## SARS-CoV-2 ORF3a Protein as Therapeutic Target against COVID-19

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The ORF3a (open reading frame 3a) protein found in SARS-CoV-2, represents a promising target for antiviral treatment due to its multifaceted role in viral pathogenesis, cytokine storms, disease severity, and mortality. ORF3a contributes significantly to viral pathogenesis by facilitating viral assembly and release, essential processes in the viral life cycle, while also suppressing the body's antiviral responses, thus aiding viral replication. ORF3a also has been implicated in triggering excessive inflammation, characterized by NF-κB-mediated cytokine production, ultimately leading to apoptotic cell death and tissue damage in the lungs, kidneys, and the central nervous system.

Keywords: SARS-CoV-2 ; COVID-19 ; ORF3a

### 1. Introduction

The coronavirus disease 2019 (COVID-19) pandemic caused by SARS-CoV-2 infection was unprecedented in its rapid rate of spread, continuous emergence of new viral variants, and the high number of mortality cases and post-COVID complications. While vaccines have generally demonstrated efficacy in preventing SARS-CoV-2 infection or mitigating the severity of COVID-19, their effectiveness has often been compromised by the emergence of new viral variants and a decline in efficacy over time <sup>[1]</sup>. Promising antiviral drugs such as Paxlovid and molnupiravir have shown potential in treating COVID-19 and alleviating long COVID symptoms. However, challenges such as viral rebound and drug resistance have been observed <sup>[2][3]</sup>. Moreover, despite the pandemic showing signs of waning, a substantial number of infections persist worldwide, with the continued emergence of new viral variants. Given the relatively high mutable nature of coronaviruses (CoVs), there is an ongoing need to develop new antiviral drugs.

The development of antiviral drugs holds pivotal importance in the battle against COVID-19. Antiviral drugs play a critical role in various facets of disease management. For instance, remdesivir, an antiviral drug designed to impede SARS-CoV-2 replication, has demonstrated effectiveness in reducing the severity and duration of illness in COVID-19 patients <sup>[4]</sup>. Additionally, such medications diminish the viral load in infected individuals, thereby reducing the risk of transmitting the virus to others and aiding in community control. Antiviral drug development is essential in tackling emerging viral variants with diverse resistance profiles against existing treatments, necessitating ongoing research and development. Antiviral drugs can complement vaccination efforts, providing an added layer of protection in scenarios where vaccines are not universally available or effective due to the emergence of new viral variants.

## 2. SARS-CoV-2 ORF3a Protein as a Promising Antiviral Target

To address the need for discovering novel antiviral targets against COVID-19, a comprehensive genome-wide functional analysis of SARS-CoV-2 proteins was conducted, utilizing a fission yeast cell-based system <sup>[5][6]</sup>. The selection criteria focused on identifying a viral therapeutic target crucial for viral pathogenesis associated with COVID-19 and exhibiting a quantifiable endpoint suitable for high-throughput screening (HTS), such as cell proliferation or cell death. Utilizing a fission yeast gene expression vector, a total of 29 SARS-CoV-2 viral proteins <sup>[Z]</sup>, covering the entire genome of the SARS-CoV-2 reference strain USA-WA1/2020 (GenBank#: MN985325) <sup>[B]</sup>, were cloned <sup>[6][9][10]</sup>. Each viral gene was placed under an inducible *nmt*1 promoter <sup>[5][10][11]</sup>, ensuring a specific measurement of the effects caused by the viral protein. Of the 29 SARS-CoV-2 viral proteins studied, the ORF3a (open reading frame 3a) protein uniquely met all the predefined selection criteria, emerging as a potential therapeutic target <sup>[12][13]</sup>.

Increasing evidence underlines the clinical relevance of the ORF3a protein, as both SARS-CoV-1 and SARS-CoV-2infected patients exhibited high levels of anti-ORF3a antibodies, and sera from recovering COVID-19 patients displayed considerable IgG and IgA reactivity specifically against ORF3a <sup>[14][15][16][17]</sup>. Notably, the IgG response to ORF3a was linked to severe COVID-19 across different age groups <sup>[18]</sup>. Robust SARS-CoV-2-specific T-cell responses targeting ORF3a were also observed in asymptomatic individuals or COVID-19 convalescent patients <sup>[19][20][21]</sup>.

