Microglial Transforming Growth Factor-β Signaling in Alzheimer's Disease

Subjects: Neurosciences

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Alzheimer's disease is the most common form of dementia (60-70%) affecting the elderly. To date, the major risk factor of Alzheimer's disease (AD) is aging. In these times of demographic change, the numbers of patients

diagnosed with AD are rising.

neurodegenerative diseases

Alzheimer's disease

amyloid beta

microglia

hippocampus

APOE

1. Microglia Origin, Maturation, and Activation

During embryonic development, primitive macrophages from the yolk sac migrate into the developing central nervous system (CNS), where they undergo maturation processes and form specialized immune cells called microglia [1][2]. They contribute to the brain's cellular content by about 5–12% [3]. Maturation and differentiation are highly regulated processes that rely on several signaling molecules such as PU.1, IRF8, IL34, CSF1, and transforming growth factor-beta 1 (TGFβ1) [2][4].

Comparable to macrophages in the periphery, microglia are capable of phagocytosis and display morphological plasticity. Under homeostatic conditions, microglia appear in to have a "ramified" shape and function as the brain's controlling unit—scanning the surroundings for invaders, pathogens, or aggregated proteins [5]6. In reaction to environmental changes or acute damage, they adopt different "(re-)activation" states. For a long time, the activation states of microglia have been divided into M1- and M2-like phenotypes, with differences in their morphology and cytokine profiles . Reactive microglia shift towards an ameboid shape, which allows them to move freely through neural tissues and phagocytose cellular debris. Moreover, M1-like microglia tend towards a pro-inflammatory phenotype, while M2-like cells act in immunosuppression and tissue repair tasks [3]. This polarization is not final and can be altered during pathogenic progress [8]. Recently, a more diverse activation pattern of microglia that react to various "input signals" was introduced, and, at present, microglia are characterized not only on the basis of their morphology or cytokine profile but also based on their genetic (transcriptional) profile 9.

The input signals can have a broad spectrum and, among others, include pathogen-associated molecular patterns (PAMP), danger-associated molecular patterns (DAMP), cytokines, and growth and stimulating factors [10]. Even without DAMP or PAMP stimulation, microglia undergo morphological (hypertrophy, de-ramification, and thickening), biochemical, and transcriptional changes during aging processes, with differences across grey and

white matter [11]. The transcriptome of microglia throughout the CNS is heterogenous and varies across anatomical regions, as does their distribution. Greater numbers of microglia are found in the grey compared to white matter, with the highest density being found in the hippocampus and substantia nigra [12]. Moreover, microglia expression profiles have been found to be different in the cortex and striatum compared to the cerebellum and hippocampus, with greater age-related phenotypic changes in the white matter of the cerebellum [11]. There are also regional differences in response to injury and inflammation [13].

Reactive microglia have been found in close proximity to amyloid plaques, indicating an interaction with amyloid oligomers and fibrils. Supporting evidence for an involvement of amyloid oligomers in the activation of microglia has been derived from receptors that are capable of binding A β_{42} [14]. Among others, the cluster of differentiation (CD) 14, which has gained importance as the lipopolysaccharide (LPS) receptor involved in innate immunity, is also expressed on the microglial cell surface, has been found to assist in the phagocytosis of A β fibrils [15][16]. Additionally, the receptor for advanced glycation end products (RAGE), CD36, and various scavenger receptors are able to stimulate the phagocytosis of A β species [17][18][19][20]. Binding to these receptors activates a signaling cascade resulting in the expression of cytokines of either pro- or anti-inflammatory origins or leads to the internalization of A β molecules via micropinocytosis or endocytosis. Additionally, an involvement of CD14 long time-activation by A β fibrils in the chronic neuroinflammatory process in Alzheimer's disease (AD) has been hypothesized [15]. Moreover, pattern recognition receptors belonging to the group of Toll-like receptors (TLRs) are also expressed by microglia [21]. Upon activation, these receptors act via signaling induction through interferon (IFN)-y and nuclear factor-kB [19]. TLR4 and CD14 are both involved in the recognition of bacterial LPS [22]. Like TLR2 and 4, TLR6 is also known for its A β -binding properties and the provoking of neuroinflammation [23][24]. CD36 acts as a coreceptor for TLR4 and TLR6, which is triggered by A β .

