# **FTIR for Vehicle Exhaust Emissions**

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In a Fourier Transform InfraRed (FTIR) spectrometer, some of the infrared (IR) radiation is absorbed by the sample, and some of it is passed through (transmitted). The resulting molecular absorption and transmission response can be used to identify the components of the sample and their concentration.

FTIRNDIRNDUVCLANHformaldehydeacetaldehydePEMS

### 1. Introduction

One method that can measure many compounds is FTIR (Fourier transform infrared) spectroscopy (= study of the interaction between light with matter) <sup>[1]</sup>. Many compounds absorb infrared energy at an intrinsic wave number (or wavelength) proportionally to their concentration. In an FTIR spectrometer, some of the infrared (IR) radiation is absorbed by the sample, and some of it is passed through (transmitted). The resulting molecular absorption and transmission response can be used to identify the components of the sample and their concentration. FTIR, compared to other IR techniques, can measure many components in real-time due to the use of an interferometer that allows the collection of a broad range of wavelengths. By contrast, non-dispersive infrared (NDIR) analyzers measure one compound due to the use of an optical filter that allows the selection of a narrow wavelength area, specific to the compound of interest.

### **1.1. FTIR Description**

The heart of every FTIR instrument is an optical device called an interferometer (Figure 1) <sup>[1]</sup>. The oldest and most common type is the Michelson interferometer. The infrared source is usually a heated ceramic (at ca. 1200 °C). A collimating mirror collects light from the source and makes its rays parallel. A beamsplitter (in KBr) transmits approximately half of the light incident upon it and reflects the remaining half. A fraction of the light transmitted travels to a fixed mirror, while the other fraction travels to a moving mirror (see Figure 1). The lights are reflected by the two mirrors back to the beamsplitter, where they are recombined into a single light beam. This light beam interacts with the sample (exhaust gas) in a gas cell and finally strikes the detector. A multireflection cell is used to obtain a long optical path length with the minimum possible volume of the cell <sup>[2]</sup>.

Because the path that one beam travels is a fixed length and the other is constantly changing as its mirror moves, the signal which exits the interferometer is the result of these two beams "interfering" with each other. The resulting signal is called an interferogram (i.e., a plot of light intensity versus optical path difference). The interferograms measured are then Fourier transformed to yield a spectrum (i.e., a plot intensity versus frequency/wavenumber).

There is also a laser (not shown in the figure) whose light follows the infrared beam. This laser light is used to measure the optical path difference of the interferometer. The spectral resolution (in cm<sup>-1</sup>) depends on the inverse of the optical path difference.

Figure 1. Principle of operation of FTIR (Fourier transform infrared) spectroscopy. IR = infrared.

### **1.2. FTIR in the Vehicle Exhaust Regulations**

Vehicle emissions are regulated since the 1970s <sup>[3]</sup>. The measurements are conducted on chassis dynamometers (light-duty vehicles) or in engine test cells (heavy-duty engines). The instruments described in the regulations are sampling from the full dilution tunnel, where the whole exhaust gas is diluted, or directly from the tailpipe (undiluted exhaust). The control of the regulated pollutants (e.g., CO, NO<sub>x</sub>) with advanced aftertreatment devices <sup>[4]</sup> has led in some cases to increased emission of non-regulated pollutants (e.g., N<sub>2</sub>O, NH<sub>3</sub>).

The measurement techniques for regulated pollutants are well-defined in the regulation (e.g., non-dispersive infrared (NDIR) for CO and CO<sub>2</sub>). For non-regulated pollutants, only recently, a Global Technical Regulation for light-duty vehicles (GTR 15) prescribes possible measurement techniques.

GTR 15 allows the use of FTIR for ethanol, formaldehyde, acetaldehyde, and N<sub>2</sub>O only from the dilution tunnel. This is because there is no exhaust gas measurement to determine the emissions from the tailpipe. Only NH<sub>3</sub> has to be measured from the tailpipe. At the moment, there is a limit only for heavy-duty engines (in ppm) in the EU regulation (not in GTR). Such specifications would need two FTIRs for the measurement of non-regulated pollutants (e.g., one for NH<sub>3</sub> at the tailpipe and one at the dilution tunnel for the other pollutants). Permitting measurement of all pollutants from the tailpipe would simplify the setup. Furthermore, FTIR could be used instead of other analyzers. For example typically NDIR analyzers are used for CO and CO<sub>2</sub>, chemiluminescence detectors (CLD) for NO<sub>x</sub>, and flame ionization detectos (FID) for hydrocarbons. Indeed, the use of FTIR at the tailpipe is a commonly accepted technique for research and development.