### 3. Structure and Unique Features of ORF3a Protein

ORF3a is the largest accessory protein of SARS-CoV-2, displaying numerous functions that are not yet fully characterized (**Figure 1**A). Comparison of ORF3a protein sequences between SARS-CoV-1 and SARS-CoV-2 suggests that these two proteins share 73% sequence homology, with SARS-CoV-1 ORF3a also lacking one amino acid at position 241 (E241) <sup>[13]</sup> <sup>[22]</sup>. Consequently, the SARS-CoV-2 ORF3a protein sequence is unique, and its three-dimensional (3D) tertiary structure is also novel, as it bears no resemblance to other known proteins <sup>[22][23]</sup>.

Typically found as a homodimer or tetramer on the cell membrane <sup>[22]</sup>, each monomer of ORF3a comprises 275 amino acids (aa), with a calculated molecular mass of 31 kD (**Figure 1**A). It harbors three hydrophobic transmembrane domains (TM1–TM3; aa40–128), anchoring it within the membrane. Both the N- and C-termini face the cytoplasm, housing distinct functional domains responsible for varied functionalities, including intracellular transport, ion channel formation and/or modulation, cytopathic effects, virus release, and virus production <sup>[13][23][24][25]</sup>.



**Figure 1.** (**A**) Structure and unique features of SARS-CoV-2 ORF3a protein (adapted from <sup>[13][22]</sup>). The nucleotide sequence of *ORF3a* is 825 bp in length and encodes a 31 kD protein of 275 amino acids. It shows an extracellular N-terminal signal peptide (aa1–13), 3 transmembrane (TM) domains (aa40–128) that are across the cellular membrane and 8 antiparallel β-sheets (β1–β8, aa145–235) at the cytoplasmic C-terminus. Other functional motifs include 3 caveolinbinding motifs (aa69–77, aa107–114 and aa141–149) <sup>[26]</sup>; a YXXΦ motif (aa160–163) and a diG motif (aa177–178) <sup>[25][27]</sup> <sup>[28]</sup>, and a PBM (aa272–275) <sup>[13][29]</sup>. A putative YXXΦ motif (aa233–236) is also indicated <sup>[30]</sup>. (**B**) Cellular pathways of ORF3a-mediated effects that lead to the NLRP3 inflammasome activation and cytokine storm (**a**) <sup>[31][32][33]</sup>, NF-κB-mediated cytokine production, apoptosis and necrosis leading to kidney injury (**b**) <sup>[34]</sup>, and disruption of autophagy-lysosomal pathway by ORF3a that causes reactive microglia and astrocyte activation leading to neuroinflammation and brain damage (**c**) <sup>[35]</sup>. Elevation of kidney injury molecule 1 (KIM-1) is a specific biomarker for kidney injury <sup>[36]</sup>; elevation of glial fibrillary acidic protein (GFAP) is a well-accepted biomarker for brain damage <sup>[37][38]</sup>. The subgenomic *ORF3a* sequence is transcribed within the viral replication-transcription complex (RTC) of the doublemembrane vesicles (DMVs) <sup>[13][39]</sup>. DMVs, formed by the rearrangement of the endoplasmic reticulum (ER), are also impacted by ORF3a, as it disrupts ER organization when ORF3a is overproduced <sup>[40]</sup>.

ORF3a serves as a viroporin [13][22][23][41][42][43] a viral transmembrane protein demonstrating ion channel properties within cell membranes [44]. Viroporins typically exhibit ion channel activities that can impact cell membrane permeability, Ca<sup>2+</sup> homeostasis, and membrane remodeling. Their primary functions often involve virion morphogenesis, viral entry, replication, and the release (egress) of viruses [44]. Specifically, SARS-CoV-2 ORF3a forms a nonselective calcium (Ca<sup>2+</sup>)-permeable cation channel within a liposome system [22]. This channel allows the passage of large cations including NMDG+ and YO-PRO-1, resembling other Ca<sup>2+</sup>-permeable channels such as Trpv1, Trpa1, and P2x7 [22].