The microglial receptor TREM2 assists in the interaction with apoptotic cells, lipoproteins, and accumulated proteins such as Aβ. TREM2 is a member of immunoglobulin superfamily receptors and is involved in proliferation, survival, and regulating inflammatory processes by enhancing microglial phagocytosis. Consequently, in AD, TREM2 is increased in the microglia surrounding amyloid deposits [25].

2. Transforming Growth Factor-Beta and Alzheimer's Disease

Recent studies on the reactomes from human tissues have revealed an overlapping of 41 genes affected during aging and AD. Among others, TGF β 1 is affected in pathways including interleukin signaling and the transcription of RNA polymerase II as well as immune signaling. Surprisingly, there is also an overlap between AD and longevity genes. Longevity genes are related to a longer lifespan and delayed aging. Here, again, 43 genes overlap that involve the TGF β 1 pathways [26].

In patients with AD, the levels of TGF β 1 in the CSF and plasma are significantly higher than in the controls ^[27]. Interestingly, in a mouse model of AD, the reduction in TGF β 1 led to a decrease in spine density, memory function, and overall synaptic plasticity ^[28]. Furthermore, the loss of TGF β signaling in the microglia was shown to result in motor deficits and impaired myelination by disturbances in oligodendrocyte maturation ^[4]. The knockout of TGF β in

mice resulted in severe postnatal systemic inflammatory reactions, leading to premature death and impaired homeostasis [29](30](31]. A hallmark of AD is the decreased neurogenesis of neural stem cells in hippocampal formation [32](33]. In hippocampal microglia, TGFβ has been found to be a key player in their pro-neurogenic effects in chronic neurodegeneration processes [34]. Moreover, the overexpression of TGFβ1 was even able to recover hippocampal synaptic plasticity and memory function in an in vitro model of Aβ-induced toxicity [28](35]. The parabiosis of young wildtype and old transgenic AD-mice (18 month) resulted in a significant increase in TGFβ1 levels after 3 days, and the amyloid load decreased after 14 days [36]. Interestingly, this level increase was accompanied by the increase in the cell adhesion molecule fragment L1-70 [37]. L1-70 is a cleavage product of the full-length L1, which can enter the cytoplasm and even reach the nuclei [38]. L1 itself is involved in synaptic plasticity in the traumatized and regenerating CNS, thereby supporting neuronal activities [39]. Noteworthy, the expression of L1 and L1-70 has been observed to be low in old AD-mice, among which amyloid deposition was high. On the other hand, wildtype hippocampi have been shown to contain high levels of L1-70 and no amyloid plaques. Not surprisingly, L1 has been found to bind to Aβ species 40 and 42 itself, making it an interesting candidate for therapeutic treatments [40].

Moreover, TGF β 1 could be involved in this process, since it has been previously found to upregulate the expression of L1 in pancreatic duct cells [41]. A knockdown of TGF β 1 in neuroblastoma cells decreased the levels of L1-70 and the pro-inflammatory cytokine macrophage migration inhibitory factor (MIF) [36]. L1-70, in turn, is able to regulate MIF expression in the brain, thereby promoting microglia activation and amyloid clearance [42]. MIF strongly is related to CD74 expression in the microglia, since their interaction leads to the activation of numerous pathways involved in cell survival and proliferation [43]. MIF has been found to be expressed by neurons rather than glial cells upon interaction with A β oligomers, serving as a defense mechanism, and could be useful as a potential biomarker for AD rather than MCI [44]. The studies mentioned above indicate that TGF β 1 is responsible for the expression of L1 and, subsequently, MIF through CD74. After secretion, L1 is cleaved by a serine proteinase into the L1-70 fragment, which is necessary for the expression of MIF. MIF, in turn, acts during microglia activation and promotes amyloid clearance. Since in AD mice levels of TGF β 1, L1-70 and MIF are low, there is reduced microglia activation and a higher amyloid burden, making TGF β 1 a key modulator in this system. Moreover, TGF β 1 is able to downregulate CD74 in microglia. In TGF β R2-deficient microglia, high levels of CD74 are detectable [45].

