Chitosan-Nanoparticles for Oral Insulin Delivery

Subjects: Pharmacology & Pharmacy Contributor: Salma Seyam

Diabetes mellitus is a chronic endocrine disease, affecting more than 400 million people around the world. Patients with poorly controlled blood glucose levels are liable to suffer from life-threatening complications, such as cardiovascular, neuropathy, retinopathy and even premature death. Today, subcutaneous parenteral is still the most common route for insulin therapy. Oral insulin administration is favourable and convenient to the patients. In contrast to injection route, oral insulin delivery mimics the physiological pathway of endogenous insulin secretion. However, oral insulin has poor bioavailability (less than 2%) due to the harsh physiological environment through the gastrointestinal tract (GIT). Over the last few decades, many attempts have been made to achieve an effective oral insulin formulation with high bioavailability using insulin encapsulation into nanoparticles as advanced technology. Various natural polymers have been employed to fabricate nanoparticles as a delivery vehicle for insulin oral administration. Chitosan, a natural polymer, is extensively studied due to the attractive properties, such as biodegradability, biocompatibility, bioactivity, nontoxicity and polycationic nature. Numerous studies were conducted to evaluate chitosan and chitosan derivatives-based nanoparticles capabilities for oral insulin delivery. This review highlights strategies that have been applied in the recent five years to fabricate chitosan/chitosan derivatives-based nanoparticles for oral insulin delivery. A summary of the barriers hurdle insulin absorption rendering its low bioavailability such as physical, chemical and enzymatic barriers are highlighted with an emphasis on the most common methods of chitosan nanoparticles preparation. Nanocarriers are able to improve the absorption of insulin through GIT, deliver insulin to the blood circulation and lower blood glucose levels. In spite of some drawbacks encountered in this technology, chitosan and chitosan derivatives-based nanoparticles are greatly promising entities for oral insulin delivery.

Keywords: Insulin oral delivery ; Chitosan ; Nanoparticles

1. Introduction

Diabetes mellitus (DM), one of the major epidemics worldwide of the 21st century, is a chronic disease that developed in about 451 million people in 2017 and this number is anticipated to increase to 693 million by 2045 worldwide ^{[1][2]}. To date, subcutaneous injections remain the conventional way to deliver insulin daily. However, this route is associated with several drawbacks including poor patient compliance as a result of needle fears, allergic reactions, pain and hypoglycemic episodes ^[3]. Oral insulin delivery, on the other hand, has been the research of interest globally for decades. Effective oral insulin dose must survive along the gastrointestinal tract (GIT), cross the mucus layer, transport through the intestinal epithelial cells, enter the liver via portal vein and finally reach the systemic circulation ^[4]. However, mere oral administration of insulin is encountered with enzymatic and physiological barriers that negate insulin absorption through intestinal epithelial cells. Such hurdles render insulin poor oral bioavailability, despite the oral route is the most favourable mode of diabetes management ^[1].

In order to circumvent the above mentioned challenges, numerous studies have been carried out to develop efficient oral insulin delivery systems where nanotechnology appeared to be a favourable platform. Currently, the application of nanomaterials attracts wider attention in pharmaceutical and biomedical research. Nanoparticulates are defined as entities that are synthesized using nanomaterials that endow unique functionality to the delivery system. The drug content and release profiles of nanosystems are tailorable simply by modulating their starting material composition and physical traits ^[5]. Generally, nanocarriers can be classified according to their compositional structure into polymeric nanoparticle, lipid-based nanoparticles over inorganic metal ones for proteins/peptides oral delivery, owing to their biocompatibility and biodegradability, as well as promising clinical outcomes ^{[7][8]}. Polymeric nanoparticles being inert and non-immunogenicity, it enables them to escape from endosomal recognition and avoiding of degradation by lysosomes ^[6]. Moreover, while nanoparticles generally facilitate insulin transportation in the intestine by both transcellular pathway by reversely opening the tight junctions between adjacent cells ^[9]. Thus, of all the nanoparticle used in drug delivery designs,

polymeric nanoparticles have gained great interest. Furthermore, methods of formulation are widely available therefore, the range of applications has been expanding to include variety of hydrophilic and hydrophobic dugs of chemical drug classes and dosage forms $\frac{10[11]}{11}$. The smart nanocarriers, synthesized from stimulus-responsive building blocks as part of a polymeric structure, can be controlled to release drugs in response to environmental stimuli such as temperature and pH. In addition, nanocarriers can be decorated with targeting ligand for site-specific drug delivery $\frac{122}{12}$. Polymeric nanoparticles can be either synthesized from biodegradable synthetic polymers, such as poly(lactide-glycolide) (PLGA) copolymers, polyacrylates, or from natural polymers, such as chitosan, alginate, collagen and albumin. Notable advantages of the natural polymeric-based nanoparticles render them particularly unique due to their abundance in nature, non-toxic with established safety profile and easily modifiable $\frac{133}{12}$.