The ORF3a protein exhibits several well-conserved functional motifs (**Figure 1**A), allowing us to hypothesize about its potential functions. Notably, it contains a TNF receptor-associated factor 3 (TRAF3)-binding motif spanning aa36–40 in both SARS-CoV-1 and SARS-CoV-2 [31][32][45][46].

ORF3a harbors three putative caveolin-binding motifs located at aa69-77, aa107-114, and aa141-149 <sup>[24][26]</sup>. These motifs potentially regulate the intracellular trafficking of ORF3a <sup>[24][26]</sup>. Caveolins, membrane proteins involved in caveolae formation (small invaginations of the plasma membrane), might influence endocytosis during viral entry.

Early mutational analyses have pinpointed specific functional domains within the ORF3a protein, notably the YXX $\Phi$  motif (aa160–163) and the diG motif (aa187–188) situated in the cytoplasmic region. These domains play pivotal roles in directing the intracellular transport of ORF3a from the ER to lysosomes <sup>[25][27][28]</sup>. The YXX $\Phi$  motif, where Y signifies tyrosine, X represents any amino acid, and  $\Phi$  denotes a bulky hydrophobic residue such as leucine or phenylalanine, is a recognized tyrosine-based sorting motif crucial for mediating the intracellular trafficking of proteins within the cell.

The ORF3a protein possesses a highly conserved PDZ-binding motif (PBM) at its C-terminal end (SVPL; aa272–275) <sup>[13]</sup> <sup>[29]</sup>. Given that there are more than 400 host cellular PDZ-containing proteins, the presence of the PBM in ORF3a suggests its potential to interact with a broad spectrum of host cellular functions <sup>[47][48]</sup>. It is a common tactic of pathogenic viruses, including SARS-CoV-2, to target cellular PDZ proteins <sup>[49]</sup>.

## 4. Role of ORF3a in Viral Production

ORF3a plays a pivotal role in multiple processes contributing to viral pathogenesis, particularly in viral assembly and release, which are crucial steps in the viral life cycle. SARS-CoV-2 ORF3a, but not SARS-CoV-1, facilitates viral release via the lysosomal exocytosis pathway <sup>[50]</sup>. ORF3a promotes this process by facilitating the trafficking of lysosomes to the plasma membrane and the fusion of exocytosis-related SNARE vesicle fusion proteins. This is achieved by aiding in the lysosomal targeting of the BORC-ARL8b complex <sup>[50]</sup>. Notably, SARS-CoV-2 ORF3a-mediated lysosomal exocytosis necessitates the activity of the Ca<sup>2+</sup> channel TRPML3 <sup>[50]</sup>. Cells producing SARS-CoV-2 ORF3a demonstrated elevated cytosolic Ca<sup>2+</sup> concentration compared to control cells, and TRPML3 knockdown impedes ORF3a-mediated lysosomal exocytosis <sup>[50]</sup>. Additionally, viruses such as mouse hepatitis virus (MHV), which lack ORF3a or SARS-CoV-1, exhibit reduced efficiency in releasing viral particles <sup>[50][51][52]</sup>.

ORF3a serves as a potent virulence factor contributing significantly to viral pathogenesis. Deletion of ORF3a from the SARS-CoV-2 genome results in a considerable reduction in viral production within human cells or transgenic mice <sup>[53][54]</sup> <sup>[55]</sup>. Although ORF3a is not the sole contributor to viral production, combined deletion of ORF3a with other accessory proteins, ORF6, 7, and 8 ( $\Delta$ 3678), significantly weakens the virus, making it a potential candidate for a live attenuated virus vaccine <sup>[56]</sup>. Notably, while the  $\Delta$ 678 virus (without ORF3a deletion) had a mild effect, the  $\Delta$ 3678 virus exhibited a 3-log reduction in viral production <sup>[55][56]</sup>. Consistent evidence from studies, such as a CoronaVac vaccine investigation using inactivated virus, demonstrates a significant increase in antibody titers for ORF3a at two weeks post-COVID-19 diagnosis <sup>[57]</sup>.