In the brains of patients with AD, TGF β R2 expression is lower than in controls without AD [46]. A reduction in TGF β receptor genes in microglia has also been observed in aged (12–22 months) C57Bl/6J mice, with the lowest expression of TGF β R1 being recorded in the hippocampus and striatum of 22-months-old mice, whereas TGF β R2 was lowest in the hippocampus and cerebellum [47]. In 9-month-old APP/PS1 mice, TGF β R2 levels were low compared to aged controls paired with an enhanced environment of neuroinflammation [48]. The TGF β R2-/- mice displayed more A β deposition in the hippocampus and a higher age-related neuronal degeneration rate [46]. Interestingly, in some AD cases, there is an ectopic localization of SMAD2 and SMAD3 with amyloid plaques and NFTs, thereby inhibiting its nuclear translocation and disrupting signal transduction [49][50]. Single-nucleotide polymorphisms (SNP) in the TGF β 1 gene are known to influence the expression level of the protein and thereby influence TGF β signaling in general. In patients with AD, some functional SNPs have been found that are related to

the occurrence of depression; in these cases, other SNPs were able to promote the progression from MCI to AD $^{[51][52]}$. An overview of the different roles of TGF β signaling in a healthy and AD brain is given in **Figure 1**.

Involvement of TGFβ signaling in the healthy and AD brain

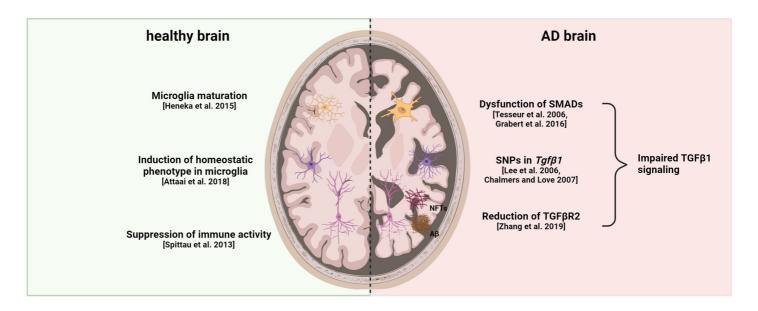


Figure 1. Healthy versus AD brain: differences in TGF β signaling. TGF β 1 is important for microglia maturation and maintaining the homeostatic phenotype in healthy individuals. Moreover, it suppresses the activation of both astrocytes and microglia. Reduction in TGF β R2, dysfunction of SMAD proteins, and a specific genetic profile of TGF β 1 contribute to impaired TGF β 1 signaling in AD. This impaired signaling leads to enhanced glial cell activation in both microglia and astrocytes, thereby contributing to neuroinflammatory processes and neurodegeneration. In turn, the neurodegeneration and accumulation of A β and tau fibrils leads to increased gliosis and impairment of TGF β signaling [44][46][47][49][50][53][54][55]. This figure was created with BioRender (https://biorender.com/, accessed on 20 February 2024).

Though there is an increase in TGF β 1 plasma and CSF levels, there is a decrease in TGF β R2 expression in patients with AD. Enhanced expression of TGF β 1 could be a mechanism to compensate for reduced TGF β 2 signaling due to the reduction in receptors. TGF β 3 signaling is able to decrease A β 4 deposition and promote its clearance if not disrupted by chronic inflammatory events [56].

3. Apolipoprotein E-TREM 2 (-Transforming Growth Factor-Beta) Axis in Microglia

Overall, there is minor overlap between human and murine AD microglial gene signatures, except for APOE $^{[57][58]}$ $^{[59][60]}$. In APP-overexpressing mice, two main microglia gene clusters were identified. Cluster one lacked homeostatic microglial genes, and cluster 2 displayed the upregulation of inflammatory DAM genes. The authors of the study concluded that APOE and TGF β are the major upstream regulators of MGnD. A specific SNP in $Tgf\beta1$ was found to affect the expression level of APOE4, and this SNP is over-presented in patients with AD $^{[61]}$. APOE