### **1.3. FTIR for Vehicle Exhaust Research**

FTIR spectroscopy is used in geology, chemistry, materials, medicine, and biology research fields on solid, liquid, and gaseous samples. FTIR has been used in a wide range of air pollution-related studies in both ambient air and environmental chambers. Already in the late 1970s, an FTIR system was installed in a van for air pollution measurements for the Environmental Protection Agency (EPA) of the United States of America (USA).

FTIR spectroscopy has been used for the measurement of gas concentrations for various studies, e.g., soot oxidation <sup>[5]</sup>, or SCR (selective catalytic reduction for NO<sub>x</sub> ) <sup>[6][7]</sup> and catalyst evaluation <sup>[8][9][10]</sup> with synthetic gases. It has also been used in engine test beds to assess ethanol <sup>[11][12]</sup>, biodiesel <sup>[13]</sup> such as Jatropha <sup>[14]</sup>, dimethyl ether (DME) <sup>[15]</sup>, or hydro-treated vegetable oil (HVO) <sup>[16]</sup>, homogeneous charge compression ignition (HCCI) engines <sup>[17]</sup>, gasoline compression ignition engine <sup>[18]</sup>, post injection effect on emissions <sup>[19]</sup>, NH<sub>3</sub> sensors

<sup>[20]</sup>, or even modeling of emissions <sup>[21]</sup>. FTIR instruments have also been used on chassis dynamometers: Small gasoline engines <sup>[22]</sup> or even diesel trucks <sup>[23][24]</sup>. For example, for exhaust gas recirculation (EGR) <sup>[25]</sup>, alternative fuels <sup>[26][27]</sup>, reactive nitrogen compounds <sup>[28][29]</sup>, impact of low temperature on non-regulated pollutants <sup>[30]</sup>, and retrofit evaluation <sup>[31][32]</sup> of diesel vehicles. Similarly, chassis dynamometer studies with gasoline vehicles <sup>[33]</sup> focused on unregulated emissions <sup>[34][35][36][37][38]</sup>, NH<sub>3</sub> <sup>[39][40][41][42][43]</sup>, effect of exhaust gas reforming on emissions <sup>[44]</sup>, low temperature <sup>[30][45][46]</sup>, alternative fuels <sup>[35][47]</sup>, and hybrids <sup>[48][49][50]</sup>. Motorcycles' non-regulated pollutants emissions have also been assessed with FTIR <sup>[51][52][53][54][55]</sup>.

The on-road application started in 2000 [56]. Since then other researchers measured emissions on the road [57][58] [59], greenhouse gases (GHG) [60], nitrogen species [61], cold start emissions [62][63][64][65][66] of gasoline vehicles and the impact of ambient temperature [67]. A few also studied compressed natural gas (CNG) [68], diesel fueled vehicles [69][70][71] and their non-regulated pollutants [72].

### 2. Results and Discussion

### 2.1. Comparison with Other Methods

**Figure 2** summarizes the studies that FTIRs was compared with reference values: (i) calibration gases ("Cylinder"), (ii) reference instrument measuring in parallel with the FTIR at the dilution tunnel or at the tailpipe ("Parallel"), (iii) reference instrument at a different location (FTIR at the tailpipe versus reference at the dilution tunnel) (TP vs. CVS). Only cases where at least two studies were available were taken into account. It should be mentioned that the mean values of slopes or already averaged values do not give the complete scatter of the tests. Furthermore, a different number of tests in each case make any comparisons between different compounds doubtful. On the other hand, it has to be reminded that the results summarize 40 years of experience with a wide range of instruments manufacturers (and users).

The mean differences from the reference values were  $\pm 2.5\%$  for CO<sub>2</sub>, CO, NO<sub>x</sub>, and NH<sub>3</sub>, without any particular deviation when FTIR and reference instrument were at different locations. The variability (one standard deviation) of the means was 5% for CO<sub>2</sub> and 10% for the other three gases. Again no particularly higher variability of the "TP vs. CVS" cases. For hydrocarbons (THC, NMHC) the mean differences were 5–30% and the variability 30%. Smaller mean differences and variability (10%) was calculated for CH<sub>4</sub>. The "TP vs. CVS" cases had similar means and variability. CH<sub>2</sub>O had closer to CH<sub>4</sub> behavior, while CH<sub>3</sub>CHO was closer to NH<sub>3</sub>, but the number of tests was very limited to draw any conclusions. The results are reasonable, considering that the uncertainty of the different equipment to which FTIR was compared was not the same. As it was discussed in the respective sections, the reference instruments for THCs, NMHCs, and carbonyls have higher uncertainty than those for, e.g., CO<sub>2</sub> and CO. On the one hand, the THC measurement with FID is not specific, as different hydrocarbons can have different response factors in the flame; and on the other hand, THC estimate with the FTIR might be not exhaustive, as some hydrocarbons can be not quantified if they are not initially included in the calibration method.



