and sequestration of toxic proteins [79].

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