suppresses the homeostatic signature of microglia that is regulated by TGFβ [62]. Not surprisingly, the expression of APOE by microglia themselves increases with the proximity of the plaques in APP-overexpressing mice [63][64]. Although APOE induction in 5xFAD mice was shown to be TREM2-independent, genetic targeting of *Trem2* in APP-overexpressing mice suppressed APOE signaling and restored homeostatic microglia [62][65]. There is a strong correlation between TREM2 and APOE signaling. A lack of TREM2 was speculated to lock microglia in a homeostatic state, thereby blocking the defense mechanisms during AD progression [66]. *APOE* knockout studies revealed that the risk allele ε4 impairs the microglial response to neurodegenerative processes by directly repressing the transcription of MGnD genes [67]. Loss-of-function TREM2 variants in AD led to reduced APOE colocalization with amyloid deposits [68]. Overall, TREM2–APOE interaction has the potential to induce microglia activation towards MGnD (Figure 2) [62]. Understanding the intricate interplay of factors within this axis is crucial for developing effective therapeutic interventions. To delve deeper into this complex concept, it is essential to explore the nuances and interactions between the various components involved. Further investigations into the potential impact of this axis on therapeutic outcomes are necessary to elucidate its full significance. Additionally, identifying and evaluating therapeutic approaches that can modulate one or more factors within this axis will provide valuable insights into potential treatment strategies.

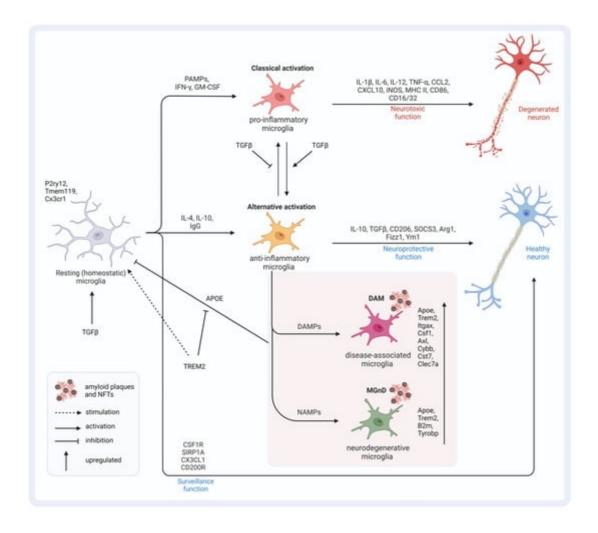


Figure 2. Microglia (re)activation states and the APOE-TREM2-TGF β axis. TGF β signaling is important for the induction of homeostatic microglia, which monitor and maintain a healthy environment for neurons. PAMPs lead to

classically activated microglia of pro-inflammatory origins that exert neurotoxic function by releasing pro-inflammatory cytokines and factors. $TGF\beta$ signaling stimulates the transition to alternative activation states of anti-inflammatory origins, which exert a neuroprotective function, and inhibits the transition to pro-inflammatory cells. Depending on the signaling molecules, disease-associated microglia and microglia with a neurodegenerative phenotype can develop. Both conditions are characterized by an altered genetic profile compared to homeostatic microglia. The increased expression of APOE results in the inhibition of $TGF\beta$ -induced homeostatic microglia and favors the transition to DAMs and MGnDs. TREM2 inhibits APOE signaling and thereby favors the fixation of microglia in the homeostatic state. This figure was created with BioRender (https://biorender.com/, accessed on 20 February 2024).

It is important to note that the APOE isoforms differ in their impact on the amyloid burden. Lozupone and Panza's review of the different isoforms of APOE sheds light on the intricate role of APOE in Aβ metabolism [69]. APOE3, the most prevalent isoform, is considered the neutral or "wild-type" variant. It is associated with an average lipid metabolism and is present in approximately 60–70% of the population. On the other hand, APOE4, the isoform linked to an increased risk for AD, exhibits less efficiency in lipid metabolism compared to APOE2 and APOE3. The impact of APOE4 on lipid metabolism may contribute to the buildup of cholesterol and other lipids in the brain, ultimately leading to the formation of amyloid plaques and neurodegeneration. This differential effect of APOE isoforms on health and disease susceptibility underscores the significance of genetic variations in lipid metabolism pathways. Unraveling the role of APOE isoforms in disease risk holds promise for identifying potential therapeutic targets for conditions such as AD. The comprehensive understanding of APOE isoforms and their nuanced effects on lipid metabolism and disease susceptibility is a crucial area of study in the pursuit of therapeutic interventions for neurodegenerative diseases.