**Figure 2.** Overview of FTIR assessment studies. For each component, the mean deviations from the reference instruments were calculated based on the studies of <u>Appendix A</u> of [10.3390/app11167416]. "Cylinder" refers to calibration gases. "Parallel" means FTIR and reference instruments were measuring both from the dilution tunnel or the tailpipe. "TP vs. CVS" refers to cases where the FTIR was measuring from the tailpipe, while the reference instrument from the dilution tunnel. Error bars show one standard deviation of at least two studies. Numbers give the number of studies for the calculation of the means. THC=total hydrocarbons; NMHC=non-methane hydrocarbons.

#### 2.2. FTIR and Interferences

The higher differences for some components when measuring exhaust gas, compared to calibration gases, can be attributed to analytical and sampling interferences. Analytical interference (also called background or spectral interference) occurs when two or more compounds have overlapping absorbance bands in their infrared spectra. To minimize such interferences, appropriate resolution, selection of wave lengths, an appropriate library of expected components, and post-processing of the spectra are necessary <sup>[73]</sup>.

Sampling system interferences are interferences that prohibit or prevent delivery of the target compounds to the FTIR gas cell (e.g., moisture condensation, reactive gases). Regulations, for example, require a heated sampling line (191 °C) when sampling undiluted exhaust in order to avoid the wall adsorption and/or dissolution of hydrophilic compounds (e.g., NH<sub>3</sub>, NO<sub>2</sub>, aldehydes, or ethanol) in condensed water. A study noticed the delay in oxygenated species reaching the tailpipe during cold start because of their condensation onto cold exhaust system surfaces and dissolution into condensed water <sup>[74]</sup>. FTIR systems might have differences in real-time operation. It was shown that an FTIR with a slower gas replacement rate and lower sampling frequency was not able to detect some of the rapid concentration fluctuations, e.g., for CO <sup>[75]</sup>. Another study noticed that during decelerations, the NH<sub>3</sub> concentration did not drop to near zero as it would be expected during fuel cut-offs (NH<sub>3</sub> formation is strongly inhibited by O<sub>2</sub>) <sup>[76]</sup>. Partly the lower response time of the instrument could explain this. However, it was suggested that an important reason was the outgassing of NH<sub>3</sub> from metal surfaces, which act as temporary NH<sub>3</sub> storage reservoirs <sup>[76]</sup>. A dedicated study on NH<sub>3</sub> found that response attenuation rates were due to mixing and diffusion during transport as well as NH<sub>3</sub> wall storage. Mixing/diffusion effects caused attenuation with a mean time constant

of around 1.6 s. Wall storage attenuation had a mean time constant of 72 s [77]. The stored NH<sub>3</sub> on the sampling lines was around 11 mg. It was concluded that, in practical terms, shorter lines at a higher temperature, with flow rates > 10 L/min proved the best for transient response testing [77].

## 3. Conclusions

Based on studies that assessed FTIRs performance on the measurement of vehicle exhaust emissions, the mean differences compared to regulated or other methods were around  $\pm 2.5\%$  for CO<sub>2</sub>, CO, NO<sub>x</sub>, and NH<sub>3</sub> with a variability (one standard deviation) of 5% for CO<sub>2</sub> and 10% for CO, NO<sub>x</sub>, and NH<sub>3</sub>. For CH<sub>4</sub>, acetaldehyde, and formaldehyde, the mean differences were  $\pm 10\%$  (variability 10–20%), but for total hydrocarbons, much higher differences were noticed. The differences were similar regardless of the sampling location of the FTIR (dilution tunnel or tailpipe). Assessment of prototype portable FTIRs on the road confirmed these findings also on-board, but for a narrow range of environmental and driving conditions. Based on these results, FTIRs may be an alternative for on-road testing. However, more studies with commercial portable systems are necessary to cover a wider range of environmental and driving condition of FTIRs in the regulation will require strict technical and performance requirements and procedures based on recently developed standards.

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