# 5. Role of ORF3a in Viral Pathogenesis: Induction of Cytokine Storm and COVID-19 Severity

The onset of a cytokine storm is a primary contributor to COVID-19-related morbidity and mortality <sup>[31][42][58][59][60]</sup>. These storms involve an excessive release of pro-inflammatory cytokines and chemokines, leading to hyperinflammation, acute respiratory distress syndrome, and multi-organ failure, often resulting in fatality. Overactive or dysregulated NLRP3

inflammasome activation in SARS-CoV-2-infected patients is linked to triggering cytokine storms, causing tissue damage and severe organ failure in individuals with critical COVID-19 [61][62].

The ORF3a protein has been implicated in the observed cytokine storms in severe CoV infections (**Figure 1**B-a) <sup>[33][63]</sup>. Specifically, ORF3a induces a pro-inflammatory immune response in infected cells, activating the NLRP3 (NACHT, LRR, and PYD domains-containing protein 3) inflammasome <sup>[31][32][33][42]</sup>. This multimeric protein complex triggers the secretion of pro-inflammatory cytokines <sup>[61][64][65]</sup>, resulting in a hyper-inflammatory response. Such data indicate the substantial contribution of ORF3a to the severity and fatality of COVID-19 <sup>[31][42][58][59][60]</sup>.

The exact molecular mechanism underpinning NLRP3 inflammasome activation by ORF3a remains elusive, but several models have been proposed: (1) ion channel activity, in which ORF3a exhibits ion channel activity disrupting cellular ion homeostasis, particularly calcium (Ca<sup>2+</sup>) and potassium (K<sup>+</sup>), leading to intracellular ion imbalances that trigger cellular stress responses, mitochondrial dysfunction, and eventual NLRP3 inflammasome activation <sup>[33][42]</sup>; (2) mitochondrial dysfunction, in which ORF3a's interaction with mitochondria might induce damage or dysfunction, resulting in the release of mitochondrial reactive oxygen species (ROS), which could activate the NLRP3 inflammasome via the NIM811-sensitive mitochondrial-permeability-pore (mtPTP) <sup>[33]</sup>; and (3) direct NLRP3 inflammasome activation, in which ORF3a might directly activate the NLRP3 inflammasome [<sup>113][33]</sup>, acting via HMGB1 (high-mobility group box 1), a downstream effector of the NLRP3 inflammasome to induce caspase-1-mediated pyroptosis (**Figure 1**B-b) <sup>[62][66][67]</sup>. HMGB1 is a ubiquitous protein released by microglia or macrophages upon NLRP3 inflammasome activation, and it promotes TLR4- and RAGE (receptor for advanced glycation end products) receptor-mediated pro-inflammatory cytokine production <sup>[68][69]</sup>. Elevated serum HMGB1 levels in COVID-19 patients correlate with poor prognosis <sup>[70][71]</sup>. HMGB1 inhibitors, like glycyrrhizin, reduce ORF3a-induced HMGB1 release and production of pro-inflammatory cytokines, potentially inhibiting SARS-CoV-2 replication <sup>[67]</sup>.

## 6. Roles of ORF3a in Kidney Injury, Neuroinflammation, and Long-COVID Complications

Among millions of COVID-related deaths in the U.S. and worldwide, between a quarter and half of patients died with kidney complications such as acute kidney injury (AKI) <sup>[72][73][74]</sup>. AKI is a sudden decline of the normal kidney function that ranges from minor kidney malfunction to complete failure that could result in death. Patients with acute respiratory failure often also have AKI and have the worst overall prognosis <sup>[75][76]</sup>.