Whereas the induced expression of APOE3 in APP-overexpressing mice led to the reduction in amyloid aggregation in the cortex and the hippocampus as well as soluble $A\beta_{40}$ and $A\beta_{42}$, APOE4 did not have an effect on the amyloid burden in this context at all [70]. Furthermore, microglial cells are able to produce short-length AB species themselves during the process of clearing unfolded A β molecules, which can give rise to even further A β_{42} aggregation [71]. Microglia-derived apoptosis-associated speck-like protein containing a CARD (ASC specks) are viewed as important triggers of Aβ aggregation and seeding. Injections of ASC specks into APP/PS1 mice resulted in an increased Aβ load compared to the control mice; likewise, injections of APP/PS brain homogenates into APP/PS1 mice led to an increase in Aβ-positive deposits, whereas the injection of the homogenates into APP/PS1 and ASC^{-/-} mice did not result in any deposition. These results suggest that there is a strong correlation of ASC specks and A β deposition in vivo [72]. Microglia facing A β_{42} results in the release of ASC specks; this might be a mechanism of perpetuation and enhanced Aβ deposition. The deposition of Aβ species is thought to begin decades before the first clinical symptoms of AD arise [73][74]. Interestingly, APOE4 is known to play an essential role in this seeding process [64][75]. Moreover, in males carrying the APOE4 allele—the high-risk gene for AD—the authors of the above-mentioned study detected an upregulation of integrin beta-8 (ITGB8). ITGB8 is important in the activation of the latent TGF β 1 molecule $\frac{[76]}{}$. However, in another study, the deletion of Itgfb8 was able restore the MGnD response and reduce plaque burden in AD mice, making the ITGB8-TGFB signaling pathway a potential target for a therapeutic approach [67][77].

Targeting the TGFB signaling pathway holds promising therapeutic implications in AD treatment due to its involvement in various aspects of the pathology, including neuroinflammation, synaptic dysfunction, and AB deposition. TGFB signaling has been implicated in regulating the immune response in the brain, with the dysregulation of this pathway contributing to neuroinflammation, a key feature of AD pathology. Additionally, TGFB signaling plays a role in synaptic plasticity and neuronal survival, processes which are disrupted in AD. Moreover, TGFB signaling has been shown to modulate the production and clearance of AB, suggesting its involvement in the amyloid cascade. Challenges in targeting the TGFB signaling pathway in AD treatment include the complex and context-dependent nature of TGFB signaling, with both neuroprotective and neurotoxic effects reported in different stages of AD. Furthermore, the pleiotropic effects of TGFB signaling in various cell types within the brain and peripheral tissues pose challenges in achieving the selective modulation of this pathway without causing off-target effects. Additionally, the BBB presents a challenge for delivering therapeutics targeting TGFβ signaling to the brain, necessitating the development of strategies to overcome this barrier. Potential strategies to modulate the TGFB signaling pathway effectively in AD treatment include the development of selective small-molecule inhibitors or activators targeting specific components of the TGFB signaling cascade. Moreover, approaches to enhance BBB permeability, such as the use of nanotechnology-based drug delivery systems or BBB-penetrating peptides, could facilitate the delivery of therapeutics targeting TGFβ signaling to the brain. Furthermore, combination therapies targeting multiple components of the AD pathology, including AB deposition, neuroinflammation, and synaptic dysfunction, may be necessary to achieve optimal therapeutic efficacy while minimizing off-target effects.

In conclusion, targeting the TGFβ signaling pathway represents a promising therapeutic approach for AD treatment, given its involvement in various aspects of AD pathology. However, addressing the challenges associated with modulating this pathway effectively, including its pleiotropic effects and BBB permeability, will be essential for the successful development of TGFβ-targeted therapeutics for AD. With the rapid advancements in imaging and sequencing technologies, researchers must stay updated with respect to the latest techniques to ensure accurate and comprehensive data collection. Additionally, it is crucial to extend research to human settings to bridge the gap between laboratory findings and real-world applications as well as consider gender-specific differences with regard to personalized medicine.

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