Among all polysaccharides, chitosan has been the primary interest for many investigators in the designing of oral drug delivery system as a function of its biodegradable, biocompatible, smooth of processing and its digestibility by colonic microbial enzymes to emerge colon-targeted delivery of drugs ^[14]. Nanoparticles-based chitosan are particularly favourable for the mucosal route due to low toxicity, tunable physiochemical properties and mucoadhesion. There are several methods to formulate chitosan nanoparticles, such as ionic gelation, polyelectrolyte complexation, reverse micellar, emulsion solvent diffusion and electrospraying techniques ^[15]. Careful selection of nanoparticles composition and method of preparation is essential to meet the objectives of protecting the encapsulant (insulin) and deliver it in a sufficient manner to the blood circulation hence, improve its bioavailability. Thus, the nanoparticle formulator must precisely match the desired chemical and physical attributes of chitosan with reference to the biological environment, with chitosan processing technique ^[10].

What makes chitosan unique over other polysaccharides for oral drug delivery is its chemical structure that allows specific modifications through modulation in the chitosan amine or hydroxyl functional groups $^{[16]}$. With regards to pharmaceutical applications, these chemical moieties can be utilised to conjugate drugs directly or via linkers. Abundance of amino groups on the backbone of chitosan would enable any amine related conjugations with other molecules, such as methacrylation $^{[17]}$ and carboxymethylation $^{[18]}$.



Figure 1. Common nanocarriers used for oral protein/peptide delivery.

This entry will discuss how recent developments in chitosan/chitosan derivatives-based nanotechnology have been emerged in a multitude of platforms for safe and efficient delivery of insulin orally for the treatment of DM.

1.1. Diabetes Mellitus

Diabetes mellitus is a chronic endocrine disease in which an elevation of blood glucose level occurs as a result of reduced or inability of pancreas to produce insulin or due to peripheral tissue uptake defects of insulin ^[19]. Diabetes can primarily be classified into two types: type 1 diabetes mellitus (T1DM) and type 2 diabetes mellitus (T2DM). In T1DM, the pancreas terminates or reduces insulin production due to pancreatic β -cell destruction, whereas in T2DM, the cells manifest low sensitivity towards insulin and consequently both types lead to hyperglycemia ^[20]. Poorly controlled blood glucose levels can bring about serious adverse effects in cardiovascular system, nervous system, retina, and even early death ^{[21][22]}, hence exogenous insulin intake is imperative in patients with T1DM and advanced T2DM ^[23].

1.2. Insulin

Insulin is an anabolic polypeptide hormone, synthesized in high amounts by islets of Langerhans of pancreatic β -cells and is responsible to maintain blood glucose level at normal ranges. Proinsulin (an insulin prohormone precursor) is composed of three domains: an amino-terminal B chain, a carboxy-terminal A chain, and a connecting peptide in the middle denoted by C-peptide. By the cleavage of C-peptide, insulin is formed as a quaternary macromolecule composed of two polypeptide chains, A chain (21 amino acid residues) and B chain (30 amino acid residues) that are linked by disulphide bonds (Figure 2) ^[24]. In 1922, insulin was first successfully isolated by a team of Canadian scientists in Toronto; a discovery that brought about a true medical success and a milestone in the history of treating diabetes ^[25]. While insulin has been available for treating diabetes for almost a century now, to date, the most common insulin therapy is to be administered to diabetic patients through the parenteral route. Even though this route is still the best route in terms of effectiveness, insulin administered subcutaneously is delivered directly to the peripheral circulation, unmet the endogenous insulin pathway ^[26]. As a result, insulin enters the liver, which is the main target organ of insulin, at a much lower concentration than normal endogenous insulin which may give rise to hyperinsulinemia, weight gain, and hypoglycemic risks ^[27]. Moreover, injection is invasive and may induce local tissue necrosis, infection, and allergy that in long-term treatment may lead to low patient compliance and serious complications such as, nerve damage, insulin resistance and hypokalemia [28]. Therefore, alternative routes for insulin delivery were widely investigated recently, such as pulmonary, nasal, buccal, transdermal and oral ^[29].



Figure 2. Structure of human insulin.

1.3. Oral Insulin Delivery

Among all alternative routes for insulin administration, oral route is the most favourable approach and mimics the endogenous insulin pathway. After oral administration, insulin is absorbed from intestinal lumen and transported via portal circulation to the liver in which the first pass effect takes place, generating a high porto-systemic gradient (Figure 3). Insulin then reaches peripheral circulation at relatively low levels, imitating physiological insulin pathway and avoiding side effects associated with subcutaneous route such as, hypoglycemic episodes and weight gain ^[30]. However, insulin oral administration is usually characterised by poor bioavailability (<2%) ^[31], due to enzymatic degradation, low stability at different pHs and low permeability of GIT ^[32].



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because of their biocompatibility, biodegradability and non-toxic properties, but also because they are readily available, 20. Adibah, W.N.; Ahmad, W.; Mahmod, H.; Ali, A.M. ArReview of Medicinal Plants and Daily Foods Used in Southeast Asia inexpensive and environment-friendly biopolymers ¹². Chitin, the second next to cellulose known as the most abundant Possessing Antidiabetic Activity. Agrobiotechnol. 2019, 10, 17–35. natural polysaccharides, is a linear polymer comprises of ß-1,4-linked N-acetyl-D-glucosamine, found in the exoskeleton

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profiles (sustained release at pH 6.8, while protecting the drug at pH 1.2) ^[78]. It could be concluded that additional 670 Appreciation Transformed Step Responsibility Characterization and Potential Application of the second straight second states and the second second second states and the second seco for and Chitosan Metal Nanoparticles in Pharmaceutical Drug Delivery Drug Des. Devel. Ther. 2016, 10, 483–507 release

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-complexes by electrostatic interaction 74. Fernando, I.P.S.; Lee, W.W.; Han, E.J.; Ahn, G. Alginate-Based Nanomaterials: Fabrication Techniques, Properties, and In Applications, Chem MER BEG 2018 D39 was 280 audie 10 101 ani of ic born and rotin sulfate and self-assembled with 75 eQuation is coline and the regative is contracted in Balance and the second of the second t pre(Basel)ig0148m10cu235edne2003390/pohant100030285 ombining two different strategies namely, the virus-mimicking and -surface PEGylation-approaches, Despite of relatively large particle size (510–670 nm) obtained, the nanoparticles 76. Mukhopadhyay, P., Chakaborty, S., Bhattacharya, S., Mishra, R., Kundu, P.P. PH-Sensitive Childsan/Alginate Core-

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doi:10.1016/J.IJBJOMAC.2014.08.040. Recent study has utilised Dz13Scr, an anionic oligonucleotide with excellent biocompatibility and minimal cytotoxicity with 72hildsattacoreconaulateMuklingigen. Robaltistes, RobalueP. Co. Acenantion. Actificature theo co. Actionated Chitragan Cover Showed acchanapartistes fanthe P47902e24 Ara), Insulior Reliver visible rsito Minuex 2013, 023494-0208) and improved encapsulation efficiency 1(88.4 £ 4 90.99)? 17 was 0 17 was 0

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(88%) in 10 h was attainable. Moreover, the physiochemical properties of the prepared nanoparticles remained stable 79. Pereira De Sousa, I.; Moser, T.; Steiner, C.; Fichtl, B.; Bernkop-Schnurch, A. Insulin Loaded Mucus Permeating after an eing received a four two imported satisfies as new to so the second and achieved 38-244, Gei: 1611000); the mucopenetrating

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Recently ion stand white a second warm developed to imake Elvaluative of ions line of a golation to italia information of the second seco prefeatation 20166h 365 161-st6ated with 20160 06 bt and DD 18 T 20156. 1010 10f. the prepared nanoparticles in terms of preferable

characteristics, such as enhanced bioavailability of encapsulant and improved stability along GIT. Erel G et al., have 82. He, Z.; Santos, J.L.; Tian, H.; Huang, H.; Hu, Y.; Liu, L.; Leong, K.W.; Chen, Y.; Mao, H.-Q. Scalable Fabrication of designed institute bedred shirt san panenesticles initially by jonic relation between size of the san and 25 PA1, As a novel approach, the dano particles wave the lost of prepared w/o microemulsion to grant controlled release

property, enhance in vivo stability and promote drug absorption in the GIT. The effect of incorporating insulin into chitosan 83. Wiessner, J.H.; Hwang, K.J. Binding of Insulin to the External Surface of Liposomes. Effect of Surface Curvature, nanoparticle had a significant protective effect. After 8 h of administration of insulin-loaded chitosan nanoparticles Temperature, and Lipid Composition. BBA Biomembr. 1982, 689, 490–498, doi:10.1016/0005-2736(82)90307-8. embedded in microemulsion, the blood glucose level reduced by 33.6% of the initial blood glucose level, compared to only

847%/188003Wh Mitrelsasedo Ana Magahrabies in Lemaidie Millaber 1829. Chitosan/Lecithin Liposomal Nanovesicles as an Oral

Insulin Delivery System. Pharm. Dev. Technol. 2017, 22, 390–398, doi:10.1080/10837450.2016.1213745.

Another new method was developed by He et al., also depends on the electrostatic interaction between chitosan and TPP 5. Sahoo, P.; Leong, K.H.; Nyamathulla, S.; Onuki, Y.; Takayama, K.; Chung, L.Y. Optimization of PH-Responsive to prepare size-controlled chitosan nanoparticles for oral insulin delivery called flash nanocomplexation (FNC). In this Carboxymethylated lota-Carrageenan/Chitosan Nanoparticles for Oral insulin Delivery Using Response Surface to method, a multi-inlet vortex mixer was used to infuse aqueous solutions of chitosan. TPP and insulin to assure an efficient Methodology. React: Funct: Form: 2017, 119, 145–155, doi:10.1016/j.reactfunctoolym.2017.09.014. and rapid mixing to fabricate highly uniform insulin-loaded nanoparticles. This method enables advantage of continuous production of nanoparticles with controlled and reducible particle size (45 nm) while maintaining high encapsulation Exercise reg (900%), ttps://pacyclopedien.pub/emitay/histopy/sto encapsulation efficiency, respectively [82].

Incorporating chitosan-insulin polyelectrolyte complex (CS-Ins-PEC) with lecithin liposomes to formulate chitosan/lithin liposomal nanovesicles was investigated by AI-Remawi et al., as a possible carrier for insulin oral delivery. Insulin was first reacted with chitosan to form Ins-CS PEC, then the PEC was added to the negatively charged liposomal dispersion developing Ins-CS PEC-associated lecithin liposomes. The optimal formulation possessed high net zeta potential around -30 mV and small particle size of 105 nm ± 17 nm when the ratios of Ins-Cs complex to lecithin was 9% (v/v). The encapsulation efficiency was slightly improved due to the presence of chitosan to interact with insulin comparable to similar chitosan-free formulations ^[83]. For in vivo study, blood glucose lowering effect was observed after 2 h of oral administration accompanied with a prolonged effect up to 8 h. However, the effect was modest that can be attributed to the relatively poor association efficiency ^[84]. Table 2 represents the most recent examples of the compositions, method of preparations and attributes of insulin loaded chitosan-based nanoparticles.

| Nanocarrier | Preparation Method | Particle Size (nm) | Zeta Potential (mV) | Entrapment Efficiency (%) | In Vitro Insulin Release | Dose (IU/kg) | In Vivo Observation | Reference |
|--|---------------------------------|--|---|---------------------------------|---|---------------------------------|---|---------------|
| Chitosan (CS) MW (25–65 kDa), 83–86% Deacetylation Degree(DD) + Alginate (ALG) MW (1.03 × 105 g/mol) | Polyelectrolyte complexation | 216 | +3.89 | 78.3 | A burst release with max. of 26.7% of insulin release was found in pH 1.2, followed by a sustained and prolonged insulin release (79–84%) through 24 h. | Oral: 50– 100 SC: 5 | Insulin-loaded CS/ALG NPs (50 and 100 IU/kg) showed reduction in the blood glucose level to 143 and 104 mg/dL, respectively, with sustained effect up to 9 h. | [76] |
| Medium MW, 75%, 85% deacetylated Chitosan + TPP ratio 6:1 | lonic gelation method | Nanoparticle 356.5 ± 43.4 (Microemultion) 99.1 ± 28.7 | Nanoparticle 46.5 (Microemultion) 13.1 | - | At pH 2.5 after 2 h, insulin release from microemulsion was 48.1%. At pH 6.8 after 2 h, the release was 51.2% and after 3 h it was 66.1%. | Oral: 50 SC: 1 | Plasma glucose level reduced to 68.7% after 3 h and it maintained at 66.4% of the initial blood glucose level after 8 h. | <u>[81]</u> |
| Chitosan 25 kDa, + Chondroitin sulphate (ChS) 20–30 KDa + Polyethylene glycol 5000 Da (PEG) | lonic gelation | 510–670 | -1 to -5 | 2.18 ± 0.70 | In simulated intestinal fluid (SIF) buffer, insulin release profile showed a gradual release of the protein reaching 65% in 4 h, followed by a plateau | - | - | [<u>79</u>] |

Table 2. Examples of chitosan-based nanoparticles-loaded insulin.

| 90 KDa MW, 85% deacetylated chitosan + TPP | Flash nanocomplexation using multi-inlet vortex mixer | 46.2 ± 2.7 | 9.4 ± 1.2 | 91.0 ± 1.7 | The amount of released insulin at pH 2.5 was about 16%, while negligible amount at pH 6.6, and a sustained release of insulin within a few hours at pH 7.4 | Oral: 60 or 120 SC: 10 | Gradual but distinct reduction of blood glucose levels by 51% (60 IU/kg) and 59% (120 IU/kg) within 8 h. | [<u>82]</u> |
|--|---|------------|------------|------------|---|------------------------------------|--|--------------|
| Chitosan (28 kDa) + Lecithin liposomes + L-Arginine | CS-insulin dispersion (polyelectrolyte complexation) added to lecithin liposomal dispersion | 105 ± 17 | -30 | 20 | Insulin was rapidly released in both 0.1 M HCI and phosphate buffer pH 6.8 media and complete release was achieved almost after 30 min. | Oral: 50 SC: 1 | A significant effect was observed at 2 h after oral administration as the blood glucose level was reduced by almost 17% of the initial level and the effect was prolonged for up to 8 h. | [84] |
| Low MW 50–190 kDa, ≥75.0% deacetylated chitosan + Iota-carrageenan (CMCi) | Polyelectrolyte complexation method | 613 ± 41 | 52.5 ± 0.5 | 86.9 ± 2.6 | After 2 h in simulated gastric fluid (SGF), the release of insulin from the nanoparticles was only $4.91\% \pm$ 0.24%, while in SIF, the release of insulin was $86.64\% \pm$ 2.20%. | - | - | 85 |

| Chitosan, alloxan monohydrate + Poly Alginate comp + + Polyurethane (PU-ALG/CS NPs) | electrolyte plexation rod | 90–110 | 38.5 | 90 | (13.7%) at pH 1.2 up to 1 h, while moderately release (up to 50%) till 10th h in pH 6.8 buffer solution, whereas sustained release of insulin was noticed at pH 7.4 from 11th h, and reached the | Oral: 50 and 100 SC: 5 | Blood glucose level was reduced up to 98 mg/dL for the insulin doses of 100 IU/kg, and 131 mg/dL for the 50 IU/kg dose at the 10th h. | [22] |
|--|---------------------------------|--------|------|----|--|------------------------------------|---|------|
| | | | | | h, and reached the maximum insulin release after 20th h | | | |

(98.32%).

| 95% DD + Alginate + Methoxypolyethylene glycol (mPEG, MW 5.0 kDa) + D, L-Lactide (LA) + Glycolide (GA) + Poly (vinyl alcohol)1788 low-viscosity (PVA) + poly (ethylene glycol)-block-poly (propylene glycol)- block-poly (ethylene glycol) (F68, Mw 8,4 kDa) | Double-emulsion (w/o/w) solvent evaporation method + Polyelectrolyte complexation | CS NP 224.4 ± 13.8 Alg NP 260.1 ± 17.1 | CS NP +13.7 ± 1.6 Alg NP -55.7 ± 6.6 | CS NP 55.2 ± 7.0 Alg NP 81.5 ± 7.4 | The insulin loaded PEC enabled a slight insulin release (only 13.91%) in SGF (pH 1.2) within the first 4 h. In contrast, rapid rising rate in the first 4 h (38.03%) at the pH 6.8 took place, and the cumulative drug release increased to 51.57% within 10 h, and reached 80.54% after 60 h. | Oral: 60 SC: 5 | The blood glucose level decreased after the oral administration of insulin- loaded PEC with the maximal blood glucose reduction of 30% at 8 h, and 20% after 12 h. Insulin concentration in plasma was increased gradually and resulted in a maximum plasma concentration $(41.5 \pm 4.4$ μ IU mL ⁻¹) at 10 h. | [78] |
|---|---|---|---|---|---|-------------------------|--|------|
| Chitosan (95% deacetylated; MW 150 kDa) + Dz13Scr | Complex coacervation | 534 ± 24 | 14.57 ± 1.1 | 79.96 ± 3.96 | Only 14.03% of cumulative insulin released at pH 2, while approximately 85% of insulin was released after 10 h at pH 6.8 phosphate buffer solution. | - | - | [80] |

Chitosan