Although AKI is a major contributor to COVID-19-related death <sup>[74]</sup>, the underlying cause of death in SARS-CoV-2-related AKI remains elusive. Besides the lungs, SARS-CoV-2 also infects the kidney via the ACE2 and other receptors such as CD209L/L-SIGN, CD209/DC-SIGN <sup>[77]</sup> and BSG/CD147 <sup>[78]</sup> in renal proximal tubular epithelial cells (RPTEC), where it supports kidney integrity and function <sup>[79][80]</sup>. Both SARS-CoV-2 RNA and proteins have been detected in SARS-CoV-2-infected kidneys <sup>[81][82]</sup>, and viral particles recovered from the kidney were able to infect nonhuman primate RPTEC <sup>[83]</sup>. Histopathological examinations, including ours, biopsy or postmortem kidney tissues, showed that acute injury in RPTEC is most common in COVID-19 patients with AKI <sup>[72][84][85][86]</sup>. Those with acute tubular injury are associated with oxidative stress and inflammation-mediated cytokine release such as TNF $\alpha$  and IL-6 <sup>[72][84][85][86]</sup>, which results in apoptosis and necrosis <sup>[87][88][89]</sup>.

Mechanistically, ORF3a induces renal tubule injuries by activation of NF-κB and STAT3 (activator of transcription 3) signaling <sup>[34]</sup>. ORF3a promotes STAT3 activation through interaction with a ubiquitin E3 ligase TRIM59, by which it dissociates the phosphatase TC-PTP from binding to STAT3, presumably by protein degradation, and hence it inhibits the dephosphorylation of STAT3, leading to persistent STAT3 activation <sup>[34]</sup>.

SARS-CoV-2 may also infects the central nerve system (CNS) <sup>[90]</sup>, leading to various neurological complications associated with COVID-19, both short- and long-term <sup>[91][92][93]</sup>. A national cohort study on patients with COVID-19 showed that COVID-19-associated neurologic complications link to a higher risk of disability and death <sup>[94]</sup>. Specifically, the virus affects brain glial astrocytes and induces neuroinflammation and neurotoxicity <sup>[92][93][95]</sup>.

## 7. Discovery and Development of Inhibitor Drugs Targeting ORF3a Protein

### 7.1. Testing Well-Established Druggable Cellular Targets of Key ORF3a Regulators with FDA-Approved Drugs and Small Molecule Inhibitors (SMIs)

ORF3a-mediated signaling pathways involve key cellular regulators such as NLRP3, NF- $\kappa$ B, and related cytokines (TNF $\alpha$  and IL-6), all well-known druggable cellular targets relevant to COVID-19 <sup>[12][13]</sup>. A promising approach is to repurpose

FDA-approved drugs that target these regulators against ORF3a-mediated pathways. For instance, ORF3a activates NLRP3, triggering cytokine storms linked to tissue damage and organ failure in severe COVID-19 cases (**Figure 1**B-a) <sup>[42]</sup> [96][97][98]</sup>. Several compounds have shown potential as anti-NLRP3 inhibitors in preclinical studies or early clinical trials. MCC950 (CRID3) emerged as a notable NLRP3 inhibitor, demonstrating efficacy in various inflammatory disease models [99].

The FDA-approved drug glibenclamide (glyburide) targets the Sur1-Trpm4 ion channel to inhibit NLRP3 inflammasome activation in microglia, effectively mitigating neuroinflammation <sup>[100]</sup>. Given that ORF3a, acting as a viroporin, triggers NLRP3 inflammasome activation and microglial responses in the brain <sup>[35]</sup>, exploring whether ORF3a initiates NLRP3 inflammasome activation via the Sur1-Trpm4 channel is of great interest. Investigating whether glibenclamide can specifically impede ORF3a-induced NLRP3 inflammasome activation presents a compelling avenue for potential interventions against neuroinflammatory responses linked to SARS-CoV-2 infections. Such studies could offer valuable insights into managing the neurological complications associated with COVID-19.

NF-κB stands as another significant target to counteract the effects of ORF3a (**Figure 1**B-b), being a pivotal regulator in pro-inflammatory signaling pathways, where NLRP3 inflammasome activation is facilitated through NF-κB <sup>[42]</sup>. Auranofin, an FDA-approved NF-κB inhibitor, has displayed promising outcomes in impeding SARS-CoV-2 infection and associated inflammatory damages <sup>[101]</sup>, warranting further examination against ORF3a-mediated responses.

Considering that ORF3a stimulates NF- $\kappa$ B to induce cytokine production such as TNF $\alpha$  and IL-6 <sup>[12][45][102]</sup>, and that elevation of TNF $\alpha$  and IL-6 are two strong and independent survival predictors of patients with COVID-19 <sup>[103][104]</sup>, targeting these cytokines is also appealing. TNF $\alpha$  and IL-6 serve as well-established druggable cellular targets with several FDA-approved anti-TNF $\alpha$  or IL-6 drugs either suggested for COVID-19 treatment or in various clinical trials <sup>[105]</sup>.

HMGB1 emerges as another promising druggable cellular target to counteract the effects of ORF3a, given its role in promoting HMGB1 secretion by ORF3a, which subsequently activates NF-κB and NLRP3 inflammasome (**Figure 1**B-c) [13][33].

#### 7.2. Structure-Based Design of ORF3a Inhibitors

The cryo-EM structure of ORF3a is established <sup>[22]</sup> yet identifying specific target sites for precise inhibition remains elusive. Initial molecular docking studies using in silico models have highlighted potential interactions between ORF3a and various macroheterocyclic compounds, including chlorin e6 and cationic porphyrin such as TmPyP4 <sup>[107]</sup>. Subsequent analyses using fluorescence and UV-vis spectroscopy confirmed the interaction of chlorin e6 and porphyrin with ORF3a protein <sup>[107]</sup>. An intriguing aspect is that TmPyP4 is known for intercalating into and stabilizing G-quadruplexes (G4), which are present in DNA and RNA sequences containing guanine blocks <sup>[108]</sup>.

#### 7.3. Cell-Based High-Throughput Drug Screening

Cell-based high-throughput drug screening stands as a promising strategy for discovering and developing anti-ORF3a inhibitors, offering several advantages: (1) it enables comprehensive searches across diverse drug libraries, enhancing the potential to uncover a broader spectrum of inhibitors; (2) the screening process is target-specific, focusing exclusively on ORF3a production; (3) unlike structure-based drug design, this method is functionally driven, allowing for the identification of various inhibitors, including novel types such as allosteric inhibitors that act on ORF3a regardless of their binding to the ORF3a protein; and (4) the process automatically filters out cytotoxic compounds from HTS drug screenings.

Apart from employing mammalian cell based HTS, yeasts like fission yeast (*Schizosaccharomyces pombe*) have also been utilized in antiviral drug screenings via HTS <sup>[5][109][110]</sup>. Fission yeast presents distinct advantages compared to mammalian cell systems. For instance, it is easily maintainable and exhibits rapid growth, making it particularly well-suited for extensive drug screening.

The use of yeast in HTS does not necessitate mammalian complexities but requires a functionally conserved output to indicate the presence of ORF3a, which need not necessarily correlate with viral or host cell biology. As an illustration, HIV-1 protease (PR)-induced cell death in fission yeast has served as a metric for HTS, distinct from its PR enzymatic activity, used to screen for HIV-1 PR inhibitors (PIs) [110][111][112].

The key of using fission yeast cell-based system to study ORF3a is that the measured ORF3a effect on cellular processes must be highly conserved between yeast and human cells, particularly in fundamental cellular events such as cell proliferation and cell death <sup>[5][113][114]</sup>.

As ORF3a represents a viral protein, any potential ORF3a inhibitors identified through HTS require further validation by testing within the context of viral infection. Various standardized protocols have been developed and utilized for screening small molecule inhibitors against SARS-CoV-2 infection <sup>[8][115]</sup